

National Spatial Data Infrastructure

NATIONAL VEGETATION CLASSIFICATION STANDARD, VERSION 2 – WORKING DRAFT

**Vegetation Subcommittee
Federal Geographic Data Committee**

November 30, 2006

Federal Geographic Data Committee

Department of Agriculture * Department of Commerce * Department of Defense * Department of Energy
Department of Housing and Urban Development * Department of the Interior * Department of State
Department of Transportation * Environmental Protection Agency
Federal Emergency Management Agency * Library of Congress
National Aeronautics and Space Administration * National Archives and Records Administration
Tennessee Valley Authority

Federal Geographic Data Committee

Established by Office of Management and Budget Circular A-16, the Federal Geographic Data Committee (FGDC) promotes the coordinated development, use, sharing, and dissemination of geographic data.

The FGDC is composed of representatives from the Departments of Agriculture, Commerce, Defense, Energy, Housing and Urban Development, the Interior, State, and Transportation; the Environmental Protection Agency; the Federal Emergency Management Agency; the Library of Congress; the National Aeronautics and Space Administration; the National Archives and Records Administration; and the Tennessee Valley Authority. Additional Federal agencies participate on FGDC subcommittees and working groups. The Department of the Interior chairs the committee.

FGDC subcommittees work on issues related to data categories coordinated under the circular. Subcommittees establish and implement standards for data content, quality, and transfer; encourage the exchange of information and the transfer of data; and organize the collection of geographic data to reduce duplication of effort. Working groups are established for issues that transcend data categories.

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ACKNOWLEDGEMENT

The Federal Geographic Data Committee Vegetation Subcommittee would like to acknowledge the valuable contributions of the Ecological Society of America's Vegetation Classification Panel. This draft standard is based on the minimum requirements of the Panel's Guidelines for Describing Associations and Alliances of the U.S. National Vegetation Classification, Version 4.0 (Jennings et al. 2006) with modifications to satisfy the needs of Federal agencies.

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1. Introduction

The United States Federal Geographic Data Committee (hereafter called the FGDC) is tasked to develop geospatial data standards that will enable sharing of spatial data among producers and users and support the growing National Spatial Data Infrastructure (NSDI), acting under the Office of Management Budget (OMB) Circular A-16 (OMB 1990, 2000) and Executive Order #12906 (Clinton 1994). FGDC subcommittees and working groups, in consultation and cooperation with state, local, tribal, private, academic, and international communities, are to develop standards for the content, quality, and transferability of geospatial data. FGDC standards are to be developed through a structured process, integrated with one another to the extent possible, supportable by the current vendor community (but are independent of specific technologies), and are publicly available.

There is no single agency responsible for classifying, describing, and/or mapping the vegetation of the United States, resulting in the current condition of multiple agencies inventorying, mapping, analyzing, and reporting vegetation data in a variety of ways, sometimes in direct conflict with each other due to differing definitions and protocols. The present situation has prevented development of a national synoptic view of the vegetation resources of the United States. Federal agencies are encouraged by a variety of executive orders and Congressional actions to improve cooperation and to reduce duplication. This standard responds to this direction.

The FGDC Vegetation Subcommittee has responsibility for creating a federal vegetation classification standard, which it did in 1997 (FGDC 1997). This document is a revision of FGDC-STD-005-1997 and replaces that document. The completion of provisional floristic units by NatureServe for the classification (Anderson et al 1998, Drake and Faber-Langendoen 1997, Metzler et al. 1994, Reid et al. 1999, and Weakley et al. 1998), the need to update the standards for these floristic levels based on the Ecological Society

of America Vegetation Classification Panel (Jennings et al. 2006), and critiques of the upper physiognomic levels by various teams, including that of the United States Department of Agriculture (USDA) Forest Service team (Brohman and Bryant 2005), led to the request for the revisions (see also Faber-Langendoen et al. 2006). This document presents a process standard to be used to create a dynamic content standard for all vegetation types in the classification. The content standard will constitute a “data classification standard” (FGDC 1996) which will provide hierarchical groups and categories of vegetation to facilitate aggregation of local and regional vegetation inventory data to generate national statistics on vegetation resources. The process standard described in this document constitutes a “classification methodology standard” describing “the procedures to follow to implement a data classification standard” (FGDC 1996). It includes standards for data collection, data analysis, data presentation, and quality control and assurance as described in the FGDC Standards Reference Model (FGDC 1996).

1.1 Dynamic Nature of Vegetation Classification

Implementation of the classification methodology (process) standard will produce a data classification standard, or classification system, consisting of a hierarchical list of vegetation types and their descriptions. This vegetation classification system is expected to change rapidly for several years as the backlog of provisional types, and pilot examples are reviewed and added in, then to continue to change at a slower pace. The standard requires that vegetation types be defined and characterized using appropriate data. New vegetation types will be defined and previously defined types will be refined as data continue to be collected, analyzed, and correlated over time. This process is referred to as successive refinement (or successive approximation), and constitutes a fundamental feature of vegetation classification (Westhoff and van der Maarel 1973, Gauch 1982). Managing the vegetation classification (content standard) dynamically as the classification process is implemented will allow development of the national vegetation classification system (i.e. data classification standard) to proceed efficiently.

It must be noted that a vegetation classification system is not synonymous with a map legend. Vegetation classification consists of grouping stands or plots into vegetation, or plant community, types (Tart et al. 2005a). Each type name represents a taxonomic concept with defined limits, about which meaningful and reliable statements can be made (Jennings et al. 2006). Vegetation mapping is the process of delineating the geographic distribution, extent, and landscape patterns of vegetation types and/or structural characteristics. Consistent mapping of vegetation types requires that a classification be completed first because classification defines the entities to be mapped (Tart et al. 2005a). In turn, mapping and field checking the vegetation types helps improve the classification concepts. This revision should facilitate more effective mapping of vegetation at multiple scales. None-the-less, due to varying scale of vegetation patterns and technological issues, map units may often include more than one vegetation type at any given level of the hierarchy. The hierarchical set of vegetation types can be used to describe the content of vegetation map units at multiple scales.

1.2 Objective

The overall purpose of this National Vegetation Classification Standard (hereafter referred to as the “Standard”) is to support the development and use of a consistent national vegetation classification (hereafter referred to as the “NVC”) in order to produce uniform statistics about vegetation resources across the nation, based on vegetation data gathered at local, regional, or national levels. This will facilitate cooperation on vegetation management issues that transcend jurisdictional boundaries. It is therefore important that, as agencies map or inventory vegetation, they collect enough data to translate it for national reporting, aggregation, and comparisons. The ability to crosswalk other vegetation classifications and map legends to the NVC will facilitate the compilation of regional and national summaries. The overall purpose of this standard encompasses four broad objectives:

1. To facilitate and support the development of a standardized vegetation classification for the United States and its use for information sharing.
2. To define and adopt standards for vegetation data collection and analysis used in support of the classification.

3. To maintain scientific credibility of the national classification through peer review.
4. To facilitate inter-agency collaboration and inter-agency product consistency.

This national standard requires all federal vegetation classification efforts to meet core data requirements that are the same across all federal agencies to permit aggregation of data from all federal agencies. This will facilitate the ongoing, dynamic development of a vegetation classification content standard (, i.e., the NVC). The Standard also requires that vegetation mapping and inventory units crosswalk to the NVC. This means that the composition of any map unit or inventory unit can be described in terms of one or more vegetation types at an appropriate level of the NVC hierarchy.

This Standard shall not preclude alternative classification approaches and systems that address particular needs of Federal agencies. It is intended to facilitate an orderly development of a national vegetation classification as well as collaboration with international vegetation classification activities. The standard should not hamper local Federal efforts from doing whatever they need to meet their specific purposes, such as inventory, monitoring, and mapping.

This standard requires that when Federal efforts are conducted, they are conducted in ways that, whatever else they do, they provide the minimum data needed to integrate plot data and crosswalk vegetation types, and map units to the content standard (the NVC). Individual plots should be assignable to one vegetation type at the lowest possible level of the NVC hierarchy. Local vegetation types and map units may crosswalk to one or more NVC vegetation types at a similar level of the NVC hierarchy.

1.3 Scope

This Standard applies only to existing vegetation, and the NVC includes only existing vegetation types. Existing vegetation is the plant cover, or floristic composition and vegetation structure, documented to occur at a specific location and time (Tart et al.

2005a, Jennings et al. 2006). However, the specific time need not be the present or even recent (i.e., historical data may be included). Existing vegetation types are defined on the basis of inherent attributes and characteristics of the vegetation, such as structure, growth form, floristic composition, and cover (FGDC 1997, Jennings et al. 2006, Tart et al. 2005a, b). Abiotic factors, geographic and successional relationships are used to help interpret the types. This Standard does not directly apply to classification or mapping of potential natural vegetation.

This Standard establishes national procedures for classifying existing vegetation for the United States and its Trust Territories that shall be used by Federal agencies to share vegetation information and facilitate reporting of national statistics across ownerships. The classification system created using these procedures will be referred to as the U.S. National Vegetation Classification (i.e., the NVC). This Standard also establishes minimum metadata requirements to ensure consistent reporting on the status of our Nation's vegetation resources. Both the NVC and the metadata requirements may be used nationally to link local level vegetation inventory and map efforts.

1.4 Applicability

This Standard is intended to be used for information sharing by federal agencies and as needed by other groups, including those engaged in land use planning or management, such as county and state governments, teaching or research institutions, and the private sector. Widespread use of these standards will facilitate integration of existing vegetation data collected by diverse users to address national and regional information needs.

This standard shall be followed by all Federal agencies for vegetation classification data collected directly or indirectly (through grants, partnerships, or contracts) using federal funds. The standard should be applied at a level of the hierarchy appropriate to the agencies' needs. Agencies are encouraged to participate in the ongoing development of the NVC through implementation of this FGDC Standard. Non-federal organizations might find it useful to use the Standard to increase the compatibility of their efforts with

those of nearby federal land managers and/or to make their efforts more compatible with any activities that involve federal agencies.

Each Federal agency is free to develop vegetation classification systems that meet their own information and business needs. The ecological characteristics of such local vegetation types can help guide the design of map legends (sets of map units) to address varying land management issues at multiple spatial scales. The NVC is expected to provide the common link to compare and relate these various map legends to each other and facilitate information sharing between federal agencies and other organizations.

1.5 Related Standards

This standard deals with existing vegetation. It explicitly seeks to avoid land use terms, but may be useful to efforts to describe and map land use.

The NVC overlaps one other federal standard, the FGDC Wetlands and Deep Water Habitats Standard (FGDC-STD-004) (Cowardin et al. 1979), wherever vegetation exists in wetlands or open water. The NVC classifies vegetation primarily according to physiognomic and floristic characteristics, not habitat or related characteristics, whereas the Wetlands standard includes soils and other habitat characteristics in its classification criteria. The two standards have different purposes and so the two classification systems should be viewed as complementary but different systematic approaches in an overall analysis of an area.

The FGDC is working with partners on collaboration of the U.S. NVC in an international context, including coordination of the U.S. NVC with NatureServe and other partners of the International Vegetation Classification (NatureServe 2006, Faber-Langendoen et al. 2006), and with other national classifications such as the Canadian NVC (Alvo and Ponomarenko 2003, CNVC Technical Committee 2005) and partners in Mexico and other countries in Latin America.

1.6 Standards Development Procedures

A Subcommittee on vegetation data (FGDC Vegetation Subcommittee, hereafter called the Subcommittee) was established in 1990 by OMB Circular A-16 (OMB 1990) and published a vegetation classification standard (FGDC-STD-005) in 1997. This standard is a modification of that standard. The Subcommittee consists of representatives designated by the Federal agencies that collect, or finance the collection of, vegetation data as part of their mission or have direct application of these data through legislated mandate. Agencies and organizations that participated in the modification of the 1997 standard include:

U.S. Government:

- Department of Agriculture (USDA)
 - Forest Service (FS) - Chair
 - National Agriculture Statistics Service (NASS)
 - Natural Resources Conservation Service (NRCS)
- Department of Defense (DOD)
 - U.S. Army Corps of Engineers (USACERL)
- Department of the Interior (USDI)
 - Bureau of Land Management (BLM)
 - Bureau of Indian Affairs (BIA)
 - Fish and Wildlife Service (FWS)
 - National Park Service (NPS)
 - U.S. Geological Survey (USGS)
- National Aeronautics and Space Administration (NASA)

Non U.S. Government:

- NatureServe
- Ecological Society of America (ESA)

The Subcommittee identified a need to establish a hierarchical classification standard and associated information standards that will contain an organized list of vegetation types (taxonomic units) with identified relationships among them. Procedures used to develop these standards included user surveys, periodic Subcommittee meetings, a vegetation classification forum held in 1995, preparation of a draft standard for lower floristic units by the Vegetation Classification Panel of ESA (Jennings et al. 2006), preparation of a draft standard for higher physiognomic and floristic units by the FGDC Hierarchy Revisions Working Group (Faber-Langendoen et al. 2006), and a review of the draft

standards by the agencies and organizations represented on the Subcommittee. All decisions were made by consensus as prescribed by OMB Circular A-119 (OMB 1998).

1.6.1 Guiding Principles

The following principles were used to modify the NVC Standard:

- Develop a scientific, standardized classification system, with practical use for conservation and resource management.
- Classify existing vegetation. Existing vegetation is the plant cover, or floristic composition and vegetation structure, documented to occur at a specific location and time, preferably at the optimal time during the growing season. This Standard does not directly apply to classification or mapping of potential natural vegetation.
- Classify vegetation on the basis of inherent attributes and characteristics of the vegetation structure, growth form, species and cover, emphasizing both physiognomic and floristic criteria.
- Base criteria for the types on ecologically meaningful relationships; that is, abiotic, geographic and successional relationships help to organize the vegetation into types and levels.
- Organize types by a hierarchy. The NVC is hierarchical (i.e., multi-leveled), with a small number of generalized types at the higher level and an increasingly large number of more detailed types at the lower levels. Having multiple levels allows for applications at a range of scales (UNEP/FAO 1995, Di Gregorio and Jansen 1996).
- The upper levels of the NVC are based primarily on the physiognomy (growth form, cover, structure) of the vegetation (not individual species), lower levels are based primarily on floristics (species composition and abundance), and mid levels are based on a combination of vegetation criteria.
- Describe types based on plot data, using publicly accessible data wherever possible.
- Modify the classification through a structured peer review process. The classification standard shall be dynamic, allowing for refinement as additional information becomes available.
- Facilitate linkages to other classifications and to vegetation mapping (but the classification is not a map legend).
- The classification is applicable over extensive areas.

- The classification shall avoid developing conflicting concepts and methods through cooperative development with the widest possible range of individuals and institutions.
- Application of the classification shall be repeatable and consistent.
- When possible, the classification standard shall use common terminology (i.e., terms should be understandable and jargon should be avoided).

1.7 Maintenance Authority

The United States Department of Agriculture (USDA) Forest Service was assigned responsibility to coordinate vegetation data-related activities under the policy guidance and oversight of the FGDC. This modification of the NVC Standard was developed under the authority of the Office of Management and Budget Circular A-16, revised 2002.

Through the Subcommittee, the USDA Forest Service will oversee the maintenance and updating of the Standard through periodic review, and will oversee maintenance, updating, dissemination, and implementation of the NVC that is based on this Standard in collaboration with member agencies, professional societies, and other organizations. Future revision of this Standard shall follow the standards development process described in the FGDC Standards Reference Model (FGDC 1996). The dynamic content of the NVC shall be updated under the direction of a national review board authorized by the USDA Forest Service through the Subcommittee.

For more information about the Vegetation Subcommittee or the national review board, please contact:

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2. Structure of the National Vegetation Classification

The structure of the revised NVC hierarchy is a substantial revision of the 1997 hierarchy. The revised hierarchy addresses the following issues, among others: a) uses vegetation criteria to define all types (de-emphasizing abiotic criteria, such as hydrologic regimes in wetland types), b) provides a clear distinction between natural and cultural vegetation wherever these can be observed from broad growth form patterns (rather than combining natural and cultural vegetation initially and separating them at lower levels), c) for natural vegetation, defines the upper levels based on broad growth form patterns that reflect ecological relationships (rather than detailed structural criteria, which are more appropriate lower down in the hierarchy), d) provides a new set of middle-level natural units that bridge the large conceptual gap between alliance and formation, e) integrates the physiognomic and floristic hierarchy levels based on ecologic vegetation patterns, rather than developing the physiognomic and floristic levels independently and then forcing them into a hierarchy, f) provides detailed standards for plot data collection, type description and classification, data management and peer review of natural vegetation, and g) for cultural vegetation provides an independent set of levels that addresses the particular needs of cultural vegetation. See Jennings et al. (2006) and Faber-Langendoen et al. (2006) for further details on the rationale behind these changes.

Several primary categories are helpful in describing the scope of the NVC and placing it within a broader land cover context. First, it includes all vegetated areas. That is, all areas having typically 1% or more of their surface area with live vegetation cover are classified within the NVC. This includes vegetation found on both strictly upland environments and in wetlands (rooted emergent and floating vegetation). The NVC excludes non-vegetated natural lands (e.g., rock, glaciers, some deserts) and waters (e.g., lakes and rivers) and non-vegetated cultural lands (e.g., roads, buildings, mines) and waters (e.g., reservoirs, canals). These distinctions are outlined in Table 2.1. The relation of the NVC categories to broader land cover classification categories, including the FAO Land Cover Classification System (Di Gregorio and Jansen 1996), the U.S. National

Land Cover Database (NLCD) (USGS 2001), and the National Resources Inventory (NRI 2003) is further described in Appendix B.

Separate categories are provided for natural and cultural vegetation, consistent with many other vegetation and land cover classifications (e.g. Küchler 1969, Anderson et al. 1976, Di Gregorio and Jansen 1996). Within this categorical framework, the cultural and natural vegetation classifications are hierarchical, emphasizing primarily floristics at the lower levels, both physiognomic and floristics at mid levels, and primarily physiognomy at upper levels. Separate hierarchies are developed for cultural and natural vegetation types, allowing for the characterization of their distinctive vegetation patterns at multiple spatial and taxonomic scales. The term “vegetation type” is used to name vegetation classification units in general, at any level of the vegetation hierarchy (e.g., a Montane Tropical Rainforest Formation unit and a Black Cottonwood Forest Alliance unit are both “vegetation types.”).

Natural (including semi-natural) vegetation is defined as *vegetation where ecological processes primarily determine species and site characteristics; that is, vegetation comprised of a largely spontaneously growing set of plant species that are shaped by both site and biotic processes* (Küchler 1969, Westhoff and van der Maarel 1973).

Natural vegetation forms recognizable physiognomic and floristic groupings that can be related to ecological site features. Human activities influence these interactions to varying degrees (e.g., logging, livestock grazing, fire, introduced pathogens), but do not eliminate or dominate the spontaneous processes (Westhoff and van der Maarel 1973).

Wherever doubt exists as to the naturalness of a vegetation type (e.g., old fields, various forest plantations), it is classified as part of the natural / semi-natural vegetation. Semi-natural vegetation typically encompasses vegetation types where the species composition and/or vegetation growth forms have been altered through anthropogenic disturbances such that no clear natural analogue is known, but they are a largely spontaneous set of plants shaped by ecological processes. Natural (or near-natural) and semi-natural vegetation are part of a continuum of change within natural vegetation that reflects varying degrees of anthropogenic and other disturbances.

The distinctive physiognomy, floristics, and dependence on human activity for its persistence set cultural vegetation apart from natural and semi-natural vegetation. **Cultural vegetation** is defined as *vegetation with a distinctive structure, composition, and development determined by regular human activity* (cultural vegetation *sensu stricto* of Küchler 1969). Cultural vegetation has typically been planted or treated, and has relatively distinctive physiognomic, floristic, or site features when compared to natural vegetation. Distinctive physiognomic and structural attributes typically include one or more of the following:

- a. Dominant herbaceous vegetation that is regularly-spaced and/or growing in rows, often in areas with substantial cover of bare soil for significant periods of the year, usually determined by tillage or chemical treatment.
- b. Dominant vegetation with highly-manipulated growth forms or structure rarely found as a result of natural plant development, usually determined by mechanical pruning, mowing, clipping, etc.
- c. Dominant vegetation comprised of species not native to the area that have been intentionally introduced to the site by humans and that would not persist without active management by humans.

Table 2.1. Conceptual Categories and Level One of the NVC hierarchy.

Level 1 units are further explained in section 2.1 and 2.2.

CATEGORY 1	CATEGORY 2	Level 1
VEGETATED AREAS	(SEMI) NATURAL VEGETATION	Forest and Woodland
		Shrubland and Grassland
		Semi-Desert Vegetation
		Polar and High Montane Vegetation
		Aquatic Vegetation
		Nonvascular and Sparse Vascular Vegetation
NONVEGETATED AREAS	CULTURAL VEGETATION	Agricultural Vegetation
		Developed Vegetation
	Not included in the NVC.	

2.1 NATURAL VEGETATION

2.1.1 Overview of the Natural Vegetation Hierarchy

The natural vegetation hierarchy consists of eight levels, organized into three upper levels, three middle levels, and two lower levels (Table 2.2). As noted in section 2.0 above, the basis for this hierarchy is a substantial revision of the FGDC 1997 hierarchy, as illustrated in Table 2.2, particularly in that levels and requirements for cultural vegetation are now defined separately from the natural vegetation levels (see Section 2.2 below).

2.1.2 Classification Criteria for Natural Vegetation

Floristic and physiognomic criteria are the primary properties of natural vegetation used to define all units of the classification. The choice of how these criteria are used should be evaluated in light of ecological and biogeographic considerations: The variety of vegetation criteria can be summarized as follows (see also Mueller-Dombois and Ellenberg 1974, p. 154-155):

A. Physiognomic and structural criteria

1. Diagnostic combinations of growth forms
2. Ecological patterns of either dominant growth forms or combinations of growth forms
 - Growth forms of similar ecological (habitat) and dynamic significance
 - Growth forms of similar geographical distribution
3. Vertical stratification (layering) of growth forms
 - Complexity in structure as produced by arrangement of growth forms

B. Floristic criteria

1. Diagnostic combinations of species (characteristic combinations)
 - Constant species
 - Differential and character species
 - Dominant species

Table 2.2. Comparison of Revised Hierarchy for Natural Vegetation with the 1997 Hierarchy. See Appendix C for multilingual (English, French, Spanish) version of the hierarchy. In the 1997 version, natural and cultural vegetation were not separated until Level 4 – formation subgroup.

Revised Hierarchy for Natural Vegetation	1997 FGDC Hierarchy
Upper	
	Division - Vegetation vs. Non-vegetation
	Order – Tree, Shrub, Herb, Nonvascular
Level 1 – Formation Class	Level 1 – Formation Class
	Level 2 - Formation Subclass
Level 2 – Formation Subclass	Level 3 – Formation Group
	Level 4 – Formation Subgroup – Natural/Cultural
Level 3 - Formation	Level 5 – Formation
Mid	
Level 4 – Division	
Level 5 – Macrogroup	
Level 6 - Group	
Lower	
Level 7 – Alliance	Level 6 – Alliance
Level 8 – Association	Level 7 – Association

2. Ecological combinations of species

- Indicator species of similar ecological (habitat) and/or dynamic significance
- Species of similar geographical distribution

3. Vertical stratification (layering) of species

- Species patterns found in the dominant growth forms or strata
- Species patterns found between strata (overstory/understory)

4. Numerical relation criteria (community coefficients, such as indices of similarity among plots within a type)

Habitat factors (e.g., climate, soil type) or anthropogenic management activities are used to help interpret the vegetation, as these are expressed through the vegetation, but are not an explicit part of the hierarchy.

All type concepts based on these criteria should be derived from analysis of field plot data in which the species, growth forms, and their abundance, along with the plot location, overall vegetation structure, and habitat setting are described. These field data provide the fundamental information for the numerical description of types.

2.1.3 Definitions of Natural Vegetation Hierarchy Levels

The natural vegetation hierarchy consists of eight levels (see Table 2.3).

Upper level (physiognomic-ecological) units:

- a. **Formation Class:** A vegetation classification unit of high rank (1st level) defined by a characteristic combination of *dominant growth forms* adapted to a very basic set of *moisture / temperature regimes*.
- b. **Formation Subclass:** A vegetation classification unit of high rank (2nd level) defined by geographically widespread (global) plant communities of similar *physiognomy and dominant growth forms*, typically related to *major climatic conditions*. (Whittaker 1975, Lincoln et al. 1998).
- c. **Formation:** A vegetation classification unit of high rank (3rd level) defined by geographically widespread (global) plant communities of similar *physiognomy and dominant growth forms*, typically related to major *topographic and edaphic conditions* occurring within major climatic conditions (Whittaker 1975, Lincoln et al. 1998).

Mid-level (physiognomic-floristic) units:

- d. **Division:** A vegetation classification unit of intermediate rank (4th level) defined by a group of plant communities in a *given continental or other broad geographic area* exhibiting a *common set of dominant growth forms* and *many diagnostic plant taxa* (including many character taxa of the dominant growth forms) corresponding to broad climatic and environmental characteristics. (Westhoff and van der Maarel 1973, Whittaker 1975).
- e. **Macrogroup:** A vegetation classification unit of intermediate rank (5th level) defined by a group of plant communities with a *common set of specific growth forms* and *many diagnostic plant taxa* (including many character taxa of the dominant growth forms), preferentially sharing a similar *broad geographic region* and *regional climate*, and *disturbance* (cf. Pignatti et al. 1995).
- f. **Group:** A vegetation classification unit of intermediate rank (6th level) defined by a group of plant communities with a *common set of specific growth forms* and *several diagnostic species* (taxa) (including character species of the dominant growth forms), preferentially sharing a similar set of *regional edaphic, topographic, and disturbance factors* (cf. Pignatti et al. 1995, Specht and Specht 2001).

Lower-level (floristic) units:

- g. **Alliance:** A vegetation classification unit of low rank (7th level) containing one or more associations, and defined by a *characteristic range of species composition, habitat conditions, physiognomy, and diagnostic species*, typically at least one of which is found in the uppermost or dominant stratum of the vegetation (Jennings et al. 2006).
- h. **Association:** A vegetation classification unit of low rank (8th level) defined on the basis of a *characteristic range of species composition, diagnostic species occurrence, habitat conditions and physiognomy* (Jennings et al. 2006).

These eight levels comprise the standard levels of the NVC. Lower level units, such as sub-association or variant, may also be used, if desired. See Westhoff and van der Maarel (1973) for guidance on the definitions and applications of these levels.

Table 2.3. Revised Hierarchy for Natural Vegetation with Example. A fuller set of examples of natural vegetation units for Levels 1 through 7 are provided in Appendix G.

Revised Hierarchy for Natural Vegetation	Example
Upper Levels	
1 – Formation Class	Scientific Name: Mesomorphic Shrub and Herb Vegetation Colloquial Name: Shrubland and Grassland
2 – Formation Subclass	Scientific Name: Temperate and Boreal Shrub and Herb Vegetation Colloquial Name: Temperate and Boreal Shrubland & Grassland
3 - Formation	Scientific Name: Temperate Shrub and Herb Vegetation Colloquial Name: Temperate Shrubland & Grassland
Mid Levels	
4 – Division	Scientific Name: <i>Andropogon – Stipa – Bouteloua</i> Grassland & Shrubland Division Colloquial Name: North American Great Plains Grassland & Shrubland
5 – Macrogroup	Scientific Name: <i>Andropogon gerardii – Schizachyrium scoparium – Sorghastrum nutans</i> Grassland & Shrubland Macrogroup Colloquial Name: Great Plains Tall Grassland & Shrubland
6 - Group	Scientific Name: <i>Andropogon gerardii – Sporobolus heterolepis</i> Grassland Group Colloquial Name: Great Plains Mesic Tallgrass Prairie
Lower Levels	
7 – Alliance	Scientific Name: <i>Andropogon gerardii – (Calamagrostis canadensis – Panicum virgatum)</i> Herbaceous Alliance Colloquial Name: Wet-mesic Tallgrass Prairie
8 – Association	Scientific Name: <i>Andropogon gerardii – Panicum virgatum – Helianthus grosseserratus</i> Herbaceous Vegetation Colloquial Name: Central Wet-mesic Tallgrass Prairie

2.1.4 Criteria for Natural Vegetation Hierarchy Levels

The natural vegetation hierarchy is based on diagnostic species and growth forms. These are species and growth forms that exhibit patterns of relative fidelity, constancy, or dominance that differentiate one type from another. Emphasis is placed on diagnostic growth forms at upper levels, on character species and dominant growth forms at intermediate levels, and on differential and dominant species at lower floristic levels, in combination with specific physiognomic and habitat conditions. Vegetation encompasses a broad range and scale of types (tundra, aquatic vegetation, woodlands, grasslands, semi-desert, etc.), and attempts to coin universal definitions and criteria at the outset that are valid for each level are bound to fail (Mucina 1997). Still, a fairly uniform application should be possible “within borders of ecologically and structurally similar groups of vegetation types” (Mucina 1997). A summary of the diagnostic criteria are provided in Table 2.4. For each vegetation type, the diagnostic criteria used to define the units should be clearly stated, and the range of variation in composition, habitat, and physiognomy and structure should be clearly described, including similarity with other related types.

For the purposes of this Standard, the various kinds of diagnostic growth forms and species are defined as follows:

Dominant Growth Form — a growth form with a high percent cover, usually in the uppermost dominant layer.

Indicator Growth Form — a growth form whose presence, abundance, or vigor is considered to indicate certain climatic and site conditions.

Character species — a species that shows a distinct maximum concentration, quantitatively and by constancy, in one well-defined vegetation type; sometimes recognized at local, regional, and absolute geographic scales. (Mueller-Dombois and Ellenberg 1974, p. 178, 208; Bruehlheide 2000)

Differential Species — A plant species that is distinctly more widespread or successful in one of a pair or group of plant communities than in the other(s), although it may be still more successful in other communities not under discussion (Curtis 1959, Bruehlheide 2000). The more limited a species is to one or a few plant community types, the stronger its differential value.

Constant species – species that are present in a high percentage of the plots that define a type, often defined as those species with at least 60% constancy (Mueller-Dombois and Ellenberg 1974, p. 178).

Dominant Species — species with the highest percent of cover, usually in the uppermost dominant layer. In other contexts, dominant species can be defined in terms of biomass, density, height, coverage, etc. (Kimmins 1997).

Indicator Species — a species whose presence, abundance, or vigor is considered to indicate certain site conditions (Gabriel and Talbot 1984).

Table 2.4. Summary of Criteria and Rationale for the Natural Vegetation Hierarchy.

Taxonomic Level	Criteria (plant adaptations)
Upper: Physiognomy plays a predominant role.	
L1 – Formation Class	Broad combinations of general growth forms (mesomorphic, xeromorphic, cryomorphic, lithomorphic, hydromorphic) that reflect basic moisture / temperature regimes.
L2 – Formation Subclass	Combinations of general growth forms that reflect global climatic factors.
L3 – Formation	Combinations of general and specific growth forms and physiognomy that reflect geographically widespread (global) topographic and edaphic factors, including broad altitudinal gradients.
Middle: Both floristics and physiognomy play a significant role.	
L4 – Division	Combinations of specific growth forms and diagnostic plant taxa reflecting continental- or broad geographic-scale factors. Many character taxa are expected.
L5 – Macrogroup	<i>A common set of growth forms and many diagnostic plant taxa</i> (including some character taxa of the dominant growth forms), preferentially sharing a broadly similar <i>geographic region</i> and <i>regional climate</i> , and <i>disturbance factors</i> . In the case of semi-natural vegetation, large-scale anthropogenic modifications of these factors may occur. Many character taxa are expected.
L6 – Group	<i>A common set of growth forms and diagnostic species (taxa)</i> (including character species of the dominant growth forms), preferentially sharing a similar set of <i>-regional edaphic, topographic, and disturbance factors</i>
Lower: Floristics plays a predominant role.	
L7 – Alliance	Specific diagnostic species, including those from the dominant growth forms, with a moderately homogenous physiognomy and structure, which together reflect moisture, fertility, and disturbance gradients within a region. Typically contains at least one character (or strong differential) species.
L8 – Association	Specific diagnostic species or combination of species from any growth form, with an overall moderately to strongly homogeneous physiognomy and structure, which together reflect specific moisture, fertility and disturbance gradients within a region or landscape.

2.2 CULTURAL VEGETATION

2.2.1 Overview of the Cultural Vegetation Hierarchy

The cultural vegetation hierarchy consists of eight levels, organized into four upper, two mid, and two lower level units (Table 2.5). As noted in section 2.0 above, the basis for this hierarchy is substantially revised from the FGDC 1997 hierarchy, as illustrated in Table 2.1, particularly in that levels and requirements for cultural vegetation are now defined separately from the natural vegetation levels (see 2.1 above). See Faber-Langendoen et al. (2007) for further details on the rationale behind these changes.

2.2.2 Criteria for Classification of Cultural Vegetation

Floristic and physiognomic criteria are the primary properties of cultural vegetation used to define all units of the classification, but assessed in light of human activities that govern these properties. Thus, choice of how these criteria are used should be evaluated in light of human management needs. Excluded from these criteria are properties from outside the current vegetation, such as explicit habitat factors (e.g., climate, soil type) or land use activities (e.g., grazed pasture versus ungrazed pasture), except as these are expressed in the vegetation cover. Some types are difficult to place in terms of natural versus cultural vegetation (e.g., forest plantation, pastures), and the user may need to look in both parts of the hierarchy to determine the type's location. The broad criteria for classifying cultural vegetation may be summarized as follows:

A. Growth form criteria

1. Diagnostic patterns of growth forms
2. Ecologic and managed patterns of growth forms
 - Growth forms of similar management significance (e.g., crop types)
 - Growth forms of similar ecology and habitat
3. Vertical stratification (layering) of growth forms

B. Floristic (crop or managed species) criteria

1. Diagnostic combinations of species/crop or managed types

Table 2.5. Revised Hierarchy for Cultural Vegetation with Examples. A fuller set of examples of vegetation types for each of these levels is provided in Appendix H.

Revised Hierarchy for Cultural Vegetation	Example	Example
Upper		
Level 1 – Cultural Class	Agricultural Vegetation	Agricultural Vegetation
Level 2 – Cultural Subclass	Herbaceous Agricultural Vegetation	Woody Agricultural Vegetation
Level 3 – Cultural Formation	Cultivated Crop	Woody Horticultural Crop
Level 4 – Cultural Subformation	Row Crop	Orchard
Mid		
<i>Level 5 – Cultural Group [optional]</i>	<i>Temperate and Tropical Row Crop</i>	<i>Temperate and Tropical Orchard</i>
Level 6 – Cultural Subgroup	Corn	Fruit - Orchards
Lower		
Level 7 – Cultural Type	Sweet Corn	Apple
<i>Level 8 – Cultural Subtype [optional]</i>		

2. Ecologic and managed combinations of species/crop or managed types

- Species of similar management significance (e.g., crop types)
- Species of similar ecology and habitat

3. Vertical stratification (layering) of species

All type concepts based on these criteria should be derived from field observations, in which the crop or managed species, growth forms, and their abundance, along with the field observation record, overall vegetation structure, and habitat setting are described. These field data provide the fundamental information for the description of types. All types at all levels should be described and characterized. Initially, the new upper and mid levels may have only brief characterizations, but shall be elaborated over time.

2.2.3 Definitions of Cultural Vegetation Hierarchy Levels

The cultural vegetation hierarchy consists of eight levels (see Table 2.5). These levels are different from the natural vegetation hierarchy, by providing an additional physiognomic level (level 4), placing less emphasis on broad-scale, biogeographic and climate patterns, but still providing for multiple scales of floristically and physiognomically defined agricultural and developed vegetation types.

Upper level (physiognomic-ecological) units:

- a. Cultural Class:** A cultural vegetation classification unit of high rank (1st level) defined by a characteristic combination of *dominant growth forms* adapted to *relatively intensive human manipulations, as reflected in relatively rapid changes in structure and/or composition*.
- b. Cultural Subclass:** A cultural vegetation classification unit of high rank (2nd level) defined by combinations and degree of *herbaceous* versus *woody growth forms*.
- c. Cultural Formation:** A cultural vegetation classification unit of high rank (3rd level) defined by whether or not *canopy structure* of dominant growth forms is *annually converted* or *heavily manipulated / harvested*.
- d. Cultural Subformation:** A vegetation classification unit of intermediate rank (4th level) defined by the *spatial structure* of the vegetation, including whether in *swards, rows*, and degree of *manipulation to the canopy*.

Mid-level (physiognomic-floristic) units:

- e. Cultural Group:** A cultural vegetation classification unit of intermediate rank (5th level) defined by a *common set of growth forms* and *many diagnostic plant taxa* sharing a broadly similar *region* and *climate*, and *disturbance factors*.
- f. Cultural SubGroup:** A cultural vegetation classification unit of intermediate rank (6th level) defined by a *common set of growth forms* and *diagnostic species* (taxa) preferentially sharing a similar set of *regional edaphic, topographic*, and *disturbance factors*.

Lower-level (floristic) units:

- g. Cultural Type:** A vegetation classification unit, of moderately low rank (7th level) defined by one or more *dominant or co-dominant species*, as well as *habitat conditions*, and *physiognomy*.
- h. Cultural Subtype:** A vegetation classification unit, of low rank (8th level) defined on the basis one or more *dominant or co-dominant species*, in conjunction with a *characteristic set of associated species, habitat conditions and physiognomy*.

2.2.4 Criteria for Cultural Vegetation Hierarchy Levels

The cultural vegetation hierarchy is based on a combination of growth forms, dominant species and associated species. These are species and growth forms that exhibit patterns of relative constancy or dominance that differentiate one type from another. Emphasis is placed on dominant growth forms at upper levels, on dominant species and dominant growth forms at intermediate levels, and on a combination of dominant and associated species at lower floristic levels, in combination with specific physiognomic and habitat conditions. Cultural vegetation encompasses a broad range and scale of types (agricultural fields, orchards, lawns) and, as with natural vegetation, attempts to coin universal definitions and criteria at the outset that are valid for each level will be challenging. For each vegetation type, the diagnostic criteria used to define the unit should be clearly stated, and the range of variation in composition, habitat, and physiognomy and structure should be clearly described, including similarity with other related types. Cultural vegetation types already in use by the agricultural community should be preferentially used (see Appendix H). A comparison with some European approaches to some kinds of cultural vegetation (such as pastures and lawns) is provided in Appendix H1.

For the purposes of this Standard, the various kinds of diagnostic growth forms and species are defined as follows:

Dominant Growth Form — a growth form with a high percent cover, usually in the uppermost dominant layer

Indicator Growth Form — a growth form whose presence, abundance, or vigor is considered to indicate certain climatic, site and/or cultural conditions.

Dominant Species — species with a high percent of cover, usually in the uppermost dominant layer (in other contexts dominant species can be defined in terms of biomass, density, height, coverage, etc. (Kimmins 1997)).

Indicator Species— a species whose presence, abundance, or vigor is considered to indicate certain climate, site and/or cultural conditions (adapted from Gabriel and Talbot 1984).

Diagnostics:

Diagnostic criteria used to define the units should be clearly stated, and the range of variation in composition, habitat, and physiognomy and structure should be clearly described, including similarity with other related types.

Existing vegetation:

All vegetation units are categories of existing, or actual, vegetation (i.e., the plant species present and the vegetation structure found at a given location at the time of observation).

Classification hierarchy:

All units recognized within the cultural vegetation part of the NVC shall be defined so as to uniquely subdivide or nest within other categories of the recognized hierarchy.

3. Description and Classification of Natural Vegetation

This section describes the process standard for updating and revising the dynamic content of the NVC. Standards for field plot data and other data sources are described next, in Section 3.1. Conventions for defining, naming, and describing vegetation types are provided in Section 3.2. The process for peer review of proposals to change the names or concepts of vegetation types is described in Section 3.3. Finally, the component databases and the technical structure of the NVC information system are described in Section 3.4. The content of each of these sections is in outline format for practical application and referencing.

3.1 Data Sources

Vegetation types may be based on two sources of data: field plot data and scientific literature. Plot data are preferred, but literature may be used to expedite the development of the NVC. Eventually, all NVC vegetation types should be based on, and linked to, publicly available plot data. In the meantime, confidence levels are used to evaluate the quality of documentation for each vegetation type (see Section 3.3.1). A fundamental goal of the NVC is to have all vegetation types described from quantitative analysis of field plot data.

3.1.1 Collecting Field Plot Data

The capability to describe vegetation types from quantitative and repeatable measurements depends largely on field data that are collected and archived in a consistent manner and are publicly available. This section describes the types of information that shall be collected in the field. It addresses: selecting vegetation stands for sampling, plot design, recording species composition and site conditions, the geographic information required, and the types of metadata that shall be provided by field workers for each plot record. The focus here is on plot information that is complete enough to serve as *classification plots*; that is, plots which contribute to classification analyses that help define vegetation types. Less information is required from plots that

are gathered only for the purpose of documenting the occurrence of a previously defined vegetation type. These plots are referred to as *occurrence plots*. All of the required data fields are listed and defined in Appendix D.

1. *Stand selection and plot design:*

A stand of vegetation may be selected by a variety of methods and the criteria used to select stands should be thoroughly documented. Each plot should represent one relatively homogeneous stand of vegetation in the field. A plot shall be large enough to represent the stand in terms of total species composition and abundance. A plot may be either a single large comprehensively sampled plot (macroplot), or a set of subsampled areas (microplots) within a larger plot.

2. *Species composition of the plot:*

Species composition is required for defining units in Levels 4 – 8 of the hierarchy. The floristic composition of a plot consists of both the identity and the abundance of the genera, species, and finer taxa. The actual identity of a plant taxon can be somewhat complicated since it consists of (a) a name, and (b) a dated taxonomic reference (for example, the flora or manual used to identify the plant) or an explicit statement that the reference is unknown.

a. For classification plots, sampling should be designed to detect and record the complete assemblage of vascular plant species in the stand. Recording of nonvascular species is expected in vegetation where nonvascular species are dominant. Only one field visit at an appropriate time of year is required, though additional visits can improve plot quality and are recommended for vegetation types with marked phenological variation.

b. For classification plots, cover is the required measure of species abundance. Measurement of canopy cover, as opposed to foliar cover, is recommended. If cover values are in discrete categories rather than continuous, the cover scales should be defined quantitatively and able to nest within the Braun-Blanquet cover-abundance scale classes (Table 3.1).

c. For occurrence plots, the minimum requirements are: names of the dominant taxa (name plus taxonomic reference if available), their cover values (or another suitable measure of abundance), geographic coordinates, date of observation, and name(s) of those who made the observation. Examples of other suitable measures of abundance include, for trees, basal area, density, or some index based on the two; for forbs and graminoids, air dried weight or measures of biomass. If such measures are used to estimate cover, the methods used for this conversion, including appropriate calibration techniques, should be thoroughly documented.

d. The term species is used here to indicate the fundamental orientation of the plot sampling approach – that of a species-based approach. But it may include species or subspecies, or, if it is not possible to recognize these in

879 the field at the time of sampling, it may include either higher units such as
880 genera or family, or ad hoc units (i.e., “Carex fuzzy red base”).

881 For each species listed in a plot, assign each to a stratum (see Table 3.3) or
882 growth form (see Table 3.2), with a separate cover estimate for its abundance in
883 each of these strata or growth forms. When using strata, epiphytes and lianas are
884 listed in the strata in which they occur. At a minimum, total cover of a species in
885 the plot is required, though this may be calculated based on the stratum cover
886 values.

887

888 3. *Vertical structure and physiognomy of the plot:*

889 To describe the structure and physiognomy of vegetation, record the canopy cover
890 of major growth forms (Table 3.2) and strata or layers (Table 3.3, Figure 3.1).

891 Two approaches are acceptable 1) growth forms may be described first, then
892 subdivided into size classes (or layers), or 2) strata may be described first, then
893 subdivided by growth forms. Either approach provides sufficient information on
894 the dominant and diagnostic growth forms and their structure to place types into
895 the upper levels (levels 1 – 3) of the hierarchy. Where species data are not
896 collected, the information represents the minimum required information for
897 describing the units in these upper levels. See Tables 3.2 and 3.3 for examples of
898 both approaches. It is also possible to approximately convert the data from one
899 approach to the other as shown in Tables 3.4 and Appendix I (see also Jennings et
900 al. 2006).

- 901 a. Each plant is assigned to a stratum based on its height, and secondarily by its
902 growth form. Consequently, a tree *species* that has both seedlings and
903 saplings in a plot could be listed in several strata. However, an *individual*
904 plant shall be assigned only to one stratum.
- 905 b. Provide the prevailing height of the top and the base of each stratum.
- 906 c. The cover of the stratum is the total vertical projection on the ground of the
907 canopy cover of all species collectively, not the sum of the individual covers
908 of all species in the stratum. The total cover of the stratum will, therefore,
909 never exceed 100% (whereas, adding up the individual cover of species within
910 the stratum could well exceed 100% since species may overlap in their cover).
911 Foliar cover is also acceptable.
- 912 d. The percent cover of at least the three most abundant growth forms in the
913 dominant or uppermost stratum should also be estimated (see Appendix E for
914 a list of growth forms).
- 915 e. Bryophytes (including liverworts) and lichens growing on the same ground
916 substrate as vascular plants are treated as part of the nonvascular strata.
- 917 f. When assessing total cover of each stratum, an epiphyte or liana should be
918 included in the stratum where it occurs.

- g. The nonvascular stratum (sometimes called ground, bryoid, or moss stratum) is reserved strictly for cryptogams (mosses, lichens, liverworts, algae and bacteria), even where herbs or woody plants may be reduced to very short heights.

Table 3.1. Comparison of Commonly Used Cover-Abundance Scales.

Agencies and authors are abbreviated as: BB=Braun-Blanquet (1928); NC=North Carolina Vegetation Survey (Peet et al. 1998); K=Domin sensu Krajina (1933); DAUB=Daubenmire (1959); FS (Db)=Forest Service, modified Daubenmire (1959) scale; PA=Pfister and Arno (1980); NZ=New Zealand LandCare (Allen 1992, Hall 1992); BDS=Barkman et al. (1964); D=Domin (1928); FS (eco) = Jensen et al. (1994), U.S. Forest Service ECODATA software. Break points shown in the Cover-abundance column reflect the major break points of the Braun-Blanquet scale, which is considered the minimum standard for cover classes. Among the available cover class systems, the NC and K cover class systems can be unambiguously collapsed to the B-B standard, and the D, DAUB, FS, PA and NZ scales are for all practical purposes collapsible into the B-B scale without damage to data integrity. The BDS is discordant with the B-B standard and should be avoided except when required for incorporation of legacy data.

Cover-abundance	BB	NC	K	DAUB	FS(Db)	PA	NZ	BDS	D	FS(eco)
Present but not in plot () [†]						+				
Single individual	r	1	+	1	T	T	1	-	+	1
Sporadic or few	+	1	1	1	T	T	1	-	1	1
0 - 1%	1 [‡]	2	2	1	T	T	1	-	2	1
1 - 2%	1	3	3	1	1	1	2	-	3	3
2 - 3%	1	4	3	1	1	1	2	0	3	3
3 - 5%	1	4	3	1	1	1	2	0	4	3
5 - 6.25%	2	5	4	2	2	2	3	1	4	10
6.25 - 10%	2	5	4	2	2	2	3	1	4	10
10 - 12.5%	2	6	5	2	2	2	3	1	5	10
12.5 - 15%	2	6	5	2	2	2	3	1	5	10
15 - 25%	2	6	5	2	2	2	3	2	5	20
25 - 30%	3	7	6	3	3	3	4	3	6	30
30 - 33%	3	7	6	3	3	3	4	3	6	30
33 - 35%	3	7	7	3	3	3	4	3	7	30
35 - 45%	3	7	7	3	3	3	4	4	7	40
45 - 50%	3	7	7	3	3	3	4	5	7	50
50 - 55%	4	8	8	4	4	4	5	5	8	50
55 - 65%	4	8	8	4	4	4	5	6	8	60
65 - 75%	4	8	8	4	4	4	5	7	8	70
75 - 85%	5	9	9	5	5	5	6	8	9	80
85 - 90%	5	9	9	5	5	5	6	9	9	90
90 - 95%	5	9	9	5	5	5	6	9	10	90
95 - 100%	5	10	10	6	6	6	6	10	10	98

[†] Species present in the stand but not in the plot are usually added in parentheses to the species list.

[‡] This is a cover/abundance scale; if numerous individuals of a taxon collectively contribute less than 5% cover, then the taxon can be assigned a value of 1 or, if very sparse, a “+.”

Table 3.2. Example of describing growth forms first, then subdividing into size classes USFS Protocol (Tart et al. 2005b). For definitions of optional size classes see Tart et al. (2005b).

General Growth Form	Required Size Classes	Optional Size Classes
Trees: <i>Woody plants that generally have a single main stem and have more or less definite crowns. In instances where growth form cannot be determined, woody plants equal to or greater than 5 meters in height at maturity shall be considered trees</i>	Overstory: Trees at least 5 meters in height that make up the forest canopy or dwarf trees* that have attained at least half of their (site-specific) potential height growth and make up the forest canopy	Supercanopy Main Canopy Subcanopy
	Regeneration: Trees less than 5 meters in height or dwarf trees* that have attained less than half of their (site-specific) potential height growth and are clearly overtopped by the overstory layer.	Sapling Seedling Established Non-Established
Shrubs: <i>Woody plants ... that generally exhibit several erect, spreading, or prostrate stems which give it a bushy appearance. In instances where growth form cannot be determined, woody plant less than 5 meters in height at maturity shall be considered shrubs.</i>		Tall Shrubs Medium Shrubs Low Shrubs
Herbs: <i>"Vascular plants without significant woody tissue above the ground, with perennating buds borne at or below the ground surface." Includes graminoids, forbs, ferns, club mosses, horsetails, and quillworts.</i>		Additional recommended growth forms: Graminoid Forb
Nonvascular: <i>A plant or plant-like organism without specialized water or fluid conductive tissue (xylem and phloem). Includes mosses, liverworts, hornworts, lichens, and algae). Also called thallophytes or "nonvascular cryptogams," (that is, excluding the fern cryptogams)</i>		Additional recommended growth forms: Moss Lichen
Floating: <i>Rooted or drifting plants that float on the water surface (e.g., duckweed, water-lily).</i>		
Submerged: <i>Rooted or drifting plants that by-and-large remain submerged in the water column or on the aquatic bottom (e.g., sea grass).</i>		
Epiphyte** <i>A vascular or nonvascular plant that grows by germinating and rooting on other plants or other perched structures, and does not root in the ground.</i>		
Liana:** <i>A woody, climbing plant that begins life as terrestrial seedlings but relies on external structural support for height growth during some part of its life (Gerwing 2004), typically exceeding 5 m in height or length at maturity.</i>		

*Dwarf trees are defined as trees that are typically less than 12 meters tall at maturity due to genetic and/or environmental constraints (e.g., pinyon pines, junipers, and mountain mahogany).

**Epiphyte and liana growth forms are subdivided by the size classes in which they occur (e.g., tree overstory, regeneration, shrub).

Table 3.3. Example of describing strata first, then subdividing by growth forms (ESA Guidelines - Jennings et al. 2006).

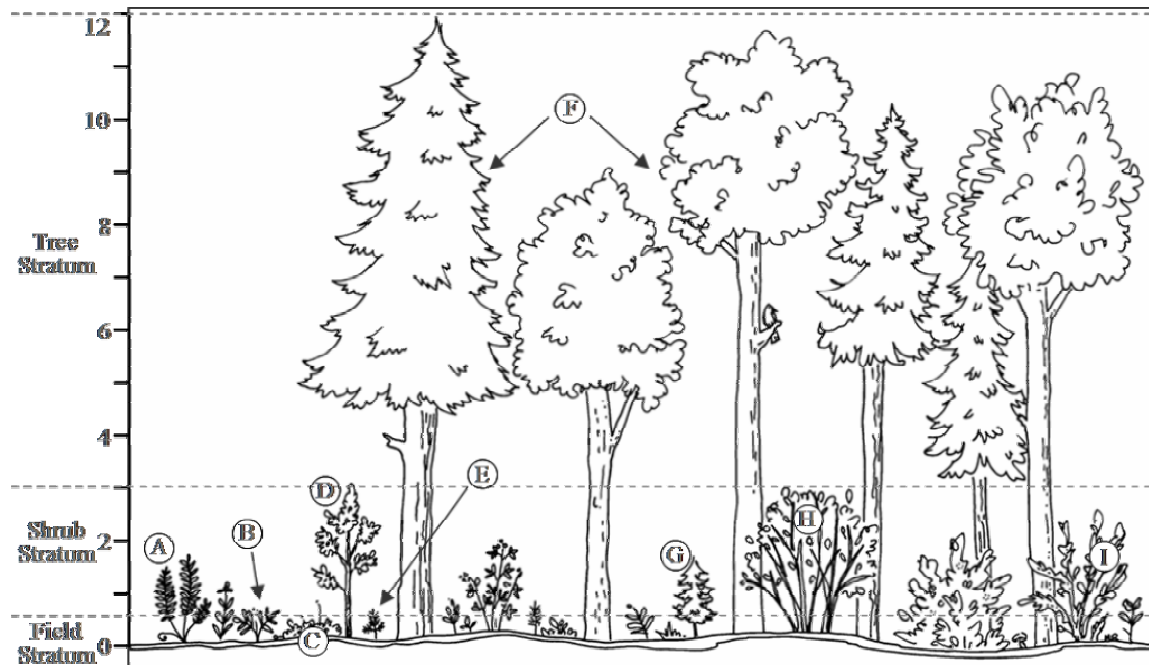
Stratum	Definition	Possible General Growth Forms in Stratum
Tree Stratum	<i>The layer of vegetation where woody plants are typically more than 5 m in height, including mature trees, shrubs over 5 m tall, and lianas. Epiphytes growing on these woody plants are also included in this stratum.</i>	Tree (overstory), Shrub*, Liana, Epiphyte
Shrub Stratum	<i>The layer of vegetation where woody plants are typically more than 0.5 m tall but less than 5 m in height, such as shrubs, tree saplings, and lianas. Epiphytes may also be present in this stratum. Rooted herbs are excluded even if they are over 0.5 m in height, as their stems often die back annually and do not provide a consistent structure.</i>	Tree (sapling), Shrub, Liana, Epiphyte
Field (Herb) Stratum	<i>The layer of vegetation consisting of herbs, regardless of height, as well as woody plants less than 0.5 m in height.</i>	Herb, Dwarf-shrub**, Tree (seedling***)
Nonvascular Stratum (Ground)	<i>The layer of vegetation consisting of non-vascular plants growing on soil or rock surfaces. This includes mosses, liverworts, hornworts, lichens, and algae. Sometimes called "moss stratum."</i>	Nonvascular
Floating Stratum	<i>The layer of vegetation consisting of rooted or drifting plants that float on the water surface (e.g., duckweed, water-lily).</i>	Floating
Submerged Stratum	<i>The layer of vegetation consisting of rooted or drifting plants that by-and-large remain submerged in the water column or on the aquatic bottom (e.g., sea grass). Emergent plant growth forms are excluded (e.g., alder shrubs would be placed in the shrub stratum, cattails in the herb stratum).</i>	Submerged

*Very tall shrubs are sometimes included in the tree stratum.

**can also include seedlings of shrubs, i.e. all shrubs less than <0.5 m.

*** tree seedlings are often defined as up to 1.4 m height or as < 2.5 cm dbh by many forest survey methods, in which case they span the shrub and herb strata.

Figure 3.1. An illustration of strata showing growth forms of individual plants as may be found in a plot (the field stratum is not delineated). Height is shown in meters. The field stratum is between 0 and 0.5 m; the shrub stratum is from 0.5 to 3 m; and the tree stratum extends from 3 m (bottom of canopy) to 12 m (top of canopy), with the bulk of the canopy clearly exceeding 5 m. Assignment of individual plants to a stratum is based on height and growth form as follows: A. A plant having an herbaceous growth form. Although projecting vertically into the shrub stratum it is excluded from being recorded as part of the shrub stratum canopy cover since its stems die and regrow each year. B. A plant having a dwarf shrub growth form is recorded as part of the field stratum. If desired, a separate dwarf-shrub substratum may be recognized. C. A moss; recorded as part of the nonvascular stratum. D. A plant having a tree growth form but at a sapling stage of life. This individual is recorded as part of the shrub stratum canopy. E. A plant having a tree growth form but at a seedling stage of life. This plant is recorded as part of the field stratum canopy. F. Mature trees, recorded as part of the tree stratum. G. A sapling, as in D. H. A plant having a shrub growth form; recorded as part of the shrub stratum canopy cover. I. A plant having an herb growth form and projecting into the shrub stratum; excluded from being recorded as part of the shrub stratum canopy (as in A).



969

TABLE 3.4. A crosswalk of strata categories (left column) (from Table 3.3) **with common growth form and size class categories** (all other columns) (from Table 3.2). Size classes in *italics* are optional for overall characterization of vegetation structure and physiognomy.

Stratum	Growth Form							
	Tree			Shrub			Herb	Non-vascular
	Size Classes:			Size Classes:				
	Regeneration		Over-story	Tall Shrub	Medium Shrub	Low Shrub		
	Seedling	Sapling						
Tree Stratum			x	(x)				
Shrub Stratum	x	x		X	x			
Field (Herb) Stratum	x					x	x	
Nonvascular Stratum Ground)								x
Floating Stratum							x	
Submerged Stratum							x	

970 x – Indicates the most common combination of growth form layer and stratum.

971 (x) – Indicates an occasional combination of growth form layer and stratum.

4. *Physical data of the plot:*

The physical variables relevant to any interpretation of plot data vary widely across the range of vegetation types. It is, therefore, difficult to require any absolute minimum set of specific environmental criteria. Rather, we provide a set of environmental variables that should be given serious consideration in any vegetation survey, most especially for classification plots. The following site variables should be considered for use describing the environment of the type:

- a. Physical features of the stand, including elevation (in m), slope aspect (in azimuth degrees of 0 to 360), and slope gradient (in degrees or percent), topographic position, landform, and geologic parent material.
- b. Soil and water features, including soil moisture, drainage, hydrology, depth of water, and water salinity (where appropriate).
- c. The soil surface cover of litter, rock, bare ground, coarse woody debris, live vascular stem, nonvascular species on the soil surface, surface water, or other important surface features.
- d. Site conditions, including landscape context, homogeneity of the vegetation, phenological phase at the time of observation, stand maturity, successional status, and evidence of disturbance.

5. *Geographic data for plots:*

Information on the location of a plot is vitally important and should be carefully recorded in a standard format. For historical, or “legacy”, data where the geographic information may have been recorded in different formats and measurements, the original information shall be preserved and the methods used to transform this information should be described and reproducible. Additional details can be found in Appendix D. The standard requires the following data when recording geographic information for field plots:

- a. Latitude and longitude in decimal degrees and WGS 84 (NAD83) datum. Record the coordinates that were collected in the field and the datum used. If a nonstandard projection was used, then record the projection name, spatial units (decimal degrees, meters, etc.), size of the spheroid, central meridian, latitude of projection's origin, and any other vital parameters such as false easting and false northing.
- b. Description of the method used to determine the plot location (e.g., estimated from a USGS 7.5 minute quadrangle, GPS, etc.). For example: (a) collected in the field with a geographic positioning system (this shall include the datum used, or specify if a nonstandard projection) or (b) through a narrative that describes how the plot location was determined, including a precision estimate, and the means of locating the plot centroid (e.g., the plot location was estimated from the USGS Assateague Park 7.5' map quadrangle; the centroid for locating the plot is the geographic center of Assateague Park).

- 1014 c. An estimate of the accuracy of the plot's location information in the form
- 1015 of the radius in meters, preferably for a 95% certainty.
- 1016 d. Narrative information useful for plot relocation.

1017

1018 6. *Metadata for plots:*

1019 Careful attention to recording metadata for each plot record is essential to
1020 maximizing the long term utility of the record. Because many type descriptions
1021 will necessarily be derived from a variety of plot sources, it is the plot metadata
1022 that facilitate searching for and identifying useful records. All plots should have a
1023 project name and description associated with them, the methods used to select and
1024 lay out the plots, the level of effort expended in gathering floristic data, cover
1025 scale and strata types used, and the name and contact information of the lead field
1026 investigators. See Appendix D for detailed criteria. The requirements are:

- 1027 a. An author plot code
- 1028 b. An author observation code (if there are multiple observations of a plot
- 1029 over time).
- 1030 c. Observation date and date accuracy.
- 1031 d. Lead field investigator's name
- 1032 e. Plot selection approach.
- 1033 f. Plot characteristics including:
 - 1034 i. Plot area in m².
 - 1035 ii. Plot type, indicating if vegetation data were recorded in the entire
 - 1036 plot or using subplots in a specified configuration.
 - 1037 iii. If subplots are used then specify the species (taxon) observation
 - 1038 area in terms of size and total area of subplots (e.g., a plot may be
 - 1039 100 m², but if 10 1 m² subplots are used then the taxon observation
 - 1040 area is 10 m²).
 - 1041 iv. Subplot distribution (if subplots are used, how they are
 - 1042 distributed).
- 1043 g. Description of cover or other abundance method for species composition,
- 1044 growth form, or strata.

3.1.2 Use of Literature and Other Data Sources

Plot data are not always available, or are available in limited numbers. In some cases, published literature and other documentation may be used as a data source to describe the vegetation type.

1. Literature-based Data Sources.

At times, the source of data for a type description may come from the literature. There are several scenarios for using this kind of data:

a. The literature may describe a type that is now either no longer extant or no longer available to be described across its historic range. The literature may be incomplete, but to be useful it should contain sufficient information to form the basis for a type description.

b. The literature may summarize a type in a region where the NVC is weakly developed, and the literature adds information not otherwise available to the NVC. Or, it may be a range-wide description of types that have not previously been analyzed to this extent, and the analysis is strong. Use of this type of information should accompany an estimate of the confidence the user places in it as discussed in Section 3.3.1

2. Table-based Data Sources

At times, the original plot data themselves may not be readily available, but the data have been carefully summarized in a tabular description (synthesis or synoptic table, typically showing the list of species, their constancy and average cover across all plots). As long as the original data meet minimum standards (preferably they are stored in accessible distributed databases), the summarized data may be used as the basis for describing a type. Subsequent classification and description of types may even be based on these data, as the use of synthesis tables can greatly increase the speed of analysis, and allows the original interpretation of the plots and types to be part of the analysis. In addition, this approach has value where the intent of an analysis is to retain direct crosswalk links to a state or provincial set of types, where the synthesis tables are a summary of those types. The use of synthesis tables may also have value when a plot-based description has been developed, and an investigator wants to compare the type to other related types. Synthesis tables should be used cautiously because they may combine plots that are part of two types. Synthesis tables can help with broader comparisons among types, but individual plot data are the best data source for resolving classification issues among types.

3.2 Classification and Description

The most fundamental unit of information for describing and classifying vegetation types is the field plot. The quality and extent of the field plot data affect the overall confidence in the concept of the type. Literature and other data sources, as described in Section 3.1.2, may also be used, but these provide less confidence in the type description). Factors affecting the “classification confidence” of the type include: a) type of analyses used, b) degree of publicly accessible data, c) quality of the plot data, d) geographical scope of analysis, relevance to the type being analyzed, e) effort made to compare the type with closely related types (see also 3.3.1 below). The description of a vegetation type is a synthesis of data from many plots, possibly from many data sets, through what is termed here “classification analysis.” This section summarizes the essential steps needed for data preparation, classification analysis, and interpretation of a proposed vegetation type, naming conventions for new types, and criteria for describing types.

Those using the Standard only to crosswalk their plots to an existing set of NVC types, may find it helpful to collect plot data according to the standards in Section 3.1.1, then use any available descriptions and keys of NVC types to assign their plots to a type. Those using the Standard to crosswalk their own type descriptions to NVC types may find it helpful to prepare their descriptions using the standard provided in Section 3.2.3 below, before comparing their descriptions to any available descriptions of NVC types. Neither of these practices is required and they are not intended to replace agency methods designed to meet their specific business needs.

3.2.1 Data preparation

When preparing plot data for classification analysis one should:

- a. Ensure that the plots used sufficiently sample the biotic and abiotic range of the study area.
- b. Ensure a unique and standardized identity for each plant taxon in the data set.

3.2.2 Classification Analysis and Interpretation

A variety of numerical methods are available for classification analysis, including direct gradient analysis, ordination, and clustering (Gauch 1982, Kent and Coker 1992). No single methodological formula is suitable for all possible analyses. It is therefore incumbent on those proposing new or modified types to apply contemporary methods of vegetation classification for implementing a sound statistical approach, and to explain clearly the rationale for the approach used. The general components of a classification analysis are described below:

- a. The plots records used shall be clearly referenced and accessible by others.

- 1124 b. An outlier analysis of the initial set of plots should be provided and the
1125 criteria used for identification and elimination of outlier plot records
1126 should be provided.
- 1127 c. Show that there is sufficient redundancy in plot composition to identify a
1128 threshold of significant pattern in compositional variation. That is, that
1129 the data set has the statistical power needed to be convincing. One
1130 example would be to explore a null hypothesis that a given collection of
1131 plots is more self-similar than would be expected of a random collection
1132 of plots.
- 1133 d. An exact description of the analysis procedure should be provided,
1134 including careful documentation of assumptions and limitations of the
1135 data, methods of dimensional reduction, and value transformations.
- 1136 e. Results should be presented in tabular and graphical formats as well as
1137 narrative.
- 1138 f. Criteria used to identify diagnostic species, such as constancy and fidelity
1139 should be specified for mid and lower levels.
- 1140 g. Criteria used to identify diagnostic growth forms and other physiognomic
1141 features, particularly for upper levels, should be specified.
- 1142 h. A tabular summary of diagnostic and constant species should be provided,
1143 where appropriate.

1144 **3.2.3 Description of Vegetation Types**

1145 Formal description of a vegetation type requires that each of the following items
1146 be addressed. The required topical sections for describing vegetation types are
1147 also shown in Table 3.5 and a worked example is provided in Appendix F.

1148 *Type Description Sections:*

- 1149 a. Name. Develop a scientific name for the vegetation type using the
1150 nomenclatural standards in the nomenclature section. A colloquial name
1151 may also be provided.
- 1152 b. Hierarchy Level. A description shall indicate the hierarchical level of the
1153 vegetation type being described.
- 1154 c. Placement in Hierarchy. Indicate the full name of the vegetation type
1155 under which the type shall be placed, based on the most current list of
1156 NVC types available.
- 1157 d. Classification Comments. Describe any classification issues relating to
1158 the definition or concept of the type.
- 1159 e. Classification Rationale. Describe basis for choosing the nominal taxa or
1160 physiognomic criteria (the species or growth forms by which the type is
1161 named). For mid and lower units, explain the choice of nominal species

- 1162 and growth forms; for example, whether species are dominant, character,
1163 or indicator.
- 1164 f. Type Concept. Provide a concise paragraph describing the overall
1165 concept of the type based on the structure, composition, environmental
1166 setting, and geographic range. (See items g through l below.)
- 1167 g. Floristics (for mid and lower units). Species composition and average
1168 cover for species should be provided in the following summary form:
- 1169 i. A table of floristic composition showing constancy and mean
1170 cover. All species should be listed that have more than 20%
1171 constancy, and diagnostic species should be identified. List
1172 species in descending order of constancy, then cover.
- 1173 ii. Compositional variability of the type across the range of its
1174 classification plots. A discussion of possible subunits or variants
1175 may be useful, especially for future refinement of type concepts.
1176
- 1177 h. Taxonomic usage in floristic tables should include reference to a
1178 taxonomic standard so as to define the meaning associated with a name.
1179 Reference to accepted name in USDA PLANTS or ITIS, coupled with the
1180 specific date of observation of the website, is sufficient.
- 1181 i. Physiognomy. Provide a summary of the physiognomy, structure, and
1182 dominant species, including an assessment of the physiognomic variability
1183 of the type.
- 1184 j. Dynamics. To the degree possible, provide a summary of the successional
1185 status of the type and the disturbance factors that influence stability and
1186 within-plot variation for the type. Describe the extent to which this
1187 information is known and the limitations and assumptions of the
1188 assessment.
- 1189 k. Environmental description. Provide a description of important factors
1190 such as climate, elevation (in meters), landscape context, slope aspect,
1191 slope gradient, geology, soils, hydrology, and any other environmental
1192 factors thought to be determinants of the biological composition or
1193 structure of the type.
- 1194 l. Description of the range. To the extent possible, provide a brief textual
1195 description (not a list of places) of the total range (present and historic) of
1196 the type. List national and subnational (states, provinces, or counties)
1197 jurisdictions of occurrence across the entire range of the type. Distinguish
1198 between areas where the type: (a) definitely occurs; (b) probably occurs;
1199 or (c) does not occur and is believed to have historically occurred.
- 1200 m. Identify field plots. Identify plots used to define the type and indicate
1201 where the plot data are archived and the associated plot identifiers. All
1202 plot records used shall conform to the standards for classification plots.

Identify any occurrence plots that may have been used to help describe the geographic range or other characteristics of the type.

Table 3.5. Required topical sections for monographic description of vegetation types.

OVERVIEW

Proposed names of the type (scientific, common, colloquial).
Hierarchical level of the vegetation type.
Placement in hierarchy.
A brief description of the overall type concept.
Classification comments.
Rationale for nominal species or physiognomic features.

VEGETATION

Physiognomy and structure.
Floristics.
Dynamics.

ENVIRONMENT

Environment description.

DISTRIBUTION

A description of the range/distribution.
A list of U.S. states and Canadian provinces where the type occurs or may occur.
A list of any nations outside the U.S. and Canada where the type occurs or may occur.

PLOT SAMPLING AND ANALYSIS

Plots used to define the type.
Location of archived plot data.
Factors affecting data consistency.
The number and size of plots.
Methods used to analyze field data and identify the type.
 a. Details of the methods used to analyze field data.
 b. Criteria for defining the type.

CONFIDENCE LEVEL

Overall confidence level for the type (see Section 4).

CITATIONS

Synonymy
Full citations for any sources
Author of Description

DISCUSSION

Possible sub-association or -alliance types or variants, if appropriate, should be discussed here along with other narrative information.

1207 *Supporting Documentation Sections:*

- 1208 a. Plot data quality. Describe all factors that affect plot data adequacy and
1209 quality, including such factors as incomplete sampling throughout the
1210 range or poor quality of floristic information.
- 1211 b. The number and size of plots. Justify the number of and sizes of plots
1212 used in terms of the floristic variability and geographic distribution.
- 1213 c. Methods used to analyze and interpret field data. Discuss the analytical
1214 methods used by the author of the type description to define the types.
1215 Include software citations.
- 1216 d. Overall confidence level for the type. Recommend a level of confidence
1217 of high, moderate, or low, based on criteria described in Section 3.3.1.
1218 The peer-review process shall ultimately establish the formal confidence
1219 level (see Section 3.3.1) for a given type.
- 1220 e. Citations. Provide complete citations for all references used in the above
1221 section.
- 1222 f. Vegetation type synonymy. List any names already in use in the NVC or
1223 other classifications to describe this or closely related types, either in
1224 whole or in part. Where possible, include comments or explanations on
1225 the relatedness of the type to other types that are adjacent in the
1226 classification. For example, is a type listed as being synonymous, broader
1227 in concept, more narrow, or equal to the type concept being proposed?

1228 **3.2.4 Naming of Mid and Lower Level Vegetation Types**

1229 The nomenclature of vegetation types is not to be confused with the nomenclature
1230 of plant taxa, even though species names are used in the names of associations
1231 and alliances. To be accepted, a name shall address the following criteria:

- 1232 a. Community nomenclature shall contain both scientific and English
1233 common names, e.g., *Pinus taeda* - *Quercus (alba, falcata, stellata)*
1234 Forest Alliance as well as Loblolly Pine - (White Oak, Southern Red Oak,
1235 Post Oak) Forest Alliance. If desirable, common names may also be
1236 provided in French and Spanish. A colloquial name, e.g., Ozark Dolomite
1237 Glade, may also be provided. The relevant dominant and diagnostic
1238 species that are useful in naming a type should be selected from the
1239 tabular summaries of the types. Dominant and diagnostic species should
1240 include at least one from the dominant stratum (layer) of the type.
- 1241 b. Nomenclature for vascular plant taxa used in scientific type names should
1242 follow the accepted name in USDA PLANTS or ITIS except when this
1243 would prevent the recognition of ecologically distinct types, coupled with
1244 the specific date of observation of the website. Exceptions should be
1245 documented in the rationale for choosing nominal taxa (see item 3e
1246 above). Each plant taxon used in a scientific name shall have only one

- 1247 common name that shall form the basis for the common name of types.
1248 (e.g. aspen, not quaking aspen or trembling aspen)
- 1249 c. For alliance and mid-level unit names, taxa from subordinate layers should
1250 be used sparingly.
- 1251 d. Among the taxa that are chosen to name the type, those occurring in the
1252 same stratum or growth form (tree, shrub, herb, nonvascular, floating,
1253 submerged) are separated by a hyphen (-), and those occurring in
1254 different strata are separated by a slash (/). Diagnostic taxa occurring in
1255 the uppermost stratum are listed first, followed successively by those in
1256 lower strata. The order of taxon names within stratum or growth form
1257 generally reflects decreasing levels of dominance, constancy, or other
1258 measures of diagnostic value.
- 1259 e. Association or alliance names include the name of the level of the
1260 hierarchy that the unit is placed in, e.g., (e.g., *Pinus ponderosa* Forest
1261 alliance).
- 1262 f. In cases where diagnostic taxa are unknown or in question, a more general
1263 term is currently allowed as a “placeholder” (e.g., *Cephalanthus*
1264 *occidentalis* / *Carex* spp. Northern shrubland). Associations and alliances
1265 with placeholders in the name shall not be considered of high or moderate
1266 confidence. Minimize the use of placeholders.
- 1267 g. The least possible number of taxa is used in a name. Up to five species
1268 may be necessary to define associations in some regions that contain very
1269 diverse vegetation with relatively even dominance and variable total
1270 composition. For alliances and other levels, no more than three species
1271 shall be used.
1272
- 1273 Nomenclatural rules shall be followed exactly to avoid creating the appearance of distinct
1274 names that are based on differences in character spacing, punctuation or spelling.

1275 **3.2.5 Naming of Upper Level Vegetation Types**

1276 Formation types at Levels 1 -3 are named, defined and organized by structure and
1277 physiognomy, as these are reflected in broad climatic and site factors. It is a convenient
1278 aid to naming the formations to use terms based on the habitats that they occupy (though
1279 it should be re-emphasized that habitat factors are not typically used in defining the
1280 Formation)s (Whitmore 1984, pg. 155). The result is a set of easily recognized
1281 formations with memorable names that say something about the most distinctive
1282 associated ecological characteristics of the formation. These names serve as both
1283 common and scientific names.
1284

Level 1 (Formation class)

Class names are based on the very broad growth forms that correspond to global moisture/temperature regimes. The single name helps identify the broad grouping of growth forms that correspond to particular moisture/temperature conditions. A parenthetical set of names is included to guide general users to the main kind of vegetation included in the class. The level is organized by decreasing complexity and cover of the vegetation, reflecting increasingly stressful site factors. Given the wide overlap in use of the terms “Forest” and “Woodland” we use both terms to indicate that the class definition encompasses all mesomorphic (i.e. broad-leaved or needle-leaved) trees of varying height and canopy spacing.

Examples:

- Mesomorphic Tree Vegetation (Forest & Woodland)
- Mesomorphic Shrub & Herb Vegetation (Shrubland & Grassland)
- Xeromorphic Shrub & Herb Vegetation (Semi-Desert)
- Hydromorphic Vegetation (Aquatic Vegetation)

Level 2 (Formation subclass)

The subclass name reflects the structure, physiognomy and environmental factors that characterize the subclass. The primary environmental factor is macroclimate. Physiognomic terms are sometimes more specific than the class name (e.g., scrub versus shrubland where the vegetation may include tall xeromorphic tree-like plants such as tall cacti). All such terms, if used, should be defined.

Examples:

- Tropical Dry Forest
- Mediterranean Scrub and Grassland
- Cool Semi-Desert Scrub and Grassland
- Saltwater Aquatic Vegetation

Level 3 (Formation)

The formation name reflects the structure, physiognomy and environmental factors that characterize the formation. The primary environmental factors are soil moisture conditions and elevation. Physiognomic terms are sometimes more specific than the class or subclass name. All physiognomic terms should be defined in the vegetation type description.

Examples:

- Tropical Evergreen Sclerophyll Forest
- Mediterranean Scrub
- Cool Semi-Desert Sparse Vegetation
- Marine & Estuarine Aquatic Vegetation

3.3 Peer Review of Proposed Vegetation Types

Vegetation types shall be established through an authoritative peer review process (Figure 3.2, 3.3). An authoritative process is necessary to maintain the consistency, credibility, orderly change, and rigor of the classification. Peer review of proposals for new vegetation types, as well as for changes proposed to type concepts that are already recognized, is essential to the long term utility and progressive development of the NVC. The peer process requires those proposing new types to make a convincing case based on a clear explanation of the data, methods, and results. A unified classification of plant communities for the United States can only be viable if peer review of proposed types is an integral part of it. The essential components of a peer review system for the NVC are summarized below.

3.3.1 Classification Confidence and Status

Each accepted vegetation type, particularly for lower and middle level units, shall be assigned one of the confidence levels based on the relative rigor of the data and the analysis used to identify, define, and describe the type. Upper level vegetation types, which are global in scope and typically based on very synthetic data, often from the literature, cannot be as easily assigned confidence levels based on these criteria:

- a. High: Type is based on quantitative analysis of classification plots that are published in full or are archived in a publicly accessible database. Classification plots shall meet the minimum requirements shown in Appendix D. Classification plots shall represent the geographic distribution and habitat range of the type as known from classification and occurrence plots. In addition, plots that form the basis for closely related types shall be compared.

For an alliance, the majority of component associations shall have a high to moderate level of confidence.

- b. Moderate: Type is lacking in either geographic scope or degree of quantitative characterization and subsequent comparison with related types, or plots are published only as a comprehensive summary (floristic) table; plot otherwise meets the requirements for a high level of confidence.

For an alliance, many associations within the type may have a moderate to low level of classification confidence.

- c. Low: Type is based on plot data that are incomplete, not accessible to others, or not published; or, based on informal analysis, anecdotal information, or community descriptions that are not accompanied by plot data, or if so, only in an incomplete summary (floristic) table (such as only reporting dominant or characteristic species of a type). Local experts have often identified these types. Although there is a high level of confidence that they represent significant vegetation entities that should be

1370 incorporated in the NVC, it is not clear whether they would meet the
1371 standard for floristic types in concept or in the NVC classification
1372 approach if data were available.

1373 Alliances are classified as low confidence if defined primarily from:

- 1374 i. incomplete or unpublished and inaccessible plot data (e.g., plots
1375 may only contain information about species in the dominant layer),
- 1376 ii. non-standard, anecdotal, or local vegetation types, or
- 1377 iii. imagery, or other information that relies primarily on the dominant
1378 species in the dominant canopy layer.

1379 In addition to the three levels of classification confidence, two categories are
1380 established to identify vegetation types that have been described to some extent, but
1381 which have not been formally accepted as an NVC unit of vegetation. These
1382 categories are:

- 1383 d. Proposed: Formally described types that are in some stage of the NVC
1384 peer review process, but for which the process is still incomplete. For
1385 example, indicating that a type is “proposed” may be used when
1386 investigators have a need to refer to these types in publications or reports
1387 prior to the completion of the peer review process.
- 1388
- 1389 e. Provisional: These types not yet formally described, but are expected to
1390 be additions to the existing list of NVC types for an area or project.
1391 Provisional types should only be used when a clear effort is being made to
1392 apply the NVC, but where some vegetation does not appear to have been
1393 covered by the concepts of known units for an area or project. For
1394 example, authors of a report or publication may need to submit a list of
1395 NVC types and any additional observed types, such as those that have not
1396 been recognized by the NVC nor have they been formally submitted for
1397 peer review. Such types may be designated as “provisional.”
1398

1399 **3.3.2 Peer Review Process**

- 1400 a. The objectives of the peer review process are to:
 - 1401 i. ensure compliance with classification, nomenclature and
1402 documentation standards,
 - 1403 ii. maintain reliability of the vegetation data and other supporting
1404 documentation, and
 - 1405 iii. referee conflicts with established and proposed NVC types.
- 1406 b. The peer review process shall be administered by the NVC Peer Review
1407 Board (authorized and overseen by the Lead Agency (USDA Forest

- 1408 Service)), which provides independent and scientifically credible
1409 reviewers.
- 1410 c. The NVC Peer Review Board may structure a peer review process that is
1411 different for the various levels of the hierarchy; e.g., a different process
1412 may be needed for upper levels (which are global in definition), mid levels
1413 (often national to regional in definition), and lower levels (regional to
1414 local in definition), but this shall be left to the discretion of the Board.
- 1415 d. The Peer Review Board is responsible for ensuring that the criteria
1416 specified in this standard are followed. This Board shall adhere to the
1417 scientific and technical principles of the NVC and it shall ensure the good
1418 order and scientific credibility of the classification.
- 1419 e. Investigators wishing to contribute to the NVC by proposing changes to
1420 the classification shall submit their methods and results to the Peer
1421 Review Board.
- 1422 f. The Peer Review Board shall maintain publicly available Proceedings of
1423 all official actions. Full descriptions of types shall constitute the NVC
1424 primary literature and shall be published in the Proceedings. The
1425 Proceedings shall contain official changes to the list of NVC associations
1426 and alliances, and it shall include the required supporting information for
1427 all changes made to the list.
- 1428 g. Peer reviewers shall have sufficient regional expertise to understand how a
1429 given proposed change to the NVC would affect related associations and
1430 alliances.
- 1431 h. Investigators proposing revisions to the NVC shall use a defined template
1432 for type descriptions that can be readily reviewed.
- 1433 i. Investigators who describe types shall place their proposed types within
1434 the context of existing NVC types so as to determine whether the type
1435 under consideration is distinct, or whether their proposal will instead
1436 refine or upgrade the definition of a type or types already on the list.
- 1437 j. The peer review process should occur in a reasonable time frame, and
1438 should balance the need for improvement to the quality and to the stability
1439 of the NVC.

1440

1441

Figure 3.2. Flow of information through the peer review process for formal recognition of a vegetation type.

Beginning at the top, field plot data, existing summary data, or literature based on field plot data, are collected or compiled, the data are submitted to a publicly available database (such as VegBank), data are analyzed, and a proposal describing a type is submitted for review. If accepted by reviewers, the type description is classified under the NVC, the monograph is published, and the description made available.

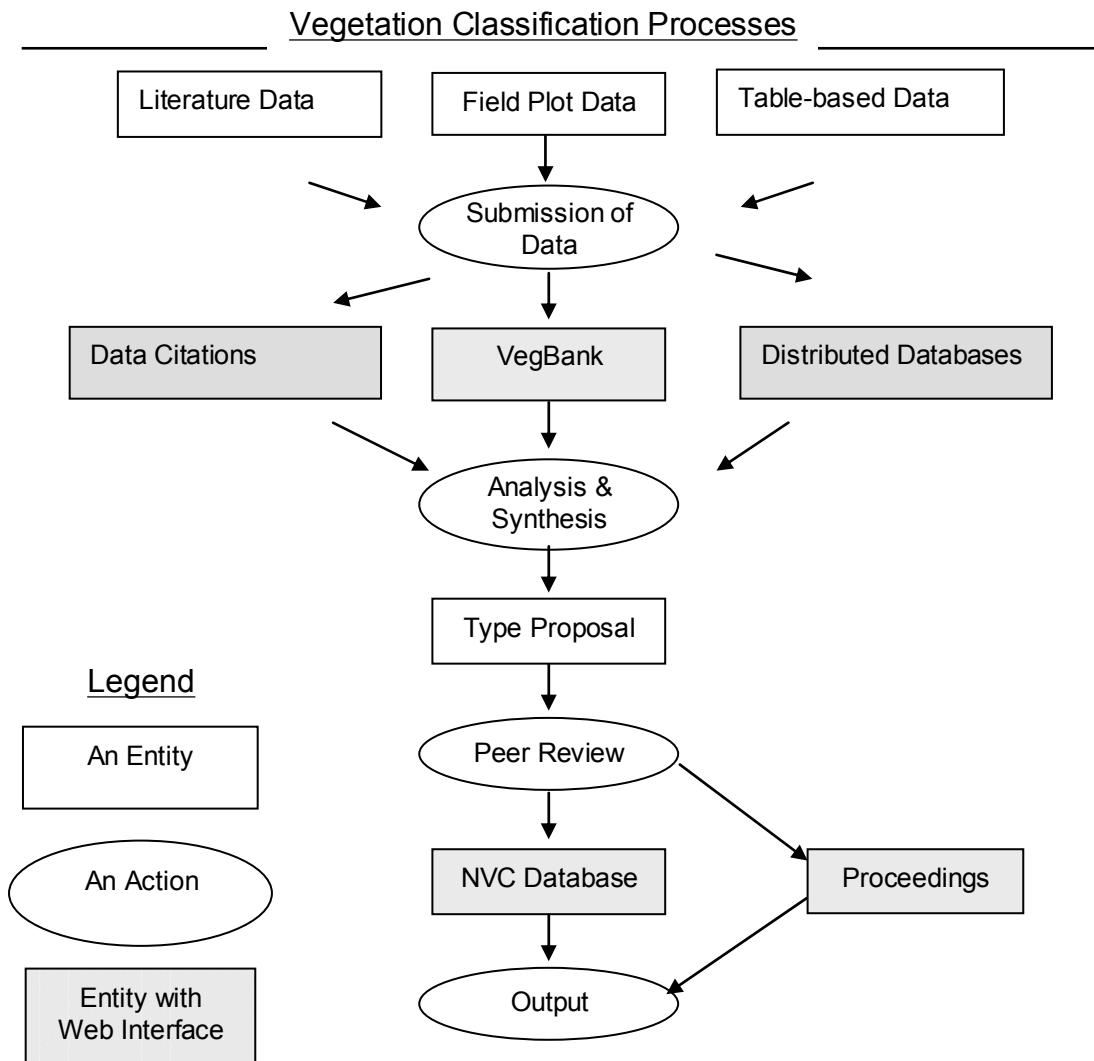
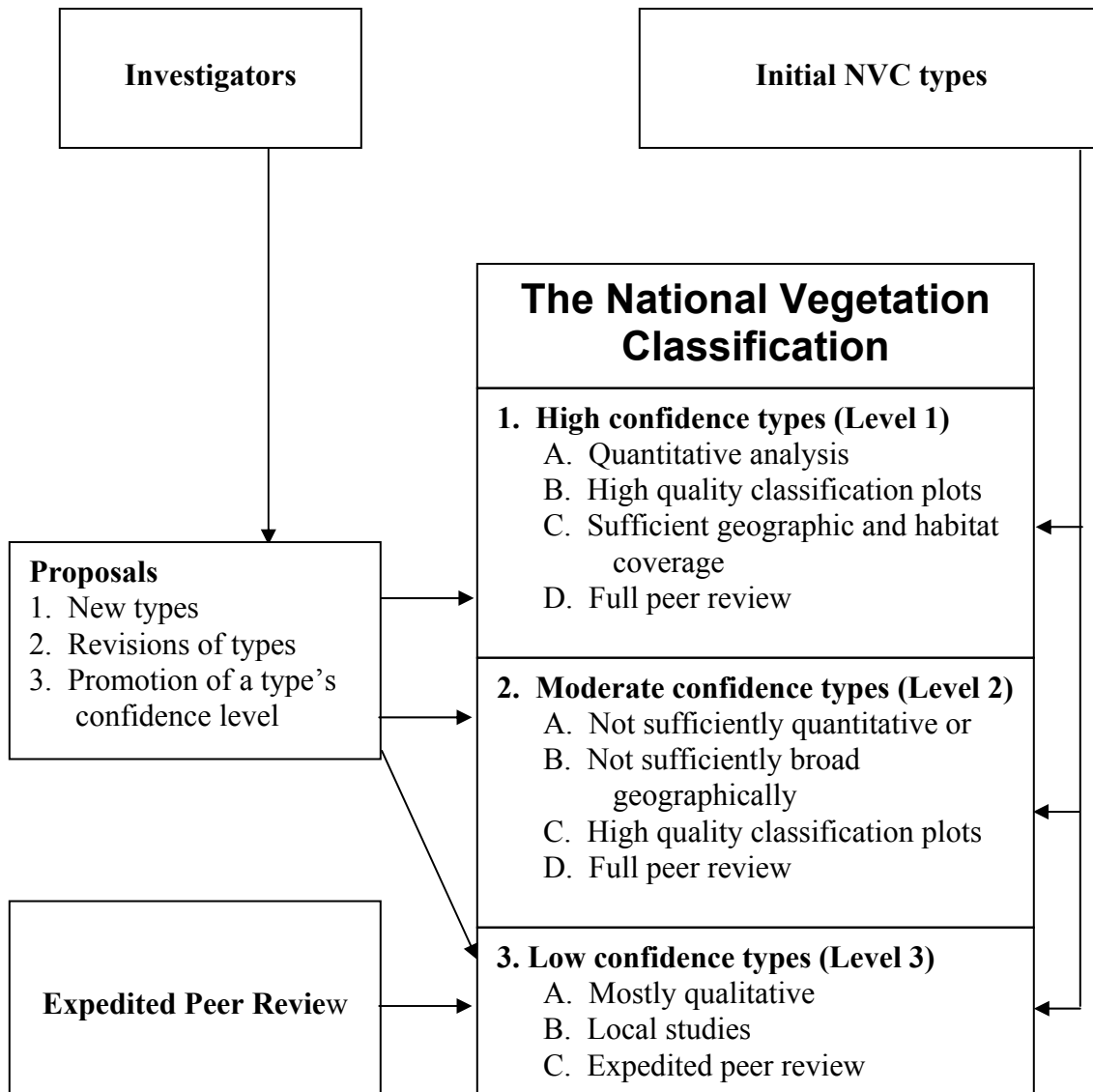


Figure 3.3. Relationship of peer review processes to the NVC.

Initial NVC types are the current set of provisional NVC alliances and associations for natural vegetation (FGDC 1997, NatureServe 2006), upper level types developed by the Hierarchy Revisions Working Group (Faber-Langendoen et al. 2006), and cultural vegetation types developed by NRI (2003).



3.4 Data Management and Dissemination

The vegetation classification described in this standard cannot succeed without careful and explicit rules for data management. The classification process requires three dynamic and interacting datasets of (a) botanical taxonomy and nomenclature, (b) vegetation field plots, and (c) classified alliance and associations. It is the synthesis of these datasets that will provide a consistent working knowledge of the vegetation of the United States and its Trust Territories.

3.4.1 Component Datasets

a. The Taxonomic Dataset

- i. Each known taxon shall be reported as a name-and-reference couplet known as a “taxon-concept”.
- ii. Unknown or irregular taxa (such as composite morphotypes representing several similar taxa) should be reported with the name of the taxon for the finest taxonomic level with certain identification, and should be associated with a note field in the dataset that provides additional information.
- iii. Taxonomic names and concepts shall be cross-walked in order to classify floristic units.
- iv. Growth form names and concepts used to describe vegetation types should be based on a specified reference that contains clear definitions. A list of preferred growth form names and definitions are provided in Appendix E.

b. The Plots Dataset

- i. Plot data used to support the NVC shall be archived in publicly accessible and searchable datasets.
- ii. Plot data used to support description of a vegetation type shall be linked by a unique number to the description of the type and shall be publicly available.
- iii. All uses of plot data with respect to the NVC shall cite the original author of the plot.
- iv. The Plot dataset shall use concept-based taxonomy by allowing multiple interpretations of each taxon (e.g., a plot record may contain multiple names for a given taxon in the plot, that of the field ecologist who used a name with a reference of a regional taxonomy manual and that of another person who annotated the name to correspond to the PLANTS list. Both names are stored in the database).

- 1498 v. All datasets used to archive plot data supporting the NVC
1499 shall have assured data permanency and should be able to
1500 export plot data in a consistent format.
- 1501 c. The Vegetation Classification Dataset
- 1502 i. The Vegetation Classification Dataset shall contain all fields
1503 needed for a type description (Section 3).
- 1504 ii. The Vegetation Classification Dataset shall use concept-
1505 based taxonomy for vegetation types. At a minimum this
1506 requires citing a reference for each type name.
- 1507 iii. The Vegetation Classification Dataset shall allow for
1508 backward compatibility. That is, a user should be able to
1509 track the history of vegetation type concepts and names used
1510 in the NVC as they change over time.

1511 **3.4.2 Web Access**

- 1512 a. Each of these datasets shall be publicly viewable and searchable over the
1513 web, and shall be regularly updated.
- 1514 b. There shall be a primary access point for viewing and retrieving
1515 information from these datasets over the web. Although mirrors of this
1516 information may be established at other sites, the primary access point
1517 shall be the definitive source of information on taxonomy and
1518 nomenclature, field plots, and recognized alliances and associations,
1519 respectively.
- 1520 c. The website shall contain an explicit date and version, so that users of the
1521 NVC can cite the website and the explicit version observed (or date
1522 observed) so as to allow exact reconstruction of the taxonomic and
1523 community concepts employed as well as the observation data provided
1524 from field plots.

1525 **3.4.3 Publication**

1526 Successful proposals for recognized associations and alliances shall be published in the
1527 Proceedings of the NVC and shall be accessible at the primary access point for the
1528 Vegetation Classification Dataset. The Proceedings shall constitute the primary literature
1529 underpinning the NVC, and will be permanently and publicly available.

1530

4. Description and Classification of Cultural Vegetation

This section describes the process standard for updating and revising the dynamic content of cultural vegetation in the NVC. Standards for field plot data and other data sources are described in Section 4.1. Conventions for defining, naming, and describing vegetation types are provided in Section 4.2. The process for peer review of proposals to change the names or concepts of vegetation types is described in Section 4.3. Finally, the component databases and the technical structure of the NVC information system are described in Section 4.4. The content of each of these sections is in outline format for practical application and referencing.

4.1 Data Sources

Assigning a cultural vegetation 'stand' to a classification type at each level of the classification hierarchy requires a defined set of information. The cultural vegetation types in the NVC may be developed through the analysis of imagery, thematic spatial data layers, and field survey data. More and more detailed data are required to derive units at consecutively finer levels of the classification hierarchy. Standard sampling methods should be followed and documented to identify the sample points, and uniform data collection protocols should be followed to ensure consistency and comparability of the field data. The standards for vegetation sampling methods for cultural vegetation will be completed as a future stage of work by this Subcommittee. In the meantime, standards for natural vegetation may be followed, if desired (see Section 3.1).

4.2 Classification and Description

A comprehensive list of the nation's cultural vegetation types is currently a goal to be pursued in the long term application of this Standard. The first approximation of a national list of cultural vegetation types is provided in this standard, based on the work of NRI (2003) (see Appendix H). This publication shall serve as the initial basis for assigning vegetation stands to cultural types within the NVC. A process to help users classify cultural vegetation will be developed in the future involving Federal, State, and private agencies and professional organizations. The standards for vegetation

classification and description for cultural vegetation will be completed as a future stage of work by this Subcommittee. In the meantime, standards for natural vegetation may be followed, as desired (see Section 3.2).

The nomenclature for planted and cultivated types shall initially follow that of the NRI list shown in Appendix H. Where appropriate, it may include the name of the species present (e.g., Corn). Preferably a Latin name is also provided. If desired, the name may be modified by an appropriate structural (formation) name (e.g. Corn Row Crop).

4.3 Peer Review

Questions regarding the use of this part of the classification may be referred to the FGDC Vegetation Subcommittee, which shall maintain a professional advisory panel authorized by the Subcommittee for review and assistance. The Subcommittee or the authorized professional panel shall ensure that the vegetation is classified within the NVC at the appropriate level and type within the classification hierarchy.

4.4 Data Management and Dissemination

The standards for data management and dissemination of cultural vegetation information will be completed as a future stage of work by this Subcommittee. In the meantime, standards for natural vegetation may be followed (see Section 3.4).

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APPENDICES

Appendix A (Normative): Glossary

- Agricultural Vegetation** — a vegetation type that exhibits a) rapid turnover in structure, typically at least on an annual basis, either through comprehensive manipulation of physiognomy and floristics by harvesting and/or planting, or by continual removal of above ground structure (e.g., cutting, haying), or b) showing strong linear (planted) features. The herbaceous layer may be bare at various times of the year.
- Abiotic** — pertaining to the nonliving parts of an ecosystem, such as soil particles, bedrock, air, and water (Helms 1998).
- Abundance** — the total number of individuals of a taxon or taxa in an area, volume, population, or community; often measured as cover in plants (Lincoln et al. 1998).
- Alliance** — a vegetation classification unit containing one or more associations, with a defined by a characteristic range of species composition, habitat conditions, physiognomy, and diagnostic species, typically at least one of which is found in the upper most or dominant stratum of the vegetation (Jennings et al. 2006).
- Association** — a vegetation classification unit defined on the basis of a characteristic range of species composition, diagnostic species occurrence, habitat conditions, and physiognomy (Jennings et al. 2006).
- Basal Area** — the cross-sectional area of all stems of a species or all stems in a stand measured at breast height (4.5 feet or 1.37 meters above the ground) and expressed per unit of land area (Helms 1998).
- Canopy Cover** — the percentage of ground covered by the vertical projection of the outermost perimeter of the natural spread of foliage of plants. Small openings in the canopy are included (SRM 1989, USDA NRCS 1997). *cf.* foliar cover.
- Character species** — a species that shows a distinct maximum concentration (quantitatively and by presence) in a well-definable vegetation types, sometimes recognized at local, regional, and absolute geographic scales (Mueller-Dombois and Ellenberg 1974, p. 178, 208; Bruehlheide 2000), *cf.* differential species, fidelity.
- Class** — see Formation Class.
- Classification** — the grouping of similar types (in this case – vegetation types) according to criteria (in this case - physiognomic and floristic). The rules for classification shall be clarified prior to delineation of the types within the classification standard. Classification methods should be clear, precise, and based upon objective criteria so that the outcome is theoretically independent of who applies the classification. (UNEP/FAO 1995, FGDC 1997).
- Classification Plot Records** — plot records that contain the data necessary to inform the development or revision of the floristic units within the NVC. Such plots typically contain high quality data on floristic composition and structure, and conform to the standard articulated in Section 3.1.1 (Jennings et al. 2006). *cf.* Occurrence Plot Records.

- 1898 **Climax Vegetation** — the final, relatively stable community at the conclusion of ecological
1899 succession that is able to reproduce itself indefinitely under existing environmental
1900 conditions (Gabriel and Talbot 1984).
- 1901 **Close grown crops** — crops that are generally drill-seeded or broadcast, such as wheat, oats,
1902 rice, barley, and flax, resulting in very narrow regularly spaced, structure (adapted from
1903 NRI 2003).
- 1904 **Community** — a group of organisms living together and linked together by their effects on one
1905 another and their responses to the environment they share (Whittaker 1975).
- 1906 **Constancy** — the percentage of plots in a given data set that a taxon occurs in (Jennings et al.
1907 2006).
- 1908 **Constant species** — species that are present in a high percentage of the plots that define a type,
1909 often defined as those species with at least 60% constancy (Mueller-Dombois and
1910 Ellenberg 1974, p. 178).
- 1911 **Cover** — see canopy cover, foliar cover.
- 1912 **Cover Type** — a vegetation type defined on the basis of the plant species forming a plurality of
1913 composition and abundance (FGDC 1997; also see Eyre 1980).
- 1914 **Cropland** — see Agricultural Vegetation.
- 1915 **Crosswalk** — to describe and document the relationships between members of one set or series
1916 and members of another set or series. These relationships may be one-to-one, one-to-
1917 many, or many-to-many.
- 1918 **Cryomorphic** — pertaining to plants having structural or functional adaptations to survive cold
1919 temperatures and resist frost damage (e.g., alpine creeping dwarf-shrubs, krummholz).
- 1920 **Cultural Vegetation** — vegetation with a distinctive structure, composition, and development
1921 determined by regular human activity (Küchler 1969).
- 1922 **Developed Vegetation** — a vegetation type that typically contains an almost continuous
1923 herbaceous (typically grass) layer, with a closely cropped physiognomy, typically
1924 through continual removal of above ground structure (e.g. cutting, mowing), and where
1925 tree cover is highly variable, or other highly manipulated planted gardens.
- 1926 **Diagnostic Species** — any species or group of species whose relative constancy or abundance
1927 differentiates one vegetation type from another (Jennings et al. 2006).
- 1928 **Differential Species** — A plant species that is distinctly more widespread or successful in one of
1929 a pair of plant communities than in the other, although it may be still more successful in
1930 other communities not under discussion (Curtis 1959, Bruehlheide 2000). *cf.* character
1931 species, fidelity.
- 1932 **Division** — the fourth level in the NVC natural vegetation hierarchy, in which each vegetation
1933 unit is defined by a group of plant communities in a given continental or other broad
1934 geographic area exhibiting a common set of dominant growth forms and many diagnostic
1935 plant taxa (including character taxa of the dominant growth forms) corresponding to
1936 broad climatic and environmental characteristics. (Westhoff and van der Maarel 1973,
1937 Whittaker 1975).
- 1938 **Dominance** — the extent to which a given taxon or growth form has a strong influence in a
1939 community because of its size, abundance, or cover. (Lincoln et al. 1998).

- 1940 **Dominance Type** — a class of communities defined by the dominance of one or more species,
1941 which are usually the most important ones in the uppermost or dominant layer of the
1942 community, but sometimes of a lower layer of higher coverage (Gabriel and Talbot
1943 1984).
- 1944 **Dominant Species** — species with the highest percent of cover, usually in the uppermost
1945 dominant layer (in other contexts dominant species can be defined in terms of biomass,
1946 density, height, coverage, etc.(Kimmins 1997).
- 1947 **Entitation** — the process by which we recognize and define entities, usually by dividing a
1948 continuously varying phenomenon into a set of discreet entities. In vegetation ecology
1949 entitation refers to the act of segmenting an area of vegetation into homogeneous entities,
1950 within which samples (plots) can be placed (Mueller-Dombois and Ellenberg 1974), or
1951 the division of community data (usually plot data) into discrete vegetation classes.
- 1952 **Epiphyte** — a vascular or nonvascular plant that grows by germinating and rooting on other
1953 plants or other perched structures, and does not root in the ground (adapted from FGDC
1954 1997).
- 1955 **Existing Vegetation** — vegetation found at a given location at the time of observation (Jennings
1956 et al.2006). cf. Potential Natural Vegetation.
- 1957 **Fidelity** — the degree to which a species is confined in a given vegetation unit. The fidelity of a
1958 species determines whether it can be considered a **differential** or **character** species, or
1959 just a companion (a species not particularly restricted to any vegetation type) or
1960 **accidental** species (a species not normally occurring in a particular vegetation type or
1961 habitat), (Bruehlheide 2000, Lincoln et al. 1998).
- 1962 **Field Stratum** — the layer of vegetation consisting of herbs, regardless of height, as well as
1963 woody plants less than 0.5 m in height (Jennings et al. 2006).
- 1964 **Floating Aquatic Stratum** — the layer of vegetation consisting of rooted or drifting plants that
1965 float on the water surface; e.g. duckweed, water-lily (Jennings et al. 2006).
- 1966 **Foliar Cover** — the percentage of ground covered by the vertical projection of the aerial portion
1967 of plants. Small openings in the canopy and intraspecific overlap are excluded (SRM
1968 1989) *cf.* canopy cover.
- 1969 **Forb** — a non-aquatic, non-graminoid herb with relatively broad leaves and/or showy flowers.
1970 Includes both flowering and spore-bearing, non-graminoid herbs.
- 1971 **Formation** — the third level in the NVC natural vegetation hierarchy, in which each vegetation
1972 unit is defined by a geographically widespread (global) plant communities of similar
1973 physiognomy and dominant growth forms, typically related to major topographic and
1974 edaphic conditions occurring within major climatic conditions (Whittaker 1975, Lincoln
1975 et al. 1998).
- 1976 **Formation Class** — the first (highest) level in the NVC natural vegetation hierarchy, in which
1977 each vegetation unit is defined by a characteristic combination of dominant growth forms
1978 adapted to a very basic set of moisture / temperature regimes.
- 1979 **Formation Subclass** — the second level in the NVC natural vegetation hierarchy, in which each
1980 vegetation unit is defined by geographically widespread (global) plant communities of
1981 similar physiognomy and dominant growth forms, typically related to major climatic
1982 conditions (Whittaker 1975, Lincoln et al. 1998).

- 1983 **Frequency** — percentage of occurrence of a species in a series of samples of uniform size
1984 contained in a single stand (Daubenmire 1968).
- 1985 **Graminoid** — a non-aquatic, flowering herb with relatively long, narrow leaves and
1986 inconspicuous flowers with parts reduced to bracts. Includes grasses, sedges, rushes, and
1987 arrowgrasses.
- 1988 **Ground Stratum** — *cf.* nonvascular stratum.
- 1989 **Group** — the sixth level in the NVC natural vegetation hierarchy, in which each vegetation unit
1990 is defined by a group of plant communities with a common set of growth forms and
1991 diagnostic species or taxa (including several character species of the dominant growth
1992 forms), preferentially sharing a similar set of regional edaphic, topographic, and
1993 disturbance factors.
- 1994 **Growth form** — the shape or appearance of a plant reflecting growing conditions and genetics.
1995 Growth form is usually consistent within a species, but may vary under extremes of
1996 environment (Mueller-Dombois and Ellenberg 1974). Growth forms determine the visible
1997 structure or physiognomy of plant communities (Whittaker 1973a).
- 1998 **Habitat** — a general term referring to the locality, site and particular type of local environment
1999 occupied by an organism or community (adapted from Lincoln et al. 1998).
- 2000 **Habitat Type** — a collective term for all parts of the land surface supporting, or capable of
2001 supporting, a particular kind of climax plant association (Daubenmire 1978; Gabriel and
2002 Talbot 1984).
- 2003 **Herb** — a vascular plant without perennial aboveground woody stems, with perennating buds
2004 borne at or below the ground surface (Whittaker 1975, FGDC 1997). Includes forbs
2005 (both flowering forbs and spore-bearing ferns), graminoids, and herbaceous vines.
- 2006 **Herb Stratum** — see Field Stratum.
- 2007 **Hydromorphic** — pertaining to plants having structural or functional adaptations for living in
2008 water-dominated or aquatic habitats (adapted from FGDC 1997 and Lincoln et al. 1998).
- 2009 **Indicator Species** — a species whose presence, abundance, or vigor is considered to indicate
2010 certain site conditions (Gabriel and Talbot 1984).
- 2011 **Informative Appendix** — an appendix giving additional information which is not part of the
2012 Standard. They are provided only for the purposes of clarification, illustration, and
2013 general information in respect to the standard (FGDC 2002).
- 2014 **Land Cover** — the observed (bio)physical cover of the earth's surface (Di Gregorio and Jansen
2015 1996).
- 2016 **Land Use** — the arrangements, activities, and inputs people undertake in a certain land cover
2017 type to produce, change, or maintain it (Di Gregorio and Jansen 1996).
- 2018 **Layer (vegetation)** — a structural component of a community consisting of plants of
2019 approximately the same height and growth form (e.g., tree overstory, tree regeneration).
2020 *cf.* Stratum.
- 2021 **Liana** — a woody, climbing plant that begins life as terrestrial seedlings but relies on external
2022 structural support for height growth during some part of its life (Gerwing 2004), typically
2023 exceeding 5 m in height or length at maturity.

- 2024 **Life form** — plant type defined by the characteristic structural features and method of
2025 perennation, generally as defined by Raunkiaer (1934; see Beard 1973).
- 2026 **Lithomorphic** — pertaining to plants having structural or functional adaptations for living on
2027 rock surfaces or in rocky substrates (i.e. particle sizes larger than 2 mm diameter (adapted
2028 from Lincoln et al. 1998).
- 2029 **Macrogroup** — the fifth level in the NVC natural vegetation hierarchy, in which each vegetation
2030 unit is defined by a group of plant communities with a common set of growth forms and
2031 many diagnostic plant taxa, including many character taxa of the dominant growth forms,
2032 preferentially sharing a broadly similar geographic region and regional climate, and
2033 disturbance.
- 2034 **Mesomorphic** — pertaining to plants requiring environmental conditions of moderate moisture
2035 and temperature or which are only partially protected against desiccation (adapted from
2036 Lincoln et al. 1998).
- 2037 **Metadata** — information about data. This describes the content, quality, condition, and other
2038 characteristics of a given dataset. Its purpose is to provide information about a dataset or
2039 some larger data holdings to data catalogues, clearinghouses, and users. Metadata are
2040 intended to provide a capability for organizing and maintaining an institution's
2041 investment in data as well as to provide information for the application and interpretation
2042 of data received through a transfer from an external source (FGDC 1997).
- 2043 **Moss Stratum** — see Ground Stratum.
- 2044 **Natural Vegetation** — vegetation where ecological processes primarily determine species and
2045 site characteristics; that is, vegetation comprised of a largely spontaneously growing set
2046 of plant species that are shaped by both site and biotic processes (Kuchler 1969, Westhoff
2047 and Van der Maarel. 1973).
- 2048 **Nonvascular** — a plant or plant-like organism without specialized water or fluid conductive
2049 tissue (xylem and phloem). Includes mosses, liverworts, hornworts, lichens, and algae
2050 (adapted from FGDC 1997).
- 2051 **Nonvascular Stratum** — the layer of vegetation consisting of non-vascular plants growing on
2052 soil or rock surfaces. This includes mosses, liverworts, hornworts, lichens, and algae
2053 (Jennings et al. 2006). Sometimes called the Ground Stratum.
- 2054 **Non-vegetated** — A category used to classify lands with limited capacity to support life and
2055 typically having less than 1 percent vegetative cover. Vegetation, if present, is widely
2056 spaced. Typically, the surface of barren land is sand, rock, exposed subsoil, or salt-
2057 affected soils. Subcategories include salt flats; sand dunes; mud flats; beaches; bare
2058 exposed rock; quarries, strip mines, gravel pits, and borrow pits; river wash; oil
2059 wasteland; mixed barren lands; and other barren land (adapted from NRI 2003).
2060 Exceptions include vegetation which exhibits a distinct composition under very sparse
2061 conditions (e.g., sea rocket coastal shore vegetation, or amaranth coastal vegetation).
2062 These types rarely have greater than 1% cover.
- 2063 **Normative Appendix** — an appendix which contains information which is an integral part of the
2064 Standard, but for reasons of convenience is placed in an appendix (FGDC 2002).
- 2065 **Occurrence Plot Records** — plot records that contain data valuable for ecological and
2066 geographical characterization of vegetation, but which do not contain sufficient data to be

- 2067 used in quantitative description of an association or alliance (see Section 3.1.1 (Jennings
2068 et al.2006). *cf.* Classification Plot Records.
- 2069 **Physiognomy** — the visible structure or outward appearance of a plant community as expressed
2070 by the dominant growth forms, such as their leaf appearance or deciduousness (Fosberg
2071 1961, Jennings et al. 2006) *cf.* structure.
- 2072 **Plant Community** — a group of plant species living together and linked together by their effects
2073 on one another and their responses to the environment they share (modified from
2074 Whittaker 1975). Typically the plant species that co-occur in a plant community show a
2075 definite association or affinity with each other (Kent and Coker 1992).
- 2076 **Planted/Cultivated** — see Cultural Vegetation.
- 2077 **Plot** — in the context of vegetation classification, an area of defined size and shape that is
2078 intended for characterizing a homogenous occurrence of vegetation. *cf.* relevé.
- 2079 **Potential Natural Vegetation** — the vegetation that would become established if successional
2080 sequences were completed without interference by man or natural disturbance under the
2081 present climatic and edaphic conditions (Tüxen 1956). *cf.* existing vegetation.
- 2082 **Range of Variation** — the values of an attribute, such as species composition or environmental
2083 parameters, that fall within the upper and lower bounds determined for that attribute. The
2084 range of variation in the floristic composition of a vegetation type may, for example, be
2085 expressed in terms of its beta diversity (*cf.* Wilson and Shmida 1984, McCune et al.
2086 2002), either along an environmental gradient or as the amount of compositional change
2087 among a group of plots.
- 2088 **Relevé** — a record of vegetation intended for characterizing a stand of vegetation having uniform
2089 habitat and relatively homogeneous plant cover, and which is large enough in area to
2090 contain a large proportion of the species typically occurring in the plant community
2091 (Mueller-Dombois and Ellenberg 1974) *cf.* plot.
- 2092 **Reserved** — a section of the FGDC standard that will be addressed or developed in subsequent
2093 versions.
- 2094 **Sampling Strategy** — the means and criteria used to select the locations for plots (based on Tart
2095 et al. 2005b, Mueller-Dombois and Ellenberg 1974, and Gauch 1982).
- 2096 **Seral** — a vegetation type (or component species) that is nonclimax; a species or community
2097 demonstrably susceptible to replacement by another species or community (Daubenmire
2098 1978).
- 2099 **Semi-Natural Vegetation** — vegetation in which past or present human activities significantly
2100 influence composition or structure, but do not eliminate or dominate spontaneous
2101 ecological processes (Westhoff and Van der Maarel 1973).
- 2102 **Sere** — a continuous sequence of community types that occur in a successional sequence prior to
2103 reaching the climax type (Jennings et al. 2006).
- 2104 **Shrub** — a woody plant that generally has several erect, spreading, or prostrate stems which give
2105 it a bushy appearance. In instances where growth form cannot be determined, woody
2106 plants less than 5 m in height at maturity shall be considered shrubs. Includes dwarf-
2107 shrubs, krummholz, and low or short woody vines (adapted from FGDC 1997 and Box
2108 1981).

- 2109 **Shrub Stratum** — the layer of vegetation consisting of woody plants more than 0.5 m tall but
2110 less than 5 m in height, such as shrubs, tree seedling and saplings, and lianas. Epiphytes
2111 may also be included in this stratum. Rooted herbs are excluded even if they are over 0.5
2112 m in height (adapted from Jennings et al. 2006).
- 2113 **Stand** — a spatially continuous unit of vegetation with uniform composition, structure, and
2114 environmental conditions. This term is often used to indicate a particular example of a
2115 plant community (Jennings et al. 2006).
- 2116 **Stratum** — a structural component of a community consisting of plants of approximately the
2117 same height; e.g., tree, shrub, or herb strata (Jennings et al. 2006).
- 2118 **Structure (vegetation)** — (1) the spatial pattern of growth forms in a plant community,
2119 especially with regard to their height, abundance, or coverage within the individual layers
2120 (Gabriel and Talbot 1984). (2) the spatial arrangement of the components of vegetation
2121 resulting from plant size and height, vertical stratification into layers, and horizontal
2122 spacing of plants (Lincoln et al. 1998, Mueller-Dombois and Ellenberg 1974). *cf.*
2123 *physiognomy*.
- 2124 **Subclass** — the level in the NVC classification hierarchy under class (see Figure 1) based on
2125 growth form characteristics (Grossman et al. 1998).
- 2126 **Subclimax** — the stage plant succession immediately preceding the climax stage (Gabriel and
2127 Talbot 1984).
- 2128 **Submerged Aquatic Stratum** — the layer of vegetation consisting of rooted or drifting plants
2129 that by-and-large remain submerged in the water column or on the aquatic bottom; e.g.
2130 sea grass (Jennings et al. 2006).
- 2131 **Taxon-concept** —when used with respect to taxonomic nomenclature, the combination of a
2132 taxon name along with a reference to a circumscribed taxonomic concept (as in “potential
2133 taxon” of Berendsohn (1995) or “assertion” of Pyle (2004)).
- 2134 **Tree** — a woody plant that generally has a single main stem and a more or less definite crown.
2135 In instances where growth form cannot be determined, woody plants equal to or greater
2136 than 5 m in height at maturity shall be considered trees (adapted from FGDC 1997).
2137 Includes dwarf trees (Tart et al. 2005b) or “treelets” (Box 1981).
- 2138 **Tree Stratum** — the layer of vegetation consisting of woody plants more than 5 m in height,
2139 including mature trees, shrubs over 5 m tall, and lianas. Epiphytes growing on these
2140 woody plants are also included in this stratum (Jennings et al. 2006).
- 2141 **Type** — see Vegetation Type.
- 2142 **Vegetation** — the collective plant cover of an area (FGDC 1997)
- 2143 **Vegetation type** — a named category of plant community or vegetation defined on the basis of
2144 shared floristic and/or physiognomic characteristics that distinguish it from other kinds of
2145 plant communities or vegetation (Tart et al. 2005a). This term can refer to units in any
2146 level of the NVC hierarchy.
- 2147 **Xeromorphic** — pertaining to plants having structural or functional adaptations to prevent water
2148 loss by evaporation (Lincoln et al. 1998).

Appendix B (informative). Relation of USNVC to Land Cover Classifications

Table B.1. Comparison of FAO LCCS Land Cover Types (based on structural domains) and National Land Cover Database (NLCD) types with that of NVC Level 1 (see Di Gregorio and Jansen 1996, USGS 2001).

		CATEGORY			
		LCCS	LCCS Major Land Cover Type with Structural Domain	NLCD (* indicates applies to Alaskan tundra only).	NVC Level 1
VEGETATED	NATURAL	TERRESTRIAL: A12. Natural and Semi-Natural Terrestrial Vegetation	Forest & Woodland Thicket & Shrubland Grasslands Sparse Vegetation Lichens/Mosses	<ul style="list-style-type: none"> • Forest • Shrubland <ul style="list-style-type: none"> – Dwarf Shrub* – Shrub/Scrub • Grasslands/Herbaceous <ul style="list-style-type: none"> – Grassland/Herbaceous – Sedge Herbaceous* • Non-Vascular <ul style="list-style-type: none"> – Lichens* – Moss* • Wetlands <ul style="list-style-type: none"> Forested Wetland Scrub/Shrub Wetland Emergent Herb Wetland Aquatic Bed 	Forest & Woodland Shrubland & Grassland Semi-Desert Polar & High Montane Vegetation Aquatic Vegetation Nonvascular & Sparse Vascular Vegetation
		WETLAND/AQUATIC: A24. Natural and Semi-Natural Aquatic or Regularly Flooded Vegetation	Forest & Woodland Closed Shrubs & Open Shrubs Grasslands Sparse Vegetation Lichens/Mosses		
	CULTURAL	TERRESTRIAL: A11. Cultivated and Managed Terrestrial Areas	<ul style="list-style-type: none"> • Agriculture <ul style="list-style-type: none"> Tree Crops Shrub Crops Herbaceous Crops • Developed <ul style="list-style-type: none"> Managed Lands <ul style="list-style-type: none"> - parks (woody) - parkland (scattered woody) - lawns (herb) 	<ul style="list-style-type: none"> • Agriculture <ul style="list-style-type: none"> - Cultivated Crops (woody) - Cultivated Crops (herb) - Pasture/Hay • Developed <ul style="list-style-type: none"> - Developed, Open Space 	<ul style="list-style-type: none"> • Agricultural Vegetation <ul style="list-style-type: none"> - Woody Ag. Vegetation Woody Horticultural Crops Other Woody Ag. Vegetation - Herbaceous Ag. Vegetation Cultivated Crop Pasture/Hay Other Herbaceous Ag. Vegetation • Developed Vegetation <ul style="list-style-type: none"> - Herbaceous and Woody Developed Vegetation

		WETLAND/AQUATIC: A23. Cultivated Aquatic or Regularly Flooded Areas	- Aquatic Or Regularly Flooded Graminoid Crops - Aquatic Or Regularly Flooded Non-Graminoid Crops	?	
NON- VEGETATED	NATURAL	TERRESTRIAL: B16. Bare Areas	Consolidated Areas Unconsolidated Areas	• Barren – Rock/Sand/Clay – Unconsolidated Shore**	FAO (informative)
		AQUATIC: B28. Natural Waterbodies, Snow and Ice	Natural Waterbodies Snow Ice	• Water – Open Water – Perennial Ice/Snow	FAO (informative)
	CULTURAL	TERRESTRIAL: B15. Artificial Surfaces and Associated Areas	Built-Up Areas (Developed) Non Built-Up Areas (Waste)	• Developed – Low Intensity – Medium Intensity – High Intensity	(FAO informative)
		AQUATIC: B27. Artificial Surfaces and Associated Areas	Artificial Waterbodies Artificial Snow Artificial Ice	• Water – Open Water – Perennial Ice/Snow	FAO (informative)

Table B2. Relation of NRCS National Resources Inventory classification (NRI 2003) used on non-federal lands in the lower 48 States, to the broad categories and classification units of the NVC.

Category 1	Category 2	Level 1	NRCS NRI Category
VEGETATED	NATURAL	Forest & Woodland	Forest Land
		Shrubland & Grassland	Rangeland
		Semi-Desert	
		Polar & High Montane Vegetation	
		Aquatic Vegetation	
		Nonvascular & Sparse Vascular Vegetation	
	CULTURAL	Agricultural Vegetation	Cropland
		Developed Vegetation	Developed Land
			Other Rural Land (?)
NON-VEGETATED	NATURAL	NATURAL NON-VEGETATED TERRESTRIAL AREAS	Other Rural Land (?)
		NATURAL NON-VEGETATED WATERBODIES (<i>Open water</i>)	Water
	CULTURAL	CULTURAL NON-VEGETATED TERRESTRIAL AREAS	Other Rural Land (?)
		CULTURAL NON-VEGETATED WATER-BODIES (<i>Open Water</i>)	Water

Appendix C (Informative): Multilingual version of natural hierarchy.

Table C.1. Multilingual version of names for the levels of the natural vegetation hierarchy

<i>Level</i>	<i>English name</i>	<i>Short name</i>	<i>French Name</i>	<i>Short Name</i>	<i>Spanish Name</i>	<i>Short Name</i>
1	Formation Class	Class	Classe de formation	Classe	Clase de formación	Clase
2	Formation Subclass	Subclass	Sous-classe de formation	Sous-classe	Subclase de formación	Subclase
3	Formation	Formation	Formation	Formation	Formación	Formación
4	Division	Division	Division	Division	División	División
5	Macrogroup	Macrogroup	Macrogroupe	Macrogroupe	Macrogrupo	Macrogrupo
6	Group	Group	Groupe	Groupe	Grupo	Grupo
7	Alliance	Alliance	Alliance	Alliance	Alianza	Alianza
8	Association	Association	Association	Association	Asociación	Asociación

Appendix D (Normative): Required attributes for Plots

Classification plots provide data needed to develop and define classified vegetation types. *Occurrence plots* document a less formal observation of a known vegetation type at a location. Required fields are those minimally needed to serve as either classification or occurrence plots. The required information includes the plot data itself, metadata about the plot, and information about each assignment of a field plot to a vegetation type.

D.1 Information required in field plot data sets.

Field plot data includes the following kinds of information

1. Information required in the field plot record.
2. Information required for the plot vegetation.
3. Information required for the plot location.
4. Information about the plot environment.

Tables D.1.1 through D.1.4 describe field plot data requirements.

Table D.1.1 — Information required in the field plot record.

Attribute Name	Attribute Definition	Classification Plots	Occurrence Plots
Author Plot Code	Author's plot number/code, or the original plot number if taken from literature.	Required	Required
Author Observation Code	Code or name that the author uses to identify this plot observation. Where a plot has only one observation, this code may equal Author Plot Code.	Required	
Observation Start Date	The date of the observation, or the first day if the observation spanned more than one day.	Required	Required
Date Accuracy	Estimated accuracy of the observation date. Accuracy is often low for legacy data.	Required	

Table D.1.2. — Information required for the plot vegetation.

Attribute Name	Attribute Definition	Classification Plots	Occurrence Plots
<p><i>The following stratum variables are recorded once for each stratum recognized. While not strictly required, measurements of strata are a best practice.</i></p>			
Stratum Index	Indices used to represent stratum	Required only if strata are recorded	
Stratum Name	Name of stratum	Required only if strata are recorded	
Stratum Description	Description of stratum	Required only if strata are recorded	
Stratum Cover	Total cover of vegetation within the given stratum in percent.	Required only if strata are recorded	
<p><i>The following growth form variables are recorded once for each growth form recognized. While not strictly required, measurements of growth form are a best practice. If growth forms are measured, the first three and last are required</i></p>			
Growth Form Index	Indices used to represent growth form	Required only if growth forms are recorded	
Growth Form Name	Name of growth form	Required only if growth forms are recorded	
Growth Form Description	Description of growth form	Required only if growth forms are recorded	
Growth Form Cover	Total cover of vegetation for the growth form in percent.	Required only if growth forms are recorded	
<p><i>The following apply for recording plant taxa, with at least one record per taxon, and multiple records when taxa are observed in multiple strata.</i></p>			
Plant Name	Name of the taxon. For occurrence plots, only dominant taxa are required, whereas for classification plots a comprehensive list of taxa is required.	Required if species are recorded	Required if species are recorded
Plant Reference	Authority followed for taxon (could be entered by taxon, or collectively for the whole plot or as a default where not otherwise specified in the metadata).	Required if species are recorded	Required if species are recorded
Taxon Cover	Overall cover of the taxon across all strata. For occurrence plots, only dominant taxa are required, whereas for classification plots a comprehensive list of taxa is required.	Required if species are recorded	Required if species are recorded

Attribute Name	Attribute Definition	Classification Plots	Occurrence Plots
Taxon Inference Area	This is the area in square meters used to estimate the cover of a given taxon. Generally this should be equal to Taxon Observation Area, but at times this area may be larger or smaller for a specific taxon.	Required if species are recorded	

Table D.1.3 — Information required for the plot location.

(some may be determined after a return to office, for example, with coordinate conversions)

Attribute Name	Attribute Definition	Classification Plots	Occurrence Plots
Latitude & Longitude	WGS84 Latitude and Longitude of the plot origin in degrees and decimals following any adjustments, conversions and postprocessing.	Required	Required
Type of Field Coordinates	Coordinates recorded in the field (latitude and longitude with datum, UTM with datum, or alternative geographic projection with units, longitude of center of projection, latitude of center of projection, False easting, False northing, X axis shift, & Y axis shift)	Required	Required
Location Accuracy	Estimated accuracy of the location of the plot. Plot origin has a 95% or greater probability of being within this many meters of the reported location.		Required
Area	Total area of the plot in square meters. If many subplots, this area includes the subplots and the interstitial space.	Required	

Table D.1.4 — Information about the plot environment.**(Reserved)**

There are no required plot environment fields, because no one set of factors is relevant for all vegetation types. Provisions shall be made in the database to store a variety of plot environment information.

D.2 Information to be included as field plot metadata.

Field plot metadata includes the following kinds of information

1. Metadata about the plot and the plot observation.
2. Metadata about the methods used to collect the field data.
3. Metadata about the human sources of the field data.
4. Metadata about references for other sources of plot data.

Tables D.2.1 through D.2.4 describe the required metadata attributes.

Table D.2.1 — Metadata about the plot and the plot observation.

Attribute Name	Attribute Definition	Classification Plots	Occurrence Plots
Plot Type	Indicate if information is recorded from the entire plot or from subplots. If from subplots indicate how the subplots were configured: contiguous, regular, random, or haphazard	Required	
Taxon Observation Area	The total surface area (in square meters) used for cover estimates and for which a complete species list is provided. If subplots were used, this would be the total area of the subplots without interstitial space.	Required	
Cover Dispersion	Indication of how cover values for the total taxon list were collected; i.e., from one contiguous area or dispersed subplots (e.g., contiguous, dispersed-regular, dispersed-random)?	Required	

Table D.2.2 — Metadata about the methods used to collect the field data.

Identify the stratum/growth form method used. Vertical strata used for recording taxon cover shall be defined in terms of their upper and lower limits with this information reported in Table 1.2.

Attribute Name	Attribute Definition	Classification Plots	Occurrence Plots
Stratum/Growth Form Method Name	Name of the stratum/growth form method. Any appropriate label (e.g., NVC, Braun-Blanquet, NatureServe, North Carolina Vegetation Survey #1, etc..).	Required	
Stratum/Growth Form Method Description	This field describes the general methods used for strata/growth forms.	Required	
Cover Class Method	Name of the cover class method (e.g., Braun-Blanquet, Barkman, Domin, Daubenmire, North Carolina Vegetation Survey, etc.).	Required	
Cover Source	Direct Field Measure, Indirect (calculated) Measure	Required	
Cover Code	The name or label used in the cover class scale for this specific cover class.	Required	
Cover Code Upper Limit	Upper limit, in percent, associated with the specific cover code.	Required	
Cover Code Lower Limit	This is the lower limit, in percent, associated with a specific Cover Code.	Required	

Table D.2.3 — Metadata about the human sources of the field data.

Attribute Name	Attribute Definition	Classification Plots	Occurrence Plots
Given Name	One's first name.	Required	Required
Surname	Name shared in common to identify the members of a family, as distinguished from each member's given name.	Required	Required
Address Start Date	The first date on which the address/organization information was applied	Required	Required
<i>The following may be repeated an indefinite number of times per person</i>			
Role: Plot submitter	Name of the person submitting the analysis.	Required	Required
Role: Plot Primary Field Observer	Name of the person who made the field observation (e.g., PI, technician, volunteer, etc.).	Required	Required
Role: Plot Author	Name of the author of the plot record.	Required	Required

Table D.2.4 — Metadata about references for other sources of plot data.

These fields are used when plot observations are taken from published literature sources.

Attribute Name	Attribute Definition	Classification Plots	Occurrence Plots
Authors	Name of authors if plot record is taken from published work.	Required	Required
Title	Title of publication, if plot record is taken from published work.	Required	Required
Publication Date	Date of publication, if plot record is taken from published work.	Required	Required
Edition	Edition of publication if applicable, and if plot record is taken from published work.	Required	Required
Series Name	Name of publication series, if applicable, and if plot record is taken from published work.	Required	Required
Page	Page number of publication, if plot record is taken from published work.	Required	Required
Table Cited	Table number or code, if applicable and if plot record is taken from published work.	Required	Required
Plot Cited	Original plot name, if plot record is taken from published work.	Required	Required
Citation Type	Describes the type of reference this generic type is being used to represent. Examples: book, journal article, webpage.	Required	Required
Title	The formal title given to the work by its author or publisher.	Required	Required
Pub Date	Represents the date that the reference was published.	Required	Required
Access Date	The date the reference being referenced was accessed. This is useful if the reference is could be changed after formal publication, such as websites or databases.	Required	Required
Conference Date	The date the conference was held.	Required	Required
Volume	The volume of the journal in which the article appears.	Required	Required
Issue	The issue of the journal in which the article appears.	Required	Required
Page Range	The beginning and ending pages of the journal article that is being documented.	Required	Required
Total Pages	The total number of pages in the book that is being described.	Required	Required
Publisher	The organization that physically put together the report and publishes it.	Required	Required
Publication Place	The location at which the work was published. This is usually the name of the city in which the publishing house produced the work.	Required	Required
ISBN	The ISBN, or International Standard Book Number assigned to this literature reference.	Required	Required
Edition	The edition of the generic reference type that is being described.	Required	Required

Attribute Name	Attribute Definition	Classification Plots	Occurrence Plots
Number Of Volumes	Number of volumes in a collection	Required	Required
Chapter Number	The chapter number of the chapter of a book that is being described.	Required	Required
Report Number	The unique identification number that has been issued by the report institution for the report being described.	Required	Required
Journal	The name of the publication in which the article was published. Example(s): Ecology, New York Times, Harper's, Canadian Journal of Botany/Revue Canadienne de Botanique, The Journal of the American Medical Association	Required	Required
ISSN	The ISSN, or International Standard Serial Number assigned to this literature reference. Example(s): ISSN 1234-5679	Required	Required
<i>The following may be repeated an indefinite number of times for each contributor to the reference (e.g. author, editor).</i>			
Role Type	The role the party played with respect to the reference contribution. Some potential roles include technician, reviewer, principal investigator, and many others.	Required	Required
Order	Numerical order in which this contributor's name should be in the order of contributors, if applicable. Examples: 1 [for the first author], 2, [for the second author], etc.	Required	Required
Type	The type of Party that a given record refers to, usually a person or institution.	Required	Required
Given Name	The given name field is used for all names except the surname of the individual. Examples: Jo, Jo R., Jo R.W., John Robert Peter	Required	Required
Surname	The surname field is used for the last name of the individual.	Required	Required

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D.3 Information about each assignment of a field plot to a vegetation type.

Information that should be included about each assignment of a field plot to a vegetation type in the NVC or other party-specific classification. Assignment, per se, of a plot to a classification type is not required. Table D.3.1 describes the required information.

Table D.3.1 — Information about each assignment of a plot to a vegetation type.

Attribute Name	Attribute Definition	Classification Plots	Occurrence Plots
Classification Start Date	Start date for the application of a vegetation class to a plot observation by one or more parties.	Required	Required
Classifier	Name of person who classified the plot – this should link to a person included in the human resources metadata table.	Required	Required
Interpretation Date	The date that the interpretation was made.	Required if known	Required
Interpretation Type	Categories for the interpretation (e.g., author, computer-generated, simplified for comparative analysis, correction, finer resolution).	Required if known	Required
Original Interpretation	Does this interpretation correspond to the original interpretation of the plot author, as best as can be determined. There is no requirement that the authority match the authority of the author; only that the concepts are synonymous.	Required if known	Required
Current Interpretation	This interpretation is the most accurate interpretation currently available.	Required if known	Required
<i>The following may be repeated for each community type associated with a plot during a classification event</i>			
Community Name	Name of the community	Required if known	Required
Community Reference	Reference wherein the above name is defined	Required if known	Required

Appendix E (Normative): Growth Form Names, Codes, and Definitions

Table E.1. Names, definitions and codes for growth forms for use in collecting vegetation plot data (see also Whittaker 1975:359, Tart et al. 2005b, and Table 1.2 of Appendix B). Not to be confused with vegetation strata.

Table E.1a. General Growth Forms

Growth Form Code	<u>Name and Definition</u>
T	Tree - <i>A woody plant that generally has a single main stem and a more or less definite crown.</i> In instances where growth form cannot be determined, woody plants equal to or greater than 5 m in height at maturity shall be considered trees (adapted from FGDC 1997). Includes small trees or “treelets” (Box 1981)
S	Shrub - <i>A woody plant that generally has several erect, spreading, or prostrate stems which give it a bushy appearance.</i> In instances where growth form cannot be determined, woody plants less than 5 m in height at maturity shall be considered shrubs (adapted from FGDC 1997). Includes dwarf-shrubs (less than 30 cm), krummholz (wind-stunted woody scrub), low or short woody vines, and arborescents (woody plants that branch at or near ground-level but grow to low tree heights). (Box 1981).
H	Herb - <i>A vascular plant without perennial aboveground woody stems, with perennating buds borne at or below the ground surface.</i> (Whittaker 1975, FGDC 1997). Includes forbs (both flowering forbs and spore-bearing ferns), graminoids, and herbaceous vines.
N	Nonvascular - <i>A plant or plant-like organism without specialized water or fluid conductive tissue (xylem and phloem).</i> Includes mosses, liverworts, hornworts, lichens, and algae (adapted from FGDC 1997). Also called thallophytes or “nonvascular cryptogams,” (that is, excluding the fern cryptogams) (Box 1981).
E	Epiphyte - <i>A vascular or nonvascular plant that grows by germinating and rooting on other plants or other perched structures, and does not root in the ground</i> (adapted from FGDC 1997).
L	Liana - <i>A woody, climbing plant that begins life as terrestrial seedlings but relies on external structural support for height growth during some part of its life</i> (Gerwing 2004), typically exceeding 5 m in height or length at maturity.

Table E.1b. Specific Growth Forms

General Growth Form Code	Specific Growth Form Code	Name and Definition
T	TBD	Broad-leaved deciduous tree - A tree with a branching crown, leaves that have well-defined leaf blades that are generally of at least microphyll size ($\geq 225 \text{ mm}^2$, or 0.35 in^2) and which seasonally loses all of its leaves and becomes temporarily bare-stemmed. (adapted from FGDC 1997, Box 1981)
	TBE	Broad-leaved evergreen tree - A tree with a branching crown, leaves that have well-defined leaf blades that are generally of at least microphyll size ($\geq 225 \text{ mm}^2$ or 0.35 in^2) and which has green leaves all year round. (FGDC 1997, Box 1981)
	TBES	Sclerophyllous tree - A type of broad-leaved evergreen tree with leaves that are stiff and firm, and retain their stiffness even when wilted. The leaves are relatively small (microphyll to small mesophyll in size), and sometimes rather linear (FGDC 1997, Whittaker 1975, Box 1981)
	TN	Needle-leaved tree - A tree with slender, elongated leaves or with small overlapping leaves that usually lie flat on the stem. Includes scale-leaved and needle-leaved trees, deciduous and evergreen, needleleaf trees. (FGDC 1997, Box 1981)
	TU	Succulent tree - A tree or arborescent plant with fleshy stems or leaves with specialized tissue for the conservation of water. (FGDC 1997) Includes cacti, Joshua trees, euphorbias, and others over 5 meters in height at maturity. Referred to as "arborescent stem-succulent" by Box (1981)
	TM	Small-leaved tree - A tree with very small leaves ($< 225 \text{ mm}^2$, or 0.35 in^2), or even leafless, sometimes armed with spines. Includes both evergreen and deciduous small-leaved trees, such as <i>Acacia gregii</i> , <i>Mimosa</i> (adapted from Thorn tree by Whittaker 1975).
	TP	Palm tree - An evergreen, broad-leaved, flowering tree with a simple, unbranched stem and terminal, rosulate crown of large, pinnate or fan-shaped leaves. A type of rosette tree. Palms are the primary taxa (but see Draceanaceae, some Pandanaceae etc in Box 1981)
	TF	Tree fern - An evergreen, broad-leaved, spore-bearing tree (or arborescent fern) with a simple, unbranched stem and terminal, rosulate crown of large fronds. A type of rosette tree, including taxa from Cyatheaceae and some Velloziaceae (Box 1981).
	TG	Bamboo tree - A woody-stemmed, arborescent grass that is equal to or greater than 5 m in height at maturity. Only applies to woody-stemmed bamboo graminoids. Includes the "Arborescent grasses" of Box (1981).
S	SD	Dwarf-shrub - A caespitose, creeping, matted, or cushion-forming shrub that is typically less than 30 cm tall at maturity due to genetic and/or environmental constraints, and generally small-leaved. Does not include shrubs less than 30 cm tall

		due to young age. (adapted from Mueller-Dombois and Ellenberg 1974)
	SBD	Broad-leaved deciduous shrub - A shrub that is typically more than 30 cm tall at maturity with leaves that have well-defined leaf blades that are generally of at least microphyll size ($\geq 225 \text{ mm}^2$, or 0.35 in^2 and seasonally loses all of its leaves and becomes temporarily bare-stemmed. (FGDC 1997)
	SBE	Broad-leaved evergreen shrub - A shrub that is typically more than 30 cm tall at maturity with leaves that are generally of at least microphyll size ($\geq 225 \text{ mm}^2$, or 0.35 in^2 and has green leaves all year round. (adapted from FGDC 1997, Box 1981)
	SBES	Sclerophyllous shrub - A type of broad-leaved evergreen shrub with relatively small, leaves that are stiff and firm, and retain their stiffness even when wilted. (FGDC 1997, Whittaker 1975)
	SN	Needle-leaved shrub - A shrub that is typically more than 30 cm tall at maturity with slender, elongated leaves or with small overlapping leaves that usually lie flat on the stem. (FGDC 1997) Includes scale-leaved as well as needle-leaved shrubs, and deciduous as well as evergreen.
	SU	Succulent shrub - A shrub or shrub-like plant that is typically more than 30 cm tall at maturity with fleshy stems or leaves with specialized tissue for the conservation of water. (adapted from FGDC 1997 and the Thorn shrub of Whittaker 1975) Includes cacti less than 5 meters in height at maturity. Includes both the "Typical Stem succulents" and "Bush succulents" of Box (1981). Includes Aloe, Agave.
	SM	Small-leaved shrub - A shrub that is typically more than 30 cm tall at maturity with very small leaves ($< 225 \text{ mm}^2$, or 0.35 in^2), or even leafless, sometimes armed with spines, usually having compound, deciduous leaves that are often reduced in size. Includes <i>Larrea tridentata</i> , <i>Prosopis glandulosa</i> , <i>Acacia neovernicosa</i> , <i>Senna</i> , <i>Calliandra</i> (Jennings et al. 2006, Whittaker 1975)
	SP	Palm shrub - An evergreen, broad-leaved, unbranched shrub that is typically more than 30 cm tall at maturity with a simple stem and terminal, rosulate crown of large, pinnate or fan-shaped leaves. Includes palms, espelettia, etc.

H	HA	Aquatic herb - <i>A flowering or non-flowering herb structurally adapted to live floating or submerged in an aquatic environment. Does not include emergent herbs such as cattails and sedges. (FGDC 1997, Jennings et al. 2006)</i>
	HF	Forb - <i>A non-aquatic, non-graminoid herb with relatively broad leaves and/or showy flowers. Includes both flowering and spore-bearing, non-graminoid herbs.</i>
	HFF	Flowering forb - <i>A forb with relatively broad leaves and showy flowers. Does not include graminoids, ferns, or fern-allies.</i>
	HFE	Fern (Spore-bearing forb) - <i>A non-flowering, spore-bearing forb. Includes non-aquatic, non-woody ferns, clubmosses, horsetails, and quillworts.</i>
	HFS	Succulent forb - <i>A flowering forb with a fleshy stem and often with reduced leaves. Includes Salicornia and others.</i>
	HG	Graminoid - <i>A non-aquatic, flowering herb with relatively long, narrow leaves and inconspicuous flowers with parts reduced to bracts. Includes grasses, sedges, rushes, and arrowgrasses.</i>
N	NB	Bryophyte - <i>A nonvascular, non-flowering, photosynthetic plant that bears leaf-like appendages or lobes and attaches to substrates by rhizoids. Includes mosses, liverworts, and hornworts. (Abercrombie et al. 1966)</i>
	NA	Alga - <i>A nonvascular, photosynthetic plant with a simple form ranging from single- or multi-celled to a filamentous or ribbon-like thallus with relatively complex internal organization. (Abercrombie et al. 1966)</i>
	NL	Lichen - <i>An organism generally recognized as a single plant that consists of a fungus and an alga or cyanobacterium living in symbiotic association. (FGDC 1997)</i>
	E	Epiphyte - <i>A vascular or nonvascular plant that grows by germinating and rooting on other plants or other perched structures, and does not root in the ground (adapted from FGDC 1997).</i>
	L	Liana - <i>A woody, climbing plant that begins life as terrestrial seedlings but relies on external structural support for height growth during some part of its life (Gerwing 2004), typically exceeding 5 m in height or length at maturity.</i>

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Appendix F (Informative): Example Association Description

Field names and information are taken, in part, from the NatureServe Biotics database.

OVERVIEW:

Names:

Name: *Sporobolus heterolepis* - *Schizachyrium scoparium* - (*Carex scirpoidea*) / (*Juniperus horizontalis*) Herbaceous Association.

Name, translated: Prairie Dropseed - Little Bluestem - (Scirpus-like Sedge) / (Creeping Juniper) Herbaceous Vegetation

Common Name: Little Bluestem Alvar Grassland

Identifier: CEGLO05234

Unit: ASSOCIATION

Placement in Hierarchy:

FORMATION:

DIVISION:

MACROGROUP: .

GROUP:

ALLIANCE: *SPOROBOLUS HETEROLEPIS* - (*DESCHAMPSIA CAESPITOSA*, *SCHIZACHYRIUM SCOPARIUM*) HERBACEOUS ALLIANCE

Summary: The little bluestem alvar grassland type is found primarily in the upper Great Lakes region of the United States and Canada, in northern Michigan and southern Ontario. These grasslands occur on very shallow, patchy soils (usually less than 20 cm deep, averaging about 6 cm deep) on flat alkaline limestone and dolostone outcrops (pavements). This community often has a characteristic soil moisture regime of alternating wet and dry periods. The vegetation is dominated by grasses and sedges, which typically have at least 45% cover. Characteristic species of the grassland are *Sporobolus heterolepis*, *Schizachyrium scoparium*, *Juniperus horizontalis*, *Carex scirpoidea*, *Deschampsia caespitosa*, *Packera paupercula* (= *Senecio pauperculus*), and *Carex crawei*. There is usually less than 10% cover of shrubs over 0.5 m tall; however there may be as much as 50% cover of dwarf-shrubs

(under 0.5 m tall) especially *Juniperus horizontalis*. Less than 50% of the ground surface is exposed bedrock (including bedrock covered with nonvascular plants: lichens, mosses, algae).

Classification Comments: The most commonly associated alvar communities that occur with this community in a landscape mosaic are *Juniperus horizontalis* - *Dasiphora fruticosa* ssp. *floribunda* / *Schizachyrium scoparium* - *Carex richardsonii* Dwarf-shrubland (Creeping Juniper - Shrubby-cinquefoil Alvar Pavement Shrubland; CEGL005236), *Deschampsia caespitosa* - (*Sporobolus heterolepis*, *Schizachyrium scoparium*) - *Carex crawei* - *Packera paupercula* Herbaceous Vegetation (Tufted Hairgrass Wet Alvar Grassland; CEGL005110), *Tortella tortuosa* - *Cladonia pocillum* - *Placynthium* spp. Sparse Vegetation (Alvar Nonvascular Pavement; CEGL005192) and, *Thuja occidentalis* - *Pinus banksiana* / *Dasiphora fruticosa* ssp. *floribunda* / *Clinopodium arkansanum* Wooded Herbaceous Vegetation (White-cedar - Jack Pine / Shrubby-cinquefoil Alvar Savanna; CEGL005132) (Reschke et al. 1998).

Rational for nominal species: *Sporobolus heterolepis* and *Schizachyrium scoparium* are dominants. *Carex scirpoidea* and *Juniperus horizontalis* are constants (>60% constancy) in the type. *Sporobolus heterolepis*, *Carex scirpoidea* and *Deschampsia caespitosa* are differential species.

VEGETATION:

Physiognomy and structure: The vegetation is dominated by grasses and sedges, which usually have at least 45% cover. There is usually less than 10% cover of shrubs over 0.5 m tall; however there may be as much as 50% cover of dwarf-shrubs (under 0.5 m tall) especially *Juniperus horizontalis*. This dwarf-shrub is shorter than the dominant grasses, and usually is found under the canopy of grasses, so the physiognomic type here is considered a grassland (in spite of relatively high cover of dwarf-shrubs). Less than 50% of the ground surface is exposed bedrock (including bedrock covered with nonvascular plants: lichens, mosses, algae).

Table 1. Physiognomy of the *Sporobolus heterolepis* - *Schizachyrium scoparium* - (*Carex scirpoidea*) / (*Juniperus horizontalis*) Herbaceous Association; Little Bluestem Alvar Grassland, NVC identifier code CEGL005234.

Physiognomy	Average Cover	Range of Cover
Tree Cover (> 5m)	1.0	0 - 15
Tree Height (m)	0.5	0 - 9
Tall Shrub Cover (2-5 m)	0.5	0 - 3
Tall Shrub Height (m)	0.5	0 - 3
Short Shrub Cover (0.5-2 m)	11.0	0 - 33
Short Shrub Height (m)	1.0	0 - 1.8
Vine Cover	0.0	0 - 0
Vine Height	0.0	0 - 0
Herb Cover	46.0	4 - 99
Herb Height	0.3	0-1
Nonvascular Cover	34.0	0 - 90

Floristics: Characteristic species of the grassland are *Sporobolus heterolepis*, *Schizachyrium scoparium*, *Juniperus horizontalis*, *Carex scirpoidea*, *Deschampsia caespitosa*, *Packera paupercula* (= *Senecio pauperculus*), and *Carex crawei*. *Juniperus horizontalis* may co-dominate in some stands.

Table 2: Floristic table of the *Sporobolus heterolepis* - *Schizachyrium scoparium* - (*Carex scirpoidea*) / (*Juniperus horizontalis*) Herbaceous Association; Little Bluestem Alvar Grassland, NVC identifier code CEG005234. For species in > 10% of stands for a total of 17 field plots. Species nomenclature is according to Gleason and Cronquist (1991).

Species by Layer	Constancy	Avg. Cover	Range of Cover, Where Present *
SHORT SHRUB LAYER (0.5-2 m)			
<i>Juniperus communis</i>	24	0.1	0.3 - 2
<i>Juniperus horizontalis</i>	71	8.0	1 - 33
<i>Prunus pumila</i>	29	0.5	0.3 - 4
<i>Thuja occidentalis</i>	12	0.1	0.3 - 0.3
HERB LAYER			
<i>Achillea millefolium</i>	12	0.1	0.3 - 0.3
<i>Agropyron trachycaulum</i>	24	0.1	0.3 - 0.3
<i>Ambrosia artemisiifolia</i>	18	0.1	0.3 - 0.3
<i>Antennaria</i> spp.	24	0.1	0.3 - 0.3
<i>Aquilegia canadensis</i>	18	0.1	0.3 - 0.3
<i>Arenaria stricta</i>	29	0.1	0.3 - 1
<i>Aster ciliolatus</i>	12	0.1	0.3 - 0.3
<i>Aster laevis</i>	47	0.5	0.3 - 2
<i>Bromus kalmii</i>	18	0.1	0.3 - 2
<i>Calamagrostis canadensis</i>	12	0.1	1 - 2
<i>Calamintha arkansana</i>	59	1.0	0.3 - 5
<i>Campanula rotundifolia</i>	65	0.5	0.3 - 1

Table 2: Floristic table of the *Sporobolus heterolepis* - *Schizachyrium scoparium* - (*Carex scirpoidea*) / (*Juniperus horizontalis*) Herbaceous Association; Little Bluestem Alvar Grassland, NVC identifier code CEG005234. For species in > 10% of stands for a total of 17 field plots. Species nomenclature is according to Gleason and Cronquist (1991).

Species by Layer	Constancy	Avg. Cover	Range of Cover, Where Present *
<i>Carex aurea</i>	12	0.1	0.3 - 0.3
<i>Carex crawei</i>	24	2.0	0.3 - 18
<i>Carex eburnea</i>	24	0.5	0.3 - 4
<i>Carex granularis</i>	12	0.1	0.3 - 1
<i>Carex richardsonii</i>	12	0.1	1 - 3
<i>Carex scirpoidea</i>	71	4.0	0.3 - 23
<i>Carex viridula</i>	41	0.5	0.3 - 2
<i>Castilleja coccinea</i>	29	0.1	0.3 - 1
<i>Cladium mariscoides</i>	12	0.5	1 - 5
<i>Comandra umbellata</i>	53	0.1	0.3 - 1
<i>Danthonia spicata</i>	53	1.0	0.3 - 5
<i>Deschampsia cespitosa</i>	47	1.0	0.3 - 5
<i>Eleocharis compressa</i>	29	0.5	0.3 - 3
<i>Eleocharis elliptica</i>	12	0.5	0.3 - 5
<i>Fragaria virginiana</i>	29	0.1	0.3 - 1
<i>Geum triflorum</i>	18	0.1	0.3 - 0.3
<i>Hedyotis longifolia</i>	18	0.5	0.3 - 5
<i>Hypericum kalmianum</i>	41	0.1	0.3 - 0.3
<i>Hypericum perforatum</i>	29	0.1	0.3 - 0.3
<i>Muhlenbergia glomerata</i>	12	0.1	1 - 2
<i>Panicum</i> spp.	35	1.0	0.3 - 5
<i>Poa compressa</i>	47	5.0	0.3 - 55
<i>Polygala senega</i>	12	0.1	0.3 - 1
<i>Potentilla fruticosa</i>	71	2.0	0.3 - 8
<i>Prunella vulgaris</i>	24	0.1	0.3 - 0.3
<i>Rhamnus alnifolia</i>	12	0.1	0.3 - 2
<i>Rhus aromatica</i>	18	0.2	0.3 - 3
<i>Saxifraga virginensis</i>	12	0.1	0.3 - 0.3
<i>Schizachyrium scoparium</i>	71	8.0	0.3 - 38
<i>Scirpus cespitosus</i>	12	2.0	1 - 25
<i>Senecio pauperculus</i>	88	2.0	0.3 - 23
<i>Sisyrinchium mucronatum</i>	18	0.1	0.3 - 1
<i>Solidago juncea</i>	12	0.1	0.3 - 0.3
<i>Solidago ohioensis</i>	12	1.0	0.3 - 16
<i>Solidago ptarmicoides</i>	76	0.5	0.3 - 3
<i>Solidago</i> spp.	18	0.1	0.3 - 0.3
<i>Sporobolus heterolepis</i>	53	12.0	0.3 - 76
<i>Sporobolus neglectus/vaginiflorus</i>	24	2.0	0.3 - 25

Table 2: Floristic table of the *Sporobolus heterolepis* - *Schizachyrium scoparium* - (*Carex scirpoidea*) / (*Juniperus horizontalis*) Herbaceous Association; Little Bluestem Alvar Grassland, NVC identifier code CEG005234. For species in > 10% of stands for a total of 17 field plots. Species nomenclature is according to Gleason and Cronquist (1991).

Species by Layer	Constancy	Avg. Cover	Range of Cover, Where Present *
<i>Zigadenus elegans</i> var. <i>glaucus</i>	29	0.1	0.3 - 2
MOSS LAYER			
<i>Gloeocapsa</i> /rock surface algae	47	12.0	5 - 60
<i>Nostoc commune</i>	41	2.0	0.3 - 18
<i>Trentepohlia</i> spp	29	0.1	0.3 - 0.3
<i>Ditrichum flexicaule</i>	24	0.1	0.3 - 3
<i>Pseudocalliergon turgescens</i>	18	1.0	0.3 - 15
<i>Schistidium rivulare</i>	24	0.5	0.3 - 10
<i>Tortella</i> spp.	41	3.0	0.3 - 29
<i>Tortella tortuosa</i>	12	0.5	0.3 - 10
<i>Cladina rangiferina</i>	18	0.1	0.3 - 0.3
<i>Cladina</i> spp.	12	0.1	0.3 - 0.3
<i>Cladonia pyxidata</i>	29	0.1	0.3 - 1
<i>Cladonia</i> spp.	18	0.1	0.3 - 2
<i>Peltigera</i> spp. (<i>P. rufescens</i> ?)	12	0.1	0.3 - 0.3
<i>Placynthium nigrum</i>	24	0.2	0.3 - 2
<i>Xanthoparmelia</i> spp.	12	0.1	0.3 - 0.3

* Each species may not be present in every plot; the range of values is derived only from plots where the species has been found.

Dynamics: Not documented.

Environment: These grasslands occur on very shallow, patchy soils (usually less than 20 cm deep, averaging about 6 cm deep) on flat limestone and dolostone outcrops (pavements). Soils are loams high in organic matter. This community often has a characteristic soil moisture regime of alternating wet and dry periods; they can have wet, saturated soils in spring and fall, combined with summer drought in most years. In large patches over 20 ha (50 acres) this grassland often occurs as a small-scale matrix, with smaller patches of other alvar communities occurring within the larger patch of little bluestem alvar grassland, forming a landscape mosaic (Reschke et al. 1998).

Table 3. Physical environment of the *Sporobolus heterolepis* - *Schizachyrium scoparium* - (*Carex scirpoidea*) / (*Juniperus horizontalis*) Herbaceous Association; Little Bluestem Alvar Grassland, NVC identifier code CEG005234.

Continuous Variables	Average	Range
Elevation (m)	186.0	178-209
Slope Gradient (degrees)	0.5	0 - 3
Organic Horizon Depth (cm)	1.0	0 - 8
Average Field pH	7.8	7.3 - 9
Soil Depth (cm)	4.0	1 - 9
Exposed Bedrock (%)	18.0	0 - 75
Large Rock, Surficial (% > 10 cm)	7.0	0 - 35
Small Rock, Surficial (% 0.2 - 2 cm)	10.0	0 - 72
Sand, Surficial (%)	0.0	0 - 0
Bare Soil, Surficial (%)	0.5	0 - 5
Litter (%)	2.0	0 - 12
Down Wood (% > 1 cm dbh)	0.1	0 - 1
Water (%)	0.1	0 - 1
Categorical Variables	Category	Number of Plots (%)
Slope Aspect	Flat	7 (41)
Slope Aspect	South	6 (35)
Slope Aspect	Northeast	2 (12)
Slope Aspect	West	1 (6)
Slope Aspect	North	1 (6)
Topographic Position	High, level	5 (28)
Topographic Position	Low, level	4 (24)
Topographic Position	Midslope	2 (12)
Topographic Position	Other	4 (24)
Topographic Position	No Value	2 (12)
Soil Moisture	Periodically Inundated	7 (41)
Soil Moisture	Moist	4 (24)
Soil Moisture	Somewhat Moist	3 (17)
Soil Moisture	Dry	1 (6)
Soil Moisture	Extremely Dry	1 (6)
Soil Moisture	No Value	1 (6)

DISTRIBUTION:

Range: The little bluestem alvar grassland type is found primarily in the upper Great Lakes region of the United States and Canada, in northern Michigan, and in Ontario on

Manitoulin Island and vicinity, on the Bruce Peninsula, and at a few sites further east in the Carden Plain and Burnt Lands.

Nations: CA US

States/Provinces: Michigan, Ontario

USFS Ecoregions: 212H:CC, 212Pc:CCC

PLOT SAMPLING AND ANALYSIS:

Location of archived plot data: Spreadsheet files with compiled vegetation data from plots and structural types are available from The Nature Conservancy's Great Lakes Program Office or from the state or provincial Heritage Programs. Original field forms are filed at state/provincial Heritage Programs. Plot data access forthcoming (2004) at www.vegbank.org.

Factors affecting data consistency: See “Methods,” below.

The number and size of plots: Vegetation data were collected using 10 x 10 m relevé plots placed haphazardly within subjectively defined stands.

Methods used to analyze field data and identify type:

From Reschke et al. (1998): Field data collected by collaborators in Michigan, Ontario, and New York were compiled by the Heritage program staff in each jurisdiction, and provided to Carol Reschke (inventory and research coordinator for the Alvar Initiative). With assistance from a contractor (Karen Dietz), field data on vegetation, environment, and evidence of ecological processes from alvar sites were entered into spreadsheets.

Spreadsheets were edited to combine a few ambiguous taxa (e.g. *Sporobolus neglectus* and *S. vaginiflorus* look similar and can only be positively distinguished when they are flowering in early fall), incorporate consistent nomenclature (Kartesz 1994), delete duplicates, and delete species that occurred in only one or a few samples. Corresponding data on the environment and evidence of ecological processes were compiled in two additional spreadsheets. The plot data set consisted of data from 85 sample plots; there were 240 taxa of vascular and nonvascular taxa included in the initial data set.

The plot data set included a great deal of structural detail. If a tree species was present in different vegetation strata, then it was recorded as a separate taxon for each layer in which it occurred; for example, *Thuja occidentalis* might be recorded as a tree (over 5 m tall), a tall

shrub (2 to 5 m tall), and a short shrub (0.5 to 2 m tall). The full data set of 85 samples by 240 taxa was analyzed using PC-ORD v 3.0 (McCune and Mefford 1995). Vegetation data on percent cover were relativized for each sample and then transformed with an arcsine - square root transformation. This standardization is recommended for percentage data (McCune and Mefford 1995).

Two kinds of classification and two kinds of ordination procedures were applied to the full data set. Classification procedures used were: 1) cluster analysis with group average (or UPGMA) group linkage method and Sørensen's distance measure, and 2) TWINSpan with the default settings. The two ordination procedures used were 1) Bray-Curtis ordination with Sørensen's distance and variance-regression endpoint selection, and 2) non-metric multidimensional scaling (NMS) using Sørensen's distance and the coordinates from the Bray-Curtis ordination as a starting configuration.

Environmental data recorded for each plot and data on evidence of ecological processes were used as overlays in ordination graphs to interpret ordination patterns and relationships among samples.

The classification dendrograms and ordination graphs were presented to a core group of ecologists to discuss the results. Participants in the data analysis discussions were: Wasyl Bakowsky, Don Faber-Langendoen, Judith Jones, Pat Comer, Don Cuddy, Bruce Gilman, Dennis Albert, and Carol Reschke. The two classifications were compared to see how they grouped plots, and ordinations were consulted to check and confirm groupings of plots suggested by the classification program. At the end of the first meeting to discuss the data analysis, collaborating ecologists agreed on eight alvar community types, and suggested another four or five that had been observed in field surveys but were not represented in the plot data set. The group also recommended some refinements to the data analysis.

Following the recommendations of the ecology group, the plot data were modified in two ways. For nonvascular plants, the first data set included data on individual species or genera, as well as taxa representing simple growth forms. Since only a few collaborators could identify nonvascular plants in the field, we had agreed to describe the nonvascular plants in plots by their growth form and collect a specimen if the species had at least 5% cover in the plot. If nonvascular species were identified by the surveyor, or from the

collected specimen, the species were included in the data set. This may have biased the results, because the plots sampled by investigators who knew the nonvascular plants had a greater potential diversity than plots in which only a few growth forms were identified. Therefore, all data on nonvascular taxa were lumped into nine growth form categories: foliose algae (e.g. *Nostoc*), rock surface algae, microbial crusts, turf or cushion mosses, weft mosses, thalloid bryophytes, crustose lichens, foliose lichens, and fruticose lichens. The second modification involved lumping the different structural growth forms of woody taxa into a single taxon; for example, trees, tall shrubs and short shrubs forms of *Thuja occidentalis* were lumped into a single taxon.

These modifications reduced the data set to 85 plots and 199 taxa, and even fewer taxa with the woody growth forms lumped. The analyses were run again using the procedures described above with the modified data sets. Lumping the nonvascular plants improved the classification and ordination results (yielding more clearly defined groups), but lumping the growth forms of tree species was actually detrimental to the results. The final classification that we used was produced from an analysis of the data set with nonvascular plants lumped into nine growth forms, and multiple growth forms of tree species kept separate.

CONFIDENCE LEVEL:

Confidence Rank: High.

CITATIONS:**Synonymy:**

Dry – Fresh Little Bluestem Open Alvar Meadow Type = (Lee et al. 1998).

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Ecological land classification for southern Ontario: First approximation and its application. Ontario Ministry of Natural Resources, Southcentral Science Section, Science Development and Transfer Branch. SCSS Field Guide FG-02.

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Author of Description: C. Reschke and Don Faber-Langendoen

APPENDIX G.1. (Informative). Pilot examples of units for Natural Vegetation: Levels 1 – 4

Levels 1 – 3 are comprehensive for the globe, Level 4 is incompletely developed for the Western Hemisphere.

LEVEL 1– FORMATION CLASS (pilot)	LEVEL 2– FORMATION SUBCLASS (pilot)	LEVEL 3 – FORMATION (pilot)	LEVEL 4 – DIVISION (examples)
1. Mesomorphic Tree Vegetation (Forest & Woodland)	1.A. Tropical Moist Broadleaf Forest	1.A.1. Tropical Lowland Evergreen Rainforest	1.A.1.a. Neotropical Lowland Evergreen Rainforest 1.A.1.b. Indo-Pacific Lowland Evergreen Rainforest
		1.A.2. Tropical Seasonal Evergreen Forest	1.A.2.a. Neotropical ... 1.A.2.b. Indo-Pacific...?
		1.A.3. Tropical Evergreen Sclerophyll Forest	1.A.3.a. Neotropical... 1.A.3.b. Indo-Pacific...
		1.A.4. Tropical Montane & Cloud Forest	1.A.4.a. Neotropical .. 1.A.4.b. Indo-Pacific...
		1.A.5. Tropical Moist Riparian Forest	1.A.5.a. Neotropical...
		1.A.6. Tropical Swamp Forest	1.A.6.a. Neotropical... 1.A.6.b. Indo-Pacific...?
		1.A.7. Mangrove	1.A.7.a. Neotropical... 1.A.7.b. Indo-Pacific...
	1.B. Tropical Dry Forest	1.B.1. Tropical (Semi-) Deciduous Forest	1.B.1.a. Neotropical... 1.B.1.b. Indo-Pacific...
		1.B.2. Tropical Littoral Forest	1.B.2.a. Neotropical... 1.B.2.b. Indo-Pacific...
		1.B.3. Tropical Dry Riparian Forest	1.B.3.a. Neotropical... 1.B.3.b. Indo-Pacific...?
	1.C. Temperate Forest & Woodland (Broadleaf & Conifer)	1.C.1. Temperate Broadleaf & Conifer Rainforest	1.C.1.a. North American Temperate Conifer Rainforest 1.C.1.b. South merican.Temperate Broadleaf Rain Forest.

		1.C.2. Temperate Evergreen Broadleaf & Conifer Forest	1.C.2.a. Western North America Temperate Evergreen Broadleaf and Mixed Forest 1.C.2.b. Southeastern North America Evergreen Broadleaf and Conifer Forest 1.C.2.c. South American...
		1.C.3. Temperate Deciduous Broadleaf & Conifer Forest & Woodland	1.C.3.a. Western North America Subalpine/Montane Conifer Forest 1.C.3.b. Western North America Deciduous Broadleaf and Conifer Forest 1.C.3.c. Eastern North America Deciduous Broadleaf and Conifer Forest 1.C.3.d. South American...
		1.C.4. Temperate Flooded/Swamp/Peat Forest	1.C.4.a. Western North America Temperate Flooded & Swamp Forest 1.C.4.b. Eastern N.A. Deciduous Broadleaf and Conifer Forest 1.C.4.c. South American...
	1. D. Boreal Forest & Woodland (Conifer & Deciduous Broadleaf)	1.D.1. Lowland & Montane Boreal Forest	1.D.1.a. North American Lowland Boreal Forest 1.D.1.b. North American Subarctic & Boreal Subalpine Woodland .
		1.D.2. Boreal Peat & Swamp Forest	1.D.2.a. North American... Boreal Peat & Swamp Forest
2. Mesomorphic Shrub & Herb Vegetation (Shrubland & Grassland)	2.A. Tropical Shrubland, Grassland, & Savanna	2.A.1. Tropical Lowland Shrubland	2.A.1.a. Neotropical... 2.A.1.b. Indo-Pacific...
		2.A.2. Tropical Montane Shrubland	2.A.2.a. Neotropical... 2.A.2.b. Indo-Pacific...
		2.A.3. Tropical Lowland Savanna	2.A.3.a. Neotropical... 2.A.3.b. Indo-Pacific...
		2.A.4. Tropical Montane Grassland & Meadow	2.A.4.a. Neotropical...
		2.A.5. Tropical Scrub & Herb Littoral Vegetation (Mattoral?)	2.A.5.a. Neotropical... 2.A.5.b. Indo-Pacific...

		2.A.6. Tropical Scrub & Herb Peatland	2.A.6.a. Neotropical... 2.A.6.b. Indo-Pacific...
		2.A.7. Tropical Freshwater Marsh	2.A.7.a. Neotropical... 2.A.7.b. Indo-Pacific...
		2.A.8. Tropical Saltwater Marsh	2.A.8.a. Neotropical... 2.A.8.b. Indo-Pacific...
	2.B. Mediterranean Scrub & Grassland	2.B.1. Mediterranean Scrub	2.B.1.a. North American Mediterranean Scrub 2.B.1.b. Southwestern North America Chaparral Scrub 2.B.1.c. Southwestern North America Drought-deciduous Scrub 2.B.1.d. South American...
		2.B.2. Mediterranean Grassland & Forb Meadow	2.B.2.a. North American Mediterranean Grasslands and Meadows 2.B.2.b. South American...
	2.C. Temperate & Boreal Shrubland & Grassland	2.C.1. Temperate Grassland, Meadow & Shrubland	2.C.1.a. North American Great Plains Grassland & Shrubland 2.C.1.b. Western North American Grassland & Shrubland 2.C.1.c. Eastern North American Grassland and Shrubland 2.C.1.d. South American...
		2.C.2. Boreal Grassland, Meadow, & Shrubland	2.C.2.a. North American... 2.C.2.b. South American...?
		2.C.3. Temperate & Boreal Scrub & Herb Littoral Vegetation	2.C.3.a. North American... 2.C.3.b. South American...
		2.C.4. Temperate & Boreal Scrub & Herb Peatland	2.C.4.a. North American... 2.C.4.b. South American...
		2.C.5. Temperate & Boreal Freshwater Marsh & Shrub Swamp	2.C.5.a. North American... 2.C.5.b. South American...
		2.C.6. Temperate & Boreal Saltmarsh	2.C.6.a. North American... 2.C.6.b. South American... [lump?]

3. Xeromorphic Scrub & Herb Vegetation (Semi-Desert)	3.A. Warm Semi-Desert Scrub & Grassland	3.A.1. Warm Semi-Desert Scrub & Grassland	3.A.1.a. Western North American warm semi-desert scrub and grassland 3.A.1.b. South American?...
		3.A.2. Warm Semi-Desert	3.A.2.a. Western North American (Madrean) warm semi-desert Riparian/Wetland 3.A.2.b. South American?...
		3.A.3. Warm Semi-Desert Sparse Vegetation	3.A.3.a. Western North American (Madrean) Warm Semi-desert Sparse Vegetation [pavement, sand, badlands] 3.A.3.b. South American?...
	3.B. Cool Semi-Desert Scrub & Grassland	3.B.1. Cool Semi-Desert Scrub & Grassland	3.B.1.a Western North American (Madrean) Cool Semi-desert Scrub and Grassland 3.B.1.b. South American...
		3.B.2. Cool Semi-Desert Sparse Vegetation	3.B.2.a. North American... 3.B.2.b. South American...
4. Cryomorphic Shrub & Herb Vegetation (Polar & High Montane Vegetation)	4.A. Tropical High Montane Vegetation	4.A.1. Tropical High Montane Scrub	4.A.1.a. Neotropical High Montane Scrub (superparamo)
		4.A.2. Tropical High Montane Grassland	4.A.2.a. Neotropical High Montane Grassland (puna)
	4.B. Temperate & Boreal Alpine Vegetation	4.B.1. Alpine Scrub, Forb Meadow & Grassland	4.B.1.a. North American Alpine
		4.B.2. Alpine Wetland & Bog	4.B.2.a. North American Alpine
		4.B.3. Alpine Sparse Vegetation	4.B.3.a. North American Alpine
	4.C. Polar Tundra	4.C.1. Dwarf-shrub Tundra	4.C.1.a. Arctic 4.C.1.b. Antarctic
		4.C.2. Graminoid & Forb Tundra	4.C.2.a. Arctic 4.C.2.b. Antarctic
		4.C.3. Tundra Wet Meadow	4.C.3.a. Arctic 4.C.3.b. Antarctic

		4.C.4. Tundra Sparse Vegetation	4.C.4.a. Arctic 4.C.4.b. Antarctic
5. Hydromorphic Vegetation (Aquatic Vegetation)	5.A. Saltwater Aquatic Vegetation	5.A.1. Marine & Estuarine Aquatic Vegetation	5.A.1.a. "Multiple realms"
	5.B. Freshwaer Aquatic Vegetation	5.B.1. Freshwater Aquatic Vegetation	5.B.1.a. "Multiple realms"
6. Lithomorphic Vegetation (Nonvascular & Sparse Vascular Vegetation)	6.A. Tropical Nonvascular and Sparse Vegetation	6.A.1. Tropical Nonvascular and Sparse Vegetation	6.A.1.a. Neotropical... 6.A.1.b. Indo-Pacific...
	6.B. Temperate, Boreal, & Polar Nonvascular and Sparse Vegetation	6.B.1. Temperate, Boreal, & Polar Nonvascular and Sparse Vegetation	6.B.1.a. North American... 6.B.1.b. South American...
6 classes	16 subclasses	~60 formations	~300 divisions world wide??

APPENDIX G.2. (Informative). Pilot examples of units for Natural Vegetation: Levels 1 – 7 for Eastern U.S. forests.

Table G.2. Pilot example of NVC units for Eastern U.S. forests. Scientific names are used for Levels 1-4 and 7, and Colloquial Names for 5 – 6, but are not yet fully standardized. All units are in Formation Class L1 - Mesomorphic Tree Vegetation (Forest and Woodland).

L2. Formation Subclass	L3. Formation	L4. Division	L5. MacroGroup	L6. Group	L7. Alliance
1A. Tropical Moist Broadleaf Forest	1A2.Tropical Seasonal Evergreen Forest	1A2a.Neotropical Seasonal Evergreen Forest	Caribbean - Central American Seasonal Evergreen Forest MG	Caribbean Hardwood Hammock Forest Group	BURSERIA SIMARUBA - COCCOLOBA DIVERSIFOLIA - NECTANDRA CORIACEA - EUGENIA AXILLARIS FOREST ALLIANCE (A.33)
					CASASIA CLUSIIFOLIA - GUAPIRA DISCOLOR FOREST ALLIANCE (A.34)
					METOPIMUM TOXIFERUM - EUGENIA FOETIDA FOREST ALLIANCE (A.38)
					SABAL PALMETTO - COCCOLOBA UVIFERA FOREST ALLIANCE (A.43)
					METOPIMUM TOXIFERUM WOODLAND ALLIANCE (A.465)
					CONOCARPUS ERECTUS - METOPIMUM TOXIFERUM SATURATED FOREST ALLIANCE (A.77)
	1A5.Tropical Swamp Forest	1A5a.Neotropical Swamp Forest	Caribbean - Central American Broadleaf Evergreen Swamp Forest MG	Caribbean Hardwood Swamp Group	MAGNOLIA VIRGINIANA - PERSEA PALUSTRIS - CHRYSOBALANUS ICACO SEASONALLY FLOODED WOODLAND ALLIANCE (A.474)
					ANNONA GLABRA SEMIPERMANENTLY FLOODED FOREST ALLIANCE (A.76)
	1A6.Mangrove	1A6a.Neotropical Mangrove Forest	Neotropical Mangrove MG	Caribbean Mangrove Basin Swamp Group	CONOCARPUS ERECTUS SEASONALLY FLOODED WOODLAND ALLIANCE (A.473)
					RHIZOPHORA MANGLE - CONOCARPUS ERECTUS SEASONALLY FLOODED FOREST ALLIANCE (A.75)
					LAGUNCULARIA RACEMOSA SEASONALLY FLOODED FOREST ALLIANCE (A.81)
				Caribbean Mangrove Tidal Swamp Group	CONOCARPUS ERECTUS TIDAL FOREST ALLIANCE (A.1923)
					AVICENNIA GERMINANS TIDAL FOREST ALLIANCE (A.80)
					RHIZOPHORA MANGLE TIDAL FOREST ALLIANCE (A.83)

L2. Formation Subclass	L3. Formation	L4. Division	L5. MacroGroup	L6. Group	L7. Alliance
1B. Tropical Dry Forest	1B3.Tropical (Semi)-Deciduous and Conifer Forest	1B3a.Neotropical Conifer Forest	Caribbean - Central American Pine - Oak MG	Caribbean Pine Forest Group	PINUS ELLIOTTII TROPICAL WOODLAND ALLIANCE (A.491)
1C. Temperate Forest and Woodland	1C2.Temperate Evergreen Broadleaf & Conifer Forest & Woodland	1C2a.Southeastern North America Evergreen Broadleaf and Conifer Forest	Southern Broadleaf Evergreen Hardwood MG	Southern Live Oak - Coastal Hardwood Forest Group	CARYA GLABRA - TILIA AMERICANA VAR. CAROLINIANA - CELTIS LAEVIGATA FOREST ALLIANCE (A.223)
					CELTIS LAEVIGATA FOREST ALLIANCE (A.226)
					ILEX OPACA FOREST ALLIANCE (A.3002)
					QUERCUS VIRGINIANA - CELTIS LAEVIGATA FOREST ALLIANCE (A.374)
					QUERCUS VIRGINIANA - QUERCUS PAGODA FOREST ALLIANCE (A.375)
					PINUS TAEDA - QUERCUS NIGRA FOREST ALLIANCE (A.406)
					QUERCUS VIRGINIANA - JUNIPERUS VIRGINIANA - (SABAL PALMETTO) WOODLAND ALLIANCE (A.479)
					SABAL PALMETTO TEMPERATE WOODLAND ALLIANCE (A.481)
					QUERCUS GEMINATA FOREST ALLIANCE (A.52)
					QUERCUS VIRGINIANA - (SABAL PALMETTO) FOREST ALLIANCE (A.55)
					QUERCUS VIRGINIANA - (CELTIS LAEVIGATA) / PRUNUS CAROLINIANA WOODLAND ALLIANCE (A.666)
				Beech - Magnolia - Oak Forest Group	FAGUS GRANDIFOLIA - LIQUIDAMBAR STYRACIFLUA - PINUS TAEDA - (MAGNOLIA GRANDIFLORA) TEMPORARILY FLOODED FOREST ALLIANCE (A.1989)
					FAGUS GRANDIFOLIA - QUERCUS ALBA FOREST ALLIANCE (A.228)
					FAGUS GRANDIFOLIA - MAGNOLIA GRANDIFLORA FOREST ALLIANCE (A.369)
					PINUS CLAUSA FOREST ALLIANCE (A.117)
			Coastal Plain Pine MG	Sand Pine Scrub Forest Group	PINUS CLAUSA WOODLAND ALLIANCE (A.511)
					PINUS PALUSTRIS / QUERCUS SPP. WOODLAND ALLIANCE (A.499)
				Dry & Mesic Longleaf Pine Woodland Group	PINUS ELLIOTTII WOODLAND ALLIANCE (A.517)
					PINUS PALUSTRIS WOODLAND ALLIANCE (A.520)
					QUERCUS LAEVIS WOODLAND ALLIANCE (A.617)
					PINUS ELLIOTTII SATURATED TROPICAL WOODLAND ALLIANCE (A.493)
				Wet Longleaf Pine Woodland Group	PINUS ELLIOTTII SATURATED TEMPERATE WOODLAND ALLIANCE (A.574)

L2. Formation Subclass	L3. Formation	L4. Division	L5. MacroGroup	L6. Group	L7. Alliance
		1C3a.Eastern North America Deciduous Broadleaf & Conifer Forest and Woodland	Southern Hardwood & Pine MG	Southeastern Oak - Hickory Forest Group	PINUS PALUSTRIS - PINUS (ELLIOTTII, SEROTINA) SATURATED WOODLAND ALLIANCE (A.578)
					PINUS ELLIOTTII - TAXODIUM ASCENDENS SATURATED WOODLAND ALLIANCE (A.692)
					QUERCUS ALBA - (QUERCUS NIGRA) FOREST ALLIANCE (A.238)
					QUERCUS ALBA - QUERCUS (FALCATA, STELLATA) FOREST ALLIANCE (A.241)
					QUERCUS FALCATA FOREST ALLIANCE (A.243)
					QUERCUS SHUMARDII - QUERCUS PAGODA FOREST ALLIANCE (A.252)
					QUERCUS HEMISPHERICA - CARYA GLABRA FOREST ALLIANCE (A.372)
					QUERCUS HEMISPHERICA FOREST ALLIANCE (A.53)
					QUERCUS ALBA - QUERCUS STELLATA - QUERCUS VELUTINA - (QUERCUS FALCATA) WOODLAND ALLIANCE (A.613)
				Shortleaf Pine - Oak Forest Group	PINUS ECHINATA FOREST ALLIANCE (A.119)
					PINUS ECHINATA - QUERCUS (ALBA, FALCATA, STELLATA, VELUTINA) FOREST ALLIANCE (A.394)
					PINUS ECHINATA - QUERCUS (COCCINEA, PRINUS) FOREST ALLIANCE (A.395)
					PINUS ECHINATA WOODLAND ALLIANCE (A.515)
					PINUS ECHINATA - QUERCUS (ALBA, FALCATA, STELLATA, VELUTINA) WOODLAND ALLIANCE (A.679)
					PINUS ECHINATA - QUERCUS STELLATA - QUERCUS MARILANDICA WOODLAND ALLIANCE (A.680)
			Central Oak - Hardwood & Pine MG	Loblolly Pine - Oak Forest Group	PINUS TAEDA - PINUS ECHINATA FOREST ALLIANCE (A.129)
					PINUS (ECHINATA, TAEDA) - QUERCUS (STELLATA, MARILANDICA, FALCATA) WOODLAND ALLIANCE (A.2011)
					PINUS (ECHINATA, TAEDA) - QUERCUS (INCANA, MARGARETTIAE, ARKANSANA) FOREST ALLIANCE (A.386)
					PINUS TAEDA - QUERCUS (ALBA, FALCATA, STELLATA) FOREST ALLIANCE (A.404)
					PINUS TAEDA WOODLAND ALLIANCE (A.526)
				Bur Oak - Northern Pin Oak Woodland Group	QUERCUS INCANA - (QUERCUS ARKANSANA) WOODLAND ALLIANCE (A.615)
					QUERCUS MACROCARPA FOREST ALLIANCE (A.245)
					QUERCUS ELLIPSOIDALIS FOREST ALLIANCE (A.255)

L2. Formation Subclass	L3. Formation	L4. Division	L5. MacroGroup	L6. Group	L7. Alliance
					QUERCUS MACROCARPA - QUERCUS BICOLOR - (CARYA LACINIOSA) TEMPORARILY FLOODED FOREST ALLIANCE (A.293)
					QUERCUS MACROCARPA - QUERCUS (ALBA, ELLIPSOIDALIS, VELUTINA) WOODLAND ALLIANCE (A.619)
					QUERCUS MACROCARPA WOODLAND ALLIANCE (A.620)
				Chestnut Oak - American Chestnut Forest Group	CASTANEA DENTATA - QUERCUS PRINUS FOREST ALLIANCE (A.224)
					QUERCUS PRINUS - (QUERCUS COCCINEA, QUERCUS VELUTINA) FOREST ALLIANCE (A.248)
					QUERCUS PRINUS - QUERCUS (ALBA, FALCATA, RUBRA, VELUTINA) FOREST ALLIANCE (A.249)
					QUERCUS PRINUS - QUERCUS RUBRA FOREST ALLIANCE (A.250)
					CASTANEA DENTATA - QUERCUS RUBRA FOREST ALLIANCE (A.268)
					QUERCUS PRINUS - QUERCUS COCCINEA WOODLAND ALLIANCE (A.622)
					QUERCUS PRINUS - QUERCUS MARILANDICA WOODLAND ALLIANCE (A.623)
					QUERCUS RUBRA - QUERCUS PRINUS WOODLAND ALLIANCE (A.624)
				Chinquapin Oak - Ash - Red Cedar Alkaline Forest Group	QUERCUS MUEHLENBERGII - (ACER SACCHARUM) FOREST ALLIANCE (A.1912)
					FRAXINUS QUADRANGULATA - (JUNIPERUS VIRGINIANA) WOODLAND ALLIANCE (A.1913)
					ACER BARBATUM - FRAXINUS AMERICANA - (JUGLANS NIGRA) FOREST ALLIANCE (A.214)
					JUGLANS NIGRA - AESCULUS GLABRA - CELTIS (LAEVIGATA, OCCIDENTALIS) FOREST ALLIANCE (A.232)
					JUNIPERUS VIRGINIANA - QUERCUS (MUEHLENBERGII, STELLATA) FOREST ALLIANCE (A.382)
					JUNIPERUS VIRGINIANA WOODLAND ALLIANCE (A.545)
					FRAXINUS AMERICANA - CARYA GLABRA - (JUNIPERUS VIRGINIANA) WOODLAND ALLIANCE (A.604)
					FRAXINUS QUADRANGULATA - QUERCUS MACROCARPA - QUERCUS MUEHLENBERGII WOODLAND ALLIANCE (A.605)
					QUERCUS MUEHLENBERGII WOODLAND ALLIANCE (A.621)
				Post Oak - Blackjack Oak Woodland Group	QUERCUS STELLATA - QUERCUS MARILANDICA FOREST ALLIANCE (A.253)
					QUERCUS STELLATA FLATWOODS FOREST ALLIANCE (A.261)
					JUNIPERUS VIRGINIANA - QUERCUS (STELLATA,

L2. Formation Subclass	L3. Formation	L4. Division	L5. MacroGroup	L6. Group	L7. Alliance
					VELUTINA, MARILANDICA) FOREST ALLIANCE (A.383)
					QUERCUS STELLATA - QUERCUS MARILANDICA WOODLAND ALLIANCE (A.625)
				White Oak - Red Oak - Black Oak Forest & Woodland Group	QUERCUS VELUTINA - QUERCUS ALBA - (QUERCUS COCCINEA) FOREST ALLIANCE (A.1911)
					QUERCUS ALBA - (QUERCUS RUBRA, CARYA SPP.) FOREST ALLIANCE (A.239)
					QUERCUS RUBRA - (ACER SACCHARUM) FOREST ALLIANCE (A.251)
					CARYA (GLABRA, OVATA) - FRAXINUS AMERICANA - QUERCUS (ALBA, RUBRA) FOREST ALLIANCE (A.258)
					QUERCUS ALBA MONTANE FOREST ALLIANCE (A.271)
					QUERCUS ALBA - (QUERCUS VELUTINA) WOODLAND ALLIANCE (A.612)
				Pitch Pine - Virginia Pine - Oak Forest & Woodland Group	PINUS VIRGINIANA FOREST ALLIANCE (A.131)
					TSUGA CAROLINIANA FOREST ALLIANCE (A.144)
					PINUS VIRGINIANA - QUERCUS (ALBA, STELLATA, FALCATA, VELUTINA) FOREST ALLIANCE (A.407)
					PINUS VIRGINIANA - QUERCUS (COCCINEA, PRINUS) FOREST ALLIANCE (A.408)
					PINUS (RIGIDA, ECHINATA) - QUERCUS COCCINEA FOREST ALLIANCE (A.415)
					PINUS RIGIDA - QUERCUS (VELUTINA, PRINUS) FOREST ALLIANCE (A.416)
					PINUS PUNGENS - (PINUS RIGIDA) WOODLAND ALLIANCE (A.521)
					PINUS RIGIDA WOODLAND ALLIANCE (A.524)
					PINUS (RIGIDA, PUNGENS, VIRGINIANA) - QUERCUS PRINUS WOODLAND ALLIANCE (A.677)
					PINUS RIGIDA - QUERCUS (ALBA, STELLATA) WOODLAND ALLIANCE (A.681)
					PINUS RIGIDA - QUERCUS (COCCINEA, VELUTINA) WOODLAND ALLIANCE (A.687)
				Northern & Central Mesophytic Hardwood & Conifer MG	ACER RUBRUM - NYSSA SYLVATICA - MAGNOLIA FRASERI FOREST ALLIANCE (A.2009)
					LIRIODENDRON TULIIFERA - TILIA AMERICANA VAR. HETEROPHYLLA - AESCULUS FLAVA - ACER SACCHARUM FOREST ALLIANCE (A.235)
					TSUGA CANADENSIS - LIRIODENDRON TULIIFERA FOREST ALLIANCE (A.413)

L2. Formation Subclass	L3. Formation	L4. Division	L5. MacroGroup	L6. Group	L7. Alliance
				Maple - Beech - Oak Central Mesophytic Forest Group	FAGUS GRANDIFOLIA - QUERCUS RUBRA - QUERCUS ALBA FOREST ALLIANCE (A.229)
				Beech - Maple - Birch - Basswood Forest Group	ACER SACCHARUM - CARYA CORDIFORMIS TEMPORARILY FLOODED FOREST ALLIANCE (A.302)
					ACER SACCHARUM - BETULA ALLEGHANIENSIS - (FAGUS GRANDIFOLIA) FOREST ALLIANCE (A.216)
					ACER SACCHARUM - FRAXINUS AMERICANA - TILIA AMERICANA FOREST ALLIANCE (A.217)
					ACER SACCHARUM - TILIA AMERICANA - (QUERCUS RUBRA) FOREST ALLIANCE (A.220)
					FAGUS GRANDIFOLIA - ACER SACCHARUM - (LIRIODENDRON TULIPIFERA) FOREST ALLIANCE (A.227)
					FAGUS GRANDIFOLIA - QUERCUS SPP. - ACER SPP. FOREST ALLIANCE (A.230)
					BETULA ALLEGHANIENSIS - FAGUS GRANDIFOLIA - AESCULUS FLAVA FOREST ALLIANCE (A.266)
					QUERCUS RUBRA MONTANE FOREST ALLIANCE (A.272)
					FAGUS GRANDIFOLIA TEMPORARILY FLOODED FOREST ALLIANCE (A.284)
					PINUS STROBUS - ACER SACCHARUM FOREST ALLIANCE (A.3012)
					POPULUS TREMULOIDES WOODLAND ALLIANCE (A.610)
					TILIA AMERICANA - FRAXINUS AMERICANA - (ACER SACCHARUM) WOODLAND ALLIANCE (A.628)
				Eastern Pine - Hemlock - Hardwood Forest Group	PINUS STROBUS - TSUGA CANADENSIS FOREST ALLIANCE (A.127)
					THUJA OCCIDENTALIS FOREST ALLIANCE (A.142)
					TSUGA CANADENSIS FOREST ALLIANCE (A.143)
					TSUGA CANADENSIS - (PINUS STROBUS) TEMPORARILY FLOODED FOREST ALLIANCE (A.171)
					TSUGA CANADENSIS - BETULA ALLEGHANIENSIS FOREST ALLIANCE (A.412)
					THUJA OCCIDENTALIS - BETULA ALLEGHANIENSIS FOREST ALLIANCE (A.417)
					THUJA OCCIDENTALIS WOODLAND ALLIANCE (A.544)
				Red Spruce - Fir Forest Group	ABIES FRASERI - PICEA RUBENS FOREST ALLIANCE (A.136)
					PICEA RUBENS FOREST ALLIANCE (A.138)
					PICEA RUBENS - ABIES BALSAMEA FOREST ALLIANCE (A.150)
					PICEA RUBENS - BETULA ALLEGHANIENSIS FOREST ALLIANCE (A.384)
					PICEA RUBENS WOODLAND ALLIANCE (A.546)

L2. Formation Subclass	L3. Formation	L4. Division	L5. MacroGroup	L6. Group	L7. Alliance
			White Pine - Red Pine - Oak Forest & Woodland Group	White Pine - Red Pine - Oak Forest & Woodland Group	PINUS RESINOSA FOREST ALLIANCE (A.126)
					PINUS STROBUS FOREST ALLIANCE (A.128)
					PINUS BANKSIANA - QUERCUS (ELLIPSOIDALIS, VELUTINA) FOREST ALLIANCE (A.391)
					PINUS STROBUS - (PINUS RESINOSA) - POPULUS TREMULOIDES FOREST ALLIANCE (A.400)
					PINUS STROBUS - QUERCUS (ALBA, RUBRA, VELUTINA) FOREST ALLIANCE (A.401)
					PINUS STROBUS - QUERCUS (COCCINEA, PRINUS) FOREST ALLIANCE (A.402)
					PINUS (BANKSIANA, RESINOSA) WOODLAND ALLIANCE (A.507)
					PINUS RESINOSA - QUERCUS RUBRA WOODLAND ALLIANCE (A.670)
					PINUS STROBUS - BETULA POPULIFOLIA WOODLAND ALLIANCE (A.682)
			Southern Great Plains Oak - Hardwood MG	Pinchot Juniper Scrub Group [under review]	JUNIPERUS PINCHOTII WOODLAND ALLIANCE (A.505)
				Texas Live Oak Woodland Group	QUERCUS FUSIFORMIS FOREST ALLIANCE (A.1926)
					QUERCUS BUCKLEYI FOREST ALLIANCE (A.242)
					QUERCUS FUSIFORMIS WOODLAND ALLIANCE (A.477)
					JUNIPERUS ASHEI WOODLAND ALLIANCE (A.501)
					QUERCUS FUSIFORMIS - CELTIS LAEVIGATA WOODLAND ALLIANCE (A.663)
			Eastern North America Ruderal Forest & Plantation MG	Texas Mesic Hardwoods Forest Group	ACER GRANDIDENTATUM - QUERCUS BUCKLEYI - QUERCUS MUEHLENBERGII FOREST ALLIANCE (A.215)
				Southeast Conifer & Hardwood Plantation	PINUS PALUSTRIS PLANTED FOREST ALLIANCE (A.96)
					PINUS TAEDA PLANTED FOREST ALLIANCE (A.99)
				Northern & Central Hardwood & Conifer Ruderal Forest	JUNIPERUS VIRGINIANA FOREST ALLIANCE (A.137)
					JUGLANS NIGRA FOREST ALLIANCE (A.1932)
					AILANTHUS ALTISSIMA FOREST ALLIANCE (A.221)
					LIRIODENDRON TULIIFERA FOREST ALLIANCE (A.236)
					ROBINIA PSEUDOACACIA FOREST ALLIANCE (A.256)
					PINUS THUNBERGIANA FOREST ALLIANCE (A.3016)

L2. Formation Subclass	L3. Formation	L4. Division	L5. MacroGroup	L6. Group	L7. Alliance			
					GLEDITSIA TRIACANTHOS WOODLAND ALLIANCE (A.606)			
					PAULOWNIA TOMENTOSA WOODLAND ALLIANCE (A.609)			
					Southeast Hardwood & Conifer Ruderal Forest	PINUS TAEDA FOREST ALLIANCE (A.130)		
						LIQUIDAMBAR STYRACIFLUA FOREST ALLIANCE (A.234)		
						QUERCUS NIGRA FOREST ALLIANCE (A.247)		
				Northern & Central Conifer & Hardwood Plantation	PICEA ABIES PLANTED FOREST ALLIANCE (A.ZZ)			
					1C4.Temperate Flooded/ Swamp Broadleaf & Conifer Forest	1C4a.Eastern North America Broadleaf & Conifer Flooded/Swamp	Southern Bottomland Flooded/Swamp MG	Bald-cypress - Tupelo Swamp Group
				TAXODIUM DISTICHUM - (PLATANUS OCCIDENTALIS) TEMPORARILY FLOODED FOREST ALLIANCE (A.298)				
				NYSSA (AQUATICA, BIFLORA, OGECHÉ) FLOODPLAIN SEASONALLY FLOODED FOREST ALLIANCE (A.323)				
	PLANERA AQUATICA SEASONALLY FLOODED FOREST ALLIANCE (A.326)							
	TAXODIUM DISTICHUM - NYSSA (AQUATICA, BIFLORA, OGECHÉ) SEASONALLY FLOODED FOREST ALLIANCE (A.337)							
	NYSSA AQUATICA - (TAXODIUM DISTICHUM) SEMIPERMANENTLY FLOODED FOREST ALLIANCE (A.345)							
	TAXODIUM DISTICHUM SEMIPERMANENTLY FLOODED FOREST ALLIANCE (A.346)							
	TAXODIUM DISTICHUM - NYSSA BIFLORA - (NYSSA AQUATICA) SATURATED FOREST ALLIANCE (A.355)							
	NYSSA BIFLORA - (NYSSA AQUATICA, TAXODIUM DISTICHUM) TIDAL FOREST ALLIANCE (A.357)							
	NYSSA BIFLORA - TAXODIUM ASCENDENS SEMIPERMANENTLY FLOODED WOODLAND ALLIANCE (A.655)							
	TAXODIUM DISTICHUM TIDAL WOODLAND ALLIANCE (A.659)							
	Oak - Sweetgum Bottomland Flooded/Swamp Group	LIQUIDAMBAR STYRACIFLUA - (LIRIODENDRON TULIPIFERA, ACER RUBRUM) TEMPORARILY FLOODED FOREST ALLIANCE (A.287)						
		QUERCUS (MICHAXII, PAGODA, SHUMARDII) - LIQUIDAMBAR STYRACIFLUA TEMPORARILY FLOODED FOREST ALLIANCE (A.291)						
		QUERCUS (PHELLOS, NIGRA, LAURIFOLIA)						

L2. Formation Subclass	L3. Formation	L4. Division	L5. MacroGroup	L6. Group	L7. Alliance
					TEMPORARILY FLOODED FOREST ALLIANCE (A.292)
					LIQUIDAMBAR STYRACIFLUA - (ACER RUBRUM) SEASONALLY FLOODED FOREST ALLIANCE (A.321)
					LIQUIDAMBAR STYRACIFLUA - TAXODIUM DISTICHUM SEASONALLY FLOODED FOREST ALLIANCE (A.322)
					QUERCUS (LAURIFOLIA, PHELLOS) SEASONALLY FLOODED FOREST ALLIANCE (A.327)
					QUERCUS PHELLOS SEASONALLY FLOODED FOREST ALLIANCE (A.330)
					QUERCUS TEXANA - (QUERCUS LYRATA) SEASONALLY FLOODED FOREST ALLIANCE (A.331)
					LIQUIDAMBAR STYRACIFLUA SATURATED FOREST ALLIANCE (A.350)
					QUERCUS MICHAUXII - QUERCUS PAGODA SATURATED FOREST ALLIANCE (A.353)
					QUERCUS VIRGINIANA - CELTIS LAEVIGATA - QUERCUS PAGODA TEMPORARILY FLOODED FOREST ALLIANCE (A.376)
					PINUS GLABRA - QUERCUS (LAURIFOLIA, MICHAUXII, NIGRA) TEMPORARILY FLOODED FOREST ALLIANCE (A.431)
					PINUS TAEDA - LIQUIDAMBAR STYRACIFLUA - NYSSA BIFLORA TEMPORARILY FLOODED FOREST ALLIANCE (A.433)
					PINUS TAEDA - QUERCUS (PHELLOS, NIGRA, LAURIFOLIA) TEMPORARILY FLOODED FOREST ALLIANCE (A.437)
					PINUS GLABRA - QUERCUS LAURIFOLIA SATURATED FOREST ALLIANCE (A.442)
					PINUS TAEDA - LIQUIDAMBAR STYRACIFLUA - ACER RUBRUM SATURATED FOREST ALLIANCE (A.445)
					QUERCUS VIRGINIANA TEMPORARILY FLOODED FOREST ALLIANCE (A.57)
				Oak - Tupelo Depression Swamp Group	QUERCUS ALBA - (NYSSA SYLVATICA) SEASONALLY FLOODED FOREST ALLIANCE (A.1996)
					QUERCUS STELLATA - PINUS TAEDA DEPRESSION SEASONALLY FLOODED FOREST ALLIANCE (A.2014)
					CORNUS FOEMINA SEASONALLY FLOODED FOREST ALLIANCE (A.319)
					CRATAEGUS (AESTIVALIS, OPACA, RUFULA) SEASONALLY FLOODED FOREST ALLIANCE (A.320)
					NYSSA (AQUATICA, BIFLORA, OGECHIE) POND SEASONALLY FLOODED FOREST ALLIANCE (A.324)
					QUERCUS LYRATA - (CARYA AQUATICA) SEASONALLY FLOODED FOREST ALLIANCE (A.328)
					NYSSA BIFLORA - ACER RUBRUM - (LIRIODENDRON TULIPIFERA) SATURATED FOREST ALLIANCE (A.351)

L2. Formation Subclass	L3. Formation	L4. Division	L5. MacroGroup	L6. Group	L7. Alliance
			Southern Coastal Plain Broadleaf Evergreen & Conifer Swamp MG	Pond-cypress Swamp Group	QUERCUS LAURIFOLIA - NYSSA BIFLORA SATURATED FOREST ALLIANCE (A.352)
					NYSSA BIFLORA SEASONALLY FLOODED WOODLAND ALLIANCE (A.648)
					TAXODIUM ASCENDENS SEASONALLY FLOODED FOREST ALLIANCE (A.336)
					TAXODIUM ASCENDENS SEASONALLY FLOODED WOODLAND ALLIANCE (A.651)
					TAXODIUM DISTICHUM - (TAXODIUM ASCENDENS) SEASONALLY FLOODED LAKESHORE WOODLAND ALLIANCE (A.652)
				Coastal Plain Mixed Evergreen Swamp Group	MAGNOLIA VIRGINIANA - NYSSA BIFLORA - (TAXODIUM DISTICHUM, NYSSA AQUATICA, PERSEA PALUSTRIS) TIDAL FOREST ALLIANCE (A.1885)
					SALIX CAROLINIANA SEASONALLY FLOODED WOODLAND ALLIANCE (A.1914)
					FRAXINUS CAROLINIANA SEASONALLY FLOODED FOREST ALLIANCE (A.344)
					TAXODIUM DISTICHUM - PERSEA PALUSTRIS - CHRYSOBALANUS ICACO SEASONALLY FLOODED FOREST ALLIANCE (A.366)
					MAGNOLIA VIRGINIANA - NYSSA (BIFLORA, OGECH) SEASONALLY FLOODED FOREST ALLIANCE (A.377)
					MAGNOLIA VIRGINIANA - NYSSA BIFLORA - (QUERCUS LAURIFOLIA) SATURATED FOREST ALLIANCE (A.378)
					QUERCUS VIRGINIANA - QUERCUS NIGRA SATURATED FOREST ALLIANCE (A.379)
					SABAL PALMETTO - QUERCUS LAURIFOLIA - QUERCUS VIRGINIANA - MAGNOLIA VIRGINIANA - ULMUS AMERICANA SATURATED FOREST ALLIANCE (A.380)
					PINUS ELLIOTTII - MAGNOLIA VIRGINIANA - NYSSA BIFLORA - (TAXODIUM ASCENDENS) SATURATED FOREST ALLIANCE (A.441)
					SABAL PALMETTO SATURATED WOODLAND ALLIANCE (A.488)
					CLIFTONIA MONOPHYLLA SATURATED FOREST ALLIANCE (A.58)
					PINUS SEROTINA SATURATED WOODLAND ALLIANCE (A.581)
					GORDONIA LASIANTHUS SATURATED FOREST ALLIANCE (A.59)
					MAGNOLIA VIRGINIANA - PERSEA PALUSTRIS SATURATED FOREST ALLIANCE (A.60)
					SABAL PALMETTO - QUERCUS VIRGINIANA SATURATED FOREST ALLIANCE (A.61)

L2. Formation Subclass	L3. Formation	L4. Division	L5. MacroGroup	L6. Group	L7. Alliance
				Atlantic Maritime Conifer & Hardwood Swamp Group [under review]	JUNIPERUS VIRGINIANA VAR. SILICICOLA TIDAL WOODLAND ALLIANCE (A.1887)
					FRAXINUS PENNSYLVANICA - ACER RUBRUM - ULMUS AMERICANA TIDAL FOREST ALLIANCE (A.356)
					ACER RUBRUM - FRAXINUS PENNSYLVANICA TIDAL WOODLAND ALLIANCE (A.658)
				Atlantic White-cedar Swamp Group	CHAMAECYPARIS THYOIDES SATURATED FOREST ALLIANCE (A.196)
					PINUS RIGIDA - ACER RUBRUM SATURATED FOREST ALLIANCE (A.3005)
					PINUS TAEDA SATURATED FOREST ALLIANCE (A.3009)
					PINUS TAEDA - CHAMAECYPARIS THYOIDES - ACER RUBRUM - NYSSA BIFLORA SATURATED FOREST ALLIANCE (A.444)
					CHAMAECYPARIS THYOIDES - ACER RUBRUM SATURATED FOREST ALLIANCE (A.448)
					CHAMAECYPARIS THYOIDES SEASONALLY FLOODED WOODLAND ALLIANCE (A.571)
					CHAMAECYPARIS THYOIDES SATURATED WOODLAND ALLIANCE (A.575)
					PINUS RIGIDA SATURATED WOODLAND ALLIANCE (A.580)
			Northern & Central Hardwood Flooded/Swamp MG	Hackberry - Green Ash - Silver Maple Floodplain Group	ACER NEGUNDO TEMPORARILY FLOODED FOREST ALLIANCE (A.278)
					ACER SACCHARINUM TEMPORARILY FLOODED FOREST ALLIANCE (A.279)
					BETULA NIGRA - (PLATANUS OCCIDENTALIS) TEMPORARILY FLOODED FOREST ALLIANCE (A.280)
					CARYA ILLINOINENSIS - (CELTIS LAEVIGATA) TEMPORARILY FLOODED FOREST ALLIANCE (A.282)
					FRAXINUS PENNSYLVANICA - ULMUS AMERICANA - CELTIS (OCCIDENTALIS, LAEVIGATA) TEMPORARILY FLOODED FOREST ALLIANCE (A.286)
					PLATANUS OCCIDENTALIS - (FRAXINUS PENNSYLVANICA, CELTIS LAEVIGATA, ACER SACCHARINUM) TEMPORARILY FLOODED FOREST ALLIANCE (A.288)
					PLATANUS OCCIDENTALIS - (LIQUIDAMBAR STYRACIFLUA, LIRIODENDRON TULIPIFERA) TEMPORARILY FLOODED FOREST ALLIANCE (A.289)
					ACER (RUBRUM, SACCHARINUM) - ULMUS AMERICANA TEMPORARILY FLOODED FOREST ALLIANCE (A.299)
					PLATANUS OCCIDENTALIS - (BETULA NIGRA, SALIX SPP.) TEMPORARILY FLOODED WOODLAND ALLIANCE (A.633)

L2. Formation Subclass	L3. Formation	L4. Division	L5. MacroGroup	L6. Group	L7. Alliance
				Red Maple - Ash - Oak Flooded/Swamp Group	QUERCUS BICOLOR - ACER RUBRUM TEMPORARILY FLOODED FOREST ALLIANCE (A.3004)
					QUERCUS PALUSTRIS - ACER RUBRUM TEMPORARILY FLOODED FOREST ALLIANCE (A.301)
					ACER RUBRUM - FRAXINUS PENNSYLVANICA SEASONALLY FLOODED FOREST ALLIANCE (A.316)
					QUERCUS PALUSTRIS - (QUERCUS BICOLOR) SEASONALLY FLOODED FOREST ALLIANCE (A.329)
					ACER RUBRUM - NYSSA SYLVATICA SATURATED FOREST ALLIANCE (A.348)
					ACER RUBRUM SEASONALLY FLOODED WOODLAND ALLIANCE (A.653)
					ACER RUBRUM SATURATED WOODLAND ALLIANCE (A.657)
				Eastern Cottonwood - Black Willow Flooded/Swamp Group	POPULUS DELTOIDES TEMPORARILY FLOODED FOREST ALLIANCE (A.290)
					SALIX CAROLINIANA TEMPORARILY FLOODED FOREST ALLIANCE (A.296)
					SALIX NIGRA TEMPORARILY FLOODED FOREST ALLIANCE (A.297)
					SALIX CAROLINIANA SEASONALLY FLOODED FOREST ALLIANCE (A.332)
					SALIX NIGRA SEASONALLY FLOODED FOREST ALLIANCE (A.334)
				Southeastern Plains Flooded/Riparian Group	ULMUS AMERICANA - CELTIS LAEVIGATA WOODLAND ALLIANCE (A.1916)
					MACLURA POMIFERA WOODLAND ALLIANCE (A.1917)
					CELTIS LAEVIGATA - ULMUS CRASSIFOLIA TEMPORARILY FLOODED FOREST ALLIANCE (A.283)
				Eastern Cottonwood - Willow - Ash Plains Flooded/Riparian Group	FRAXINUS PENNSYLVANICA - (ULMUS AMERICANA) FOREST ALLIANCE (A.259)
					CELTIS LAEVIGATA - ULMUS CRASSIFOLIA TEMPORARILY FLOODED FOREST ALLIANCE (A.283)
					FRAXINUS PENNSYLVANICA - (ULMUS AMERICANA) TEMPORARILY FLOODED FOREST ALLIANCE (A.308)
					FRAXINUS PENNSYLVANICA - (ULMUS AMERICANA) WOODLAND ALLIANCE (A.629)
					POPULUS DELTOIDES TEMPORARILY FLOODED WOODLAND ALLIANCE (A.636)
					SALIX GOODINGII TEMPORARILY FLOODED WOODLAND ALLIANCE (A.640)
					SALIX EXIGUA SEASONALLY FLOODED WOODLAND ALLIANCE (A.649)

L2. Formation Subclass	L3. Formation	L4. Division	L5. MacroGroup	L6. Group	L7. Alliance
			Northern Hardwood & Conifer Swamp MG	Northern Hardwood Swamp Group	FRAXINUS NIGRA - ACER RUBRUM SATURATED FOREST ALLIANCE (A.347)
				Northern White-cedar - Hemlock - Red Spruce Conifer Swamp Group	PICEA RUBENS SATURATED FOREST ALLIANCE (A.198)
					THUJA OCCIDENTALIS SATURATED FOREST ALLIANCE (A.200)
					TSUGA CANADENSIS SATURATED FOREST ALLIANCE (A.201)
					PICEA RUBENS - ABIES BALSAMEA SATURATED FOREST ALLIANCE (A.202)
					PINUS STROBUS - (ACER RUBRUM) SATURATED FOREST ALLIANCE (A.443)
					THUJA OCCIDENTALIS - ACER RUBRUM SATURATED FOREST ALLIANCE (A.446)
					TSUGA CANADENSIS - ACER RUBRUM SATURATED FOREST ALLIANCE (A.447)
					PICEA RUBENS - ACER RUBRUM SATURATED FOREST ALLIANCE (A.450)
					PINUS STROBUS - ACER RUBRUM SATURATED WOODLAND ALLIANCE (A.582)
					THUJA OCCIDENTALIS SATURATED WOODLAND ALLIANCE (A.583)
				Jack Pine - (Black Spruce) Forest Group	PINUS BANKSIANA FOREST ALLIANCE (A.116)
					PICEA MARIANA WOODLAND ALLIANCE (A.3504)
					PINUS BANKSIANA - POPULUS TREMULOIDES FOREST ALLIANCE (A.390)
1D. Boreal Forest & Woodland	1D1.Lowland and Montane Boreal Forest	1D1a.North American Lowland Boreal Forest	Eastern Boreal Conifer & Hardwood MG	White Spruce - Balsam Fir Forest Group	PICEA GLAUCA - ABIES BALSAMEA FOREST ALLIANCE (A.148)
					PICEA MARIANA FOREST ALLIANCE (A.149)
					PICEA MARIANA - POPULUS TREMULOIDES FOREST ALLIANCE (A.414)
				Aspen - Birch Forest Group	PICEA GLAUCA - ABIES BALSAMEA - POPULUS SPP. FOREST ALLIANCE (A.418)
					PICEA GLAUCA WOODLAND ALLIANCE (A.551)
					BETULA PAPYRIFERA FOREST ALLIANCE (A.267)
					POPULUS TREMULOIDES - BETULA PAPYRIFERA FOREST ALLIANCE (A.269)
					BETULA PAPYRIFERA WOODLAND ALLIANCE (A.603)

L2. Formation Subclass	L3. Formation	L4. Division	L5. MacroGroup	L6. Group	L7. Alliance
	1D2.Boreal Peat & Swamp Forest	1D2a.North American Boreal Peat & Swamp Forest	Boreal Conifer Peatland MG	Black Spruce - Tamarack Acid Peatland Group	PICEA MARIANA SATURATED FOREST ALLIANCE (A.197)
					PICEA MARIANA SATURATED WOODLAND ALLIANCE (A.585)
			Boreal Conifer & Hardwood Swamp MG	Tamarack - Conifer Alkaline Swamp Group	LARIX LARICINA SATURATED FOREST ALLIANCE (A.349)
				Boreal Hardwood Swamp	POPULUS BALSAMIFERA EASTERN BOREAL ALLIANCE [new] (A.ZZ)

APPENDIX H. (informative). Pilot example for Cultural Vegetation: Levels 1 – 8.

For Levels 1 – 4, units for cultural vegetation pilot are adapted from typical land cover categories (e.g., USGS 2001), and are intended to be comprehensive for the globe. For Levels 6 and 7, units are taken directly from the National Resources Inventory (NRI 2003), where those are cultural vegetation units (i.e. not natural or non-vegetated), but the NRI units are re-organized to fit into the upper level structure. Levels 6 and 7 are comprehensive for the United States. Level 5 is only partially developed and Level 8 is not developed at this time (both levels are optional). NLCD = National Land Cover Database (USGS 2001); NRI = National Resources Inventory, Natural Resources Conservation Service (NRI 2003).

LEVEL 1– CULTURAL CLASS	LEVEL 1– CULTURAL SUB CLASS	LEVEL 3 – FORMATION	LEVEL 4 – SUBFORMATION	LEVEL 5 – GROUP [optional]	LEVEL 6 – SUBGROUP	LEVEL 7 – TYPE	L 8 – SUB-TYPE [optional]

LEVEL 1– CULTURAL CLASS	LEVEL 1– CULTURAL SUB CLASS	LEVEL 3 – FORMATION	LEVEL 4 – SUBFORMATION	LEVEL 5 – GROUP [optional]	LEVEL 6 – SUBGROUP	LEVEL 7 – TYPE	L 8 – SUB-TYPE [optional]
7. AGRICULTURAL VEGETATION [NRI = Cropland] [NLCD = Agriculture]	Woody Agricultural Vegetation	Woody Horticultural Crop [NRI = Cropland - Horticultural Crops]	Orchard (tree) [NRI = Fruit - Orchards, Nut – Trees, bush fruits, vineyards and others.	Temperate and Tropical Orchard	Fruit – Orchards (001)	Apple Apricots Avocados Bananas (all types) Breadfruit cacao Cherimoya Cherries Citron Coconut Coffee Cumquat (kumquat) Dates Elderberry Figs Grapefruit Jujube K-Early Citrus Lemon Lime Limon Loquat Mango Nectarine Olives Orange Papayas PawPaw (papaw) Peach Pear Persimmons Plantains Plums Pomegranates Pummelo (Pomelo) Quenepa Quince Sapote Soursop Sweetsop Tangelos Temples	

LEVEL 1– CULTURAL CLASS	LEVEL 1– CULTURAL SUB CLASS	LEVEL 3 – FORMATION	LEVEL 4 – SUBFORMATION	LEVEL 5 – GROUP [optional]	LEVEL 6 – SUBGROUP	LEVEL 7 – TYPE	L 8 – SUB-TYPE [optional]
					Nuts – Trees (002)	Almonds Betelnut Cashews Chestnuts Hazelnuts (Filberts) Macadamias Pecans Walnuts	
			Vineyard (vine)	Temperate and Tropical Vineyard	Vineyard (003)	Grape Kiwi-fruit Muscadine Passion Fruit Starfruit Hops	
			Bush fruit and berries (shrub)	Temperate and Tropical Bush Fruit	Bush-fruit (004)	Blueberry Currant Evergreen-berry Gooseberry Guava Pepino Bramble shrub Blackberry Boysenberry Dewberry Loganberry Marionberry Ollaliberry Raspberry-black Raspberry-red	
					Berries (005)	Cranberries (grown in bogs) Strawberries	

LEVEL 1– CULTURAL CLASS	LEVEL 1– CULTURAL SUB CLASS	LEVEL 3 – FORMATION	LEVEL 4 – SUBFORMATION	LEVEL 5 – GROUP [optional]	LEVEL 6 – SUBGROUP	LEVEL 7 – TYPE	L 8 – SUB-TYPE [optional]
		Other Woody Agricultural / Rural Vegetation [NRI =Other Farmland, in part]	Other Woody Farmland /Rural Vegetation [excludes semi-natural forestry tree plantations – see Forest and Woodland	Temperate and Tropical Other Woody Farmland/Rural Vegetation	Farmsteads and ranch headquarters (400) (woody) [= Developed Vegetation?]	No NRI Types.	
					Other land in farms (not associated with farmsteads) (401) (woody) [= land use, not land cover for some types?]	Agroforestry and tree plantations (planted/ managed trees grown for specialty uses, such as Christmas trees, oils, fiber, flower, specialty woods, biofuel; e.g., eucalyptus, bamboo, paulownia, [overlap with semi-natural forestry plantations] Airplane landing strips Commercial feedlots Duck farms Field windbreaks Greenhouses Hog facilities Mink farms Mushroom farms Nurseries Poultry facilities	
	Herbaceous Agricultural Vegetation	Cultivated Crop [= NLCD, NRI = Cropland – Row and Close Grown Crops, also includes Cultivated Pastureland, Hayland]	Row Crop [=NRI Row and Close Grown Crops]	Temperate and Tropical Row Crop	Corn (011)	Corn for silage Decorative corn Field corn Grain corn Popcorn Seed corn Sweet corn	

LEVEL 1– CULTURAL CLASS	LEVEL 1– CULTURAL SUB CLASS	LEVEL 3 – FORMATION	LEVEL 4 – SUBFORMATION	LEVEL 5 – GROUP [optional]	LEVEL 6 – SUBGROUP	LEVEL 7 – TYPE	L 8 – SUB-TYPE [optional]
					Sorghum (012)	No NRI Types	
					Soybeans (013)	No NRI Types	
					Cotton (014)	No NRI Types	
					Peanuts (015)	No NRI Types	
					Tobacco (016)	No NRI Types	
					Sugar beets (017)	No NRI Types	
					Potatoes (018)	No NRI Types	

LEVEL 1– CULTURAL CLASS	LEVEL 1– CULTURAL SUB CLASS	LEVEL 3 – FORMATION	LEVEL 4 – SUBFORMATION	LEVEL 5 – GROUP [optional]	LEVEL 6 – SUBGROUP	LEVEL 7 – TYPE	L 8 – SUB-TYPE [optional]
					Other vegetables and truck crops including melons (019)	Artichokes Arrugula Asparagus Beans (all types except soybeans) Beets (excluding sugar beets) Broccoli Brussel sprouts Cabbage Cantaloupe Cardoon Carrots Casabamelon (manioa, manihot, tapioca plant) Cauliflower Celeriac Celery Chard, Swiss Chickory Chinese vegetables (truck type) Corn-sweet, decorative, popcorn, (only if a few rows are grown as part of a larger mixed truck crop or farm market operation) Cucumbers Daikon Dasheen Eggplant Endive Escarole Garlic Gourd Honeydew melon Horse-radish Kale	

LEVEL 1– CULTURAL CLASS	LEVEL 1– CULTURAL SUB CLASS	LEVEL 3 – FORMATION	LEVEL 4 – SUBFORMATION	LEVEL 5 – GROUP [optional]	LEVEL 6 – SUBGROUP	LEVEL 7 – TYPE	L 8 – SUB-TYPE [optional]
						Kohirabi Leeks Lettuce (all types) Muskmelon Mustard greens (mustard seed, see other close grown crops) Okra Onions Parsnip Peas (all types) Peppers (all types) Pumpkins Radish Rhubarb Romaine Rutabaga Salsify Scallions Spinach Squash (all types) Sweet Potato Taniers (tania, tanya) Taro (upland dry types) Tomatoes Turnips Watermelon Yam Zucchini	

LEVEL 1– CULTURAL CLASS	LEVEL 1– CULTURAL SUB CLASS	LEVEL 3 – FORMATION	LEVEL 4 – SUBFORMATION	LEVEL 5 – GROUP [optional]	LEVEL 6 – SUBGROUP	LEVEL 7 – TYPE	L 8 – SUB-TYPE [optional]
					All other row crops (020)	Castorbean Ginger root Ginseng Guar Guayule Jojoba Kenaf Pineapple Safflower Sugar cane Taro (wetland)	
					Sunflowers (021)	No NRI Types	
			Close Grown Crop [=NRI Cropland – Close Grown]	Temperate and Tropical Close Crown Crop	Wheat (111)	No NRI Types	
					Oats (112)	No NRI Types	
					Rice (113)	No NRI Types	
					Barley (114)	No NRI Types	

LEVEL 1– CULTURAL CLASS	LEVEL 1– CULTURAL SUB CLASS	LEVEL 3 – FORMATION	LEVEL 4 – SUBFORMATION	LEVEL 5 – GROUP [optional]	LEVEL 6 – SUBGROUP	LEVEL 7 – TYPE	L 8 – SUB-TYPE [optional]
					All other close grown crops (116)	Alfalfa (for seed) Buckwheat Canola Dill (oil and herb) Dry field peas Emmer Flax (Linseed) Grasses grown for seed Herbs-seasoning Lentils Millet Mint (all types) Mustard-seed Rape Rye Rye grass Salt hay Sod Spelt Tritcale Watercress	
			Cultivated Pasture and Hayland [=NRI Cropland – Hayland]	Temperate and Tropical Cultivated Hayland and Pasture	Grass (141)	No NRI Types e.g., Pearl millet, Annual rye, Wheat	
					Legume (142)	No NRI Types e.g., alfalfa	
					Legume-grass (143)	No NRI Types e.g., Perennial rye-grass- White Dutch clover	

LEVEL 1– CULTURAL CLASS	LEVEL 1– CULTURAL SUB CLASS	LEVEL 3 – FORMATION	LEVEL 4 – SUBFORMATION	LEVEL 5 – GROUP [optional]	LEVEL 6 – SUBGROUP	LEVEL 7 – TYPE	L 8 – SUB-TYPE [optional]
		Pasture / Hay [NLCD = Pasture / Hay] [NRI = Non-Cultivated Pastureland and Hayland]	Permanent Pasture & Hayland [=NRI Pastureland and Native Pasture]	Temperate and Tropical Permanent Pasture & Hayland	Grass (211)	No NRI Types e.g., orchardgrass, tall fescue, perennial ryegrass, kentucky bluegrass, crested wheatgrass, No NRI list]	
					Legume (212)	No NRI Types e.g., Alfalfa	
					Grass-forbs- legumes mixed (213)	No NRI Types	
		Other Herbaceous Agricultural and Rural Vegetation [NRI= Other Farmland, in part; Cropland - Horticultural Crops, Other; Other Rural land in part]	Herbaceous Horticultural Crops [NRI= Cropland - Other Horticultural Crops, Other; Other Cropland, in part]	Temperate and Tropical Other Horticultural Crops	Other Horticultural Crops (006)	Flowers – large commercial operations for bulbs and seed production and sales. Flowers – large commercial operations for cutting	

LEVEL 1– CULTURAL CLASS	LEVEL 1– CULTURAL SUB CLASS	LEVEL 3 – FORMATION	LEVEL 4 – SUBFORMATION	LEVEL 5 – GROUP [optional]	LEVEL 6 – SUBGROUP	LEVEL 7 – TYPE	L 8 – SUB-TYPE [optional]
			Other Rural, Crop or Farmland (Weed Vegetation) [cf. semi-natural old fields?] [NRI = Cropland - Other cropland; Other Rural Land, in part, Other Farmland, in part]	Temperate and Tropical Rural Vegetation	Summer fallow (170)	No NRI Types e.g., annual weed fields	
					Aquaculture in a crop rotation (171)	No NRI Types e.g. rice crops	
					Other cropland not planted (180) [overlap with old-field semi-natural vegetation?]	No NRI Types e.g., old fallow fields.	
					Conservation Reserve Program (CRP) land (410) [may overlap with Semi-natural Grasslands]	No NRI Types e.g., switchgrass	
					All other land (650) (requires a note of explanation)	No NRI Types	

LEVEL 1– CULTURAL CLASS	LEVEL 1– CULTURAL SUB CLASS	LEVEL 3 – FORMATION	LEVEL 4 – SUBFORMATION	LEVEL 5 – GROUP [optional]	LEVEL 6 – SUBGROUP	LEVEL 7 – TYPE	L 8 – SUB-TYPE [optional]
8. DEVELOPED VEGETATION [NRI = Urban and Built up, vegetated part] [NLCD = Developed, vegetated part]	Herbaceous & Woody Developed Vegetation [closely cropped ground layer] (tree modifier may be used at all levels)	Developed (Close- Cropped) Vegetation [=NLCD, NRI = Urban and Built Up]	Lawn with or without trees (urban and recreational)	e.g. Temperate and Tropical Lawns	e.g. Cool-season Lawn, Warm Season Lawn, Dry Season Lawn	e.g., <i>cool season</i> : kentucky bluegrass, fescue, sportfield grasses e.g., <i>warm season</i> : bermuda grass, zoysia, St. Augustine, <i>arid season</i> :	
		Other Developed Urban / Built Up Vegetation	Other Urban / Build Up Vegetation		e.g., Vacant Lot Vegetation [overlap with old-field semi- natural vegetation?]		
					e.g. Flower /Herb Gardens		

Table H.1 (informative). Comparison of U.S. NVC and Braun-Blanquet vegetation classification approaches to classifying European pastures (Rodwell et al. 2002, Mucina 1997).

Level	NVC (from Appendix H)	Rodwell et al. (2002)
LEVEL 1–CULTURAL CLASS	Agricultural Vegetation	Not Applicable
LEVEL 2–CULTURAL SUBCLASS	Herbaceous Agricultural Vegetation	Not Applicable
LEVEL 3 – FORMATION	Pasture / Hay	Temperate Grasslands, Heaths and Fringe Vegetation
LEVEL 4 – SUBFORM-ATION	Permanent Pasture & Hayland	-
LEVEL 5 - GROUP	Temperate & Tropical Permanent Pasture & Hayland	Molinio-Arrhenatheretea* (Class) Anthropogenic Pastures And Meadows On Deeper, More Or Less Fertile Mineral And Peaty Soils In Lowland Regions
LEVEL 6 – SUBGROUP	Grass	Arrhenatheretalia (Order) Pastures And Meadows On Well-Drained Relatively Fertile Mineral Soils.
LEVEL 7 - TYPE	Perennial Ryegrass Pasture	Cynosurion cristati (Alliance) Pastures Of Relatively Well Drained, Fertile Mineral Soils At Lower Altitudes.
LEVEL 8 - SUBTYPE	-	Lolium perenne – Cynosurus cristatus Association

*Diagnostic species for this class include (from Mucina 1997): *Achillea millefolium* (), *Agrostis gigantea* / *stolonifera* (red top), *Alopecurus pratensis* (), *Anthoxanthum odoratum* (sweet vernal grass), *Arrhenatherum elatius* (tall oatgrass), *Cerastium fontanum* (), *Dactylis glomerata* (orchard grass), *Festuca arundinacea* (), *Festuca pratensis* (), *Festuca rubra* (red fescue), *Holcus lanatus* (), *Juncus effusus*, others] (), *Molinia caerulea* (purple moorgrass), *Poa pratensis* (Kentucky bluegrass), *Poa trivialis* (), *Plantago lanceolatus* (plantain), *Ranunculus acris* (buttercup) and *Trifolium* [repens, others] (clover), among others.

APPENDIX I (Informative): A Process for Estimating Stratum Cover from Species Cover Values

Table I.1 presents one method for estimating stratum cover from the cover values of individual species occurring in that stratum. This method assumes a constant relationship between species cover sum and percent overlap, which is probably not true under all conditions. It also does not account for positive or negative relationships between species such as nurse crops and allelopathic plants. If this method does not apply to your dataset, you should modify it and carefully document your method.

Table I.1. A process for estimating canopy cover of a single stratum from the cover values of individual species occurring in that stratum. See also Table 3.4.

It is possible to approximate the percent cover of a single stratum, based on the individual cover of the species in that stratum (Jennings et al. 2006), based on the following equation:

$$C_i = \left(1 - \prod_{j=1}^n \left(1 - \frac{\% \text{ cov } j}{100} \right) \right) * 100$$

where C_i is the percent cover of stratum i for species or growth form j in stratum i .

It may also be used to approximate the percent cover of a single species across multiple strata, where a total percent cover of that species is desired. In the example, the minimum cover possible would be 40%, the cover of the most abundant species (presuming **complete overlap** with the other two species) and the maximum possible cover would be 85%, the cover of each species added together (presuming **no overlap** among the species). The equation assumes there is at least some overlap, and uses a standard formula to estimate the percent of overlap. In this example the canopy cover of the shrub stratum is estimated to be 64%.

Species (j) occurring in the shrub stratum (i)	Actual cover in %	Step 1: $\left(1 - \frac{\% \text{ cov } j}{100} \right)$	Step 2 $1 - \prod_{j=1}^n (\text{Step1})$	Step 3 $\text{Step2} * 100$
<i>Acer glabrum</i>	15	0.85 ^a	$1 - 0.357 = 0.643$	$0.643 * 100 = 64.3$
<i>Spiraea douglasii</i>	40	0.6 ^b		
<i>Vaccinium scoparium</i>	30	0.7 ^c		
Π (the product of a * b * c)		0.357		